

Positive Formulas in Intuitionistic and Minimal Logic

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Abstract. In this article we investigate the positive, i.e. \neg, \perp -free formulas of intuitionistic propositional and predicate logic, IPC and IQC, and minimal logic, MPC and MQC. For each formula φ of IQC we define the positive formula φ^+ that represents the positive content of φ . The formulas φ and φ^+ exhibit the same behavior on top models, models with a largest world that makes all atomic sentences true. We characterize the positive formulas of IPC and IQC as the formulas that are immune to the operation of turning a model into a top model. With the $+$ -operation we show, using the uniform interpolation theorem for IPC, that both the positive fragment of IPC and MPC respect a revised version of uniform interpolation. In propositional logic the well-known theorem that KC is conservative over the positive fragment of IPC is shown to generalize to many logics with positive axioms. In first-order logic, we show that IQC + DNS (double negation shift) + KC is conservative over the positive fragment of IQC and similar results as for IPC.

Keywords: intuitionistic logic, minimal logic, Jankov's logic, intermediate logics, positive formulas, interpolation, conservativity

1 Introduction

Minimal propositional logic MPC and minimal predicate logic MQC are obtained from the positive fragment, i.e. the \neg, \perp -free fragment, of intuitionistic propositional logic IPC and intuitionistic predicate logic IQC by adding a weaker negation: $\neg\varphi$ is defined as $\varphi \rightarrow f$, where the special propositional variable f is interpreted as the contradiction. Therefore, the language of minimal logic is the \neg, \perp -free fragment of intuitionistic logic plus f . Variable f has no specific properties, the Hilbert type system for MQC is as IQC's but without $f \rightarrow \varphi$. An alternative formulation of minimal logic in a language containing \neg instead of f can be given by adding to a Hilbert type axiom system for the positive fragment the axiom $(p \rightarrow q) \rightarrow ((p \rightarrow \neg q) \rightarrow \neg p)$ (see [2], p. 142).

For the semantics of minimal logic, f is interpreted as an ordinary propositional variable, so we get the semantics of the $[\vee, \wedge, \rightarrow]$ -fragment of IPC (resp. the $[\vee, \wedge, \rightarrow, \forall, \exists]$ -fragment of IQC), with an additional propositional variable f .

The content of this article is the following:

In Section 2 we recall the syntax and semantics of intuitionistic and minimal logic. In Section 3 we introduce the top-model property and the $+$ -operation on formulas, and show that the top-model property characterizes the positive formulas of IPC and IQC. We then use this property in Section 4 to show that the positive fragment of IPC has a revised form of uniform interpolation and that this transfers to MPC. In Section 5 we discuss the behavior of positive formulas in some extensions of IPC and IQC, taking as a starting point the theorem that Jankov's Logic KC has the same positive fragment as IPC.

2 Syntax and Semantics of MPC

In this section we recall the syntax as well as the derivation systems of IPC, IQC, MPC and MQC, and their Kripke semantics. For more details, see [1].

2.1 Syntax

The propositional language $\mathcal{L}_I(P)$ of IPC consists of a countable or finite set P of propositional variables p_0, p_1, p_2, \dots , propositional constants \perp, \top and binary connectives $\wedge, \vee, \rightarrow$. A first order language $\mathcal{L}_I(Q)$ of IQC consists of a countable or finite set Q of predicate letters and individual constants³, propositional constants \perp, \top , binary connectives $\wedge, \vee, \rightarrow$ and quantifiers \forall and \exists . In both cases $\neg\varphi$ is defined as $\varphi \rightarrow \perp$, although in practice it is often convenient to view formulas as containing both \neg and \perp . The *positive fragment* $\mathcal{L}_I^+(P)$ of IPC consists of the formulas of $\mathcal{L}_I(P)$ that do not contain \neg or \perp , similarly for a language $\mathcal{L}_I(Q)$. The propositional language $\mathcal{L}_M(P)$ of MPC (resp. first order language $\mathcal{L}_M(Q)$ of MQC) consists of the formulas of the positive fragment to which the special propositional variable f is added. We may drop the indices I and M and write $\mathcal{L}(P)$ etc. if the distinction is irrelevant.

We take the axioms of IPC as in [1]. The axioms for MPC are the same except that $\perp \rightarrow \varphi$ is left out. So, derivations in MPC are the same as in IPC except that no \perp or \neg occurs, instead f may have occurrences. We act similarly with IQC and MQC.

For the proof of the uniform interpolation theorem of MPC in Section 4 we introduce the following notation: For any formula φ and any sequence $\mathbf{p} = (p_1, \dots, p_n)$ of propositional variables (here p_i can be f , but cannot be \perp, \top), $\varphi(\mathbf{p})$ is a formula with only propositional variables in \mathbf{p} .

2.2 Kripke Semantics

In this part we give the Kripke semantics of our systems.

³ We do not add identity and functional symbols, but our results will surely hold for the extension with such symbols.

Definition 1. A propositional Kripke frame is a pair $\mathfrak{F} = (W, R)$ where W is a non-empty set and R is a partial order on it.

A propositional Kripke model is a triple $\mathfrak{M} = (W, R, V)$ where (W, R) is a Kripke frame and V is a valuation $V : P \cup \{f\} \rightarrow \mathcal{P}(W)$ (where $\mathcal{P}(W)$ is the powerset of W) such that for any $w, w' \in W$, $w \in V(q)$ and wRw' imply $w' \in V(q)$ for $q \in P \cup \{f\}$.

To be able to treat propositional and predicate logic uniformly we define first-order models in a similar way. For a language $\mathcal{L}(Q)$, we write At_Q or At for the set of atomic sentences.

Definition 2. A predicate Kripke frame for a language $\mathcal{L}(Q)$ is a triple $\mathfrak{F} = (W, R, \{D_w \mid w \in W\})$ where W is a non-empty set, R is a partial order on W , and $\{D_w \mid w \in W\}$ a set of non-empty domains such that for any $w, w' \in W$, wRw' implies $D_w \subseteq D_{w'}$.

A predicate Kripke model for a language $\mathcal{L}(Q)$ is a quadruple $\mathfrak{M} = (W, R, \{D_w \mid w \in W\}, V)$ where $(W, R, \{D_w \mid w \in W\})$ is a Kripke frame and V is a valuation $V : At \cup \{f\} \rightarrow \mathcal{P}(W)$ such that for any $Ad_1 \dots d_k$ in At , $V(Ad_1 \dots d_k) \subseteq \{w \in W \mid (d_1, \dots, d_k) \in (D_w)^k\}$, and $w, w' \in W$, $w \in V(Ad_1 \dots d_k)$ and wRw' imply $w' \in V(Ad_1 \dots d_k)$, similarly for f .

For formulas, the satisfaction relation is defined as usual with clauses for $p, f, \perp, \top, \vee, \wedge, \rightarrow, \forall, \exists$, where the semantics of f is the same as for the other propositional variables. In the first order case $w \models \varphi$ (and hence $w \not\models \varphi$) is only defined if the individual constants in φ are in D_w . If we define V on P or At and omit the clause for f , then we get the Kripke semantics of IPC or IQC; if we omit the clause for \perp , then we get the Kripke semantics of MPC or MQC. We use \models_1 and \models_M to distinguish the satisfaction relation of IQC and MQC, and omit the index when it is not important or clear from the context.

For IQC, we have the following completeness theorem (see e.g. [1]):

Theorem 1 (Strong Completeness of IQC).

For any set of IQC-sentences Γ and φ , $\Gamma \vdash_{\text{IQC}} \varphi$ iff $\Gamma \models_1 \varphi$.

By a standard Henkin type completeness proof, we have that MQC is strongly complete with respect to Kripke models, i.e. for any Γ and φ , $\Gamma \vdash_{\text{MQC}} \varphi$ iff $\Gamma \models_M \varphi$. The proof procedure is essentially the same as the proof for IQC with respect to Kripke frames, just leave out \perp and the accompanying condition that the members of the model have to be consistent sets (which of course they are).

Theorem 2 (Strong Completeness of MQC).

For any MQC-formulas Γ and φ , $\Gamma \vdash_{\text{MQC}} \varphi$ iff $\Gamma \models_M \varphi$.

By a completeness-via-canonicity proof using adequate sets, we have the finite model property for IPC (again see [1]) and thereby for MPC:

Theorem 3 (Finite Model Property of MPC).

For any MPC-formula φ , if $\not\models_{\text{MPC}} \varphi$, then there is a rooted finite Kripke model \mathfrak{M} falsifying φ .

By the completeness theorem for MQC and IQC, since the semantic behavior of MQC in the language $\mathcal{L}_M(Q)$ is exactly the same as that of IQC in the language $\mathcal{L}_1(Q \cup \{f\})$ without \perp (i.e. the positive $[\vee, \wedge, \rightarrow, \top, \forall, \exists]$ -fragment $\mathcal{L}_1^+(Q \cup \{f\})$ of $\mathcal{L}_1(Q \cup \{f\})$), we can regard MQC as the positive fragment of IQC, and we have the following lemma:

Lemma 1. *For any sentences Γ and φ in $\mathcal{L}_M(Q) = \mathcal{L}_1^+(Q \cup \{f\})$, $\Gamma \vdash_{\text{MQC}} \varphi$ iff $\Gamma \vdash_{\text{IQC}} \varphi$.*

This allows us to write $\vdash \varphi$ if the index does not matter.

For intermediate logics we sometimes need descriptive frames.

Definition 3. *A general frame is a triple $\mathfrak{F} = \langle W, R, \mathcal{P} \rangle$, where $\langle W, R \rangle$ is a Kripke frame and \mathcal{P} is a family of upward closed sets containing \emptyset and closed under \cap , \cup and the following operation \supset : for every $X, Y \subseteq W$,*

$$X \supset Y = \{x \in W \mid \forall y \in W (xRy \wedge y \in X \rightarrow y \in Y)\}$$

Elements of the set \mathcal{P} are called admissible sets.

Definition 4. *A general frame $\mathfrak{F} = \langle W, R, \mathcal{P} \rangle$ is called refined if for any $x, y \in W$,*

$$\forall X \in \mathcal{P} (x \in X \rightarrow y \in X) \Rightarrow xRy.$$

\mathfrak{F} is called compact, if for any family $\mathcal{Z} \subseteq \mathcal{P} \cup \{W \setminus X \mid X \in \mathcal{P}\}$ with the finite intersection property, $\bigcap(\mathcal{Z}) \neq \emptyset$.

Definition 5. *A general frame \mathfrak{F} is called a descriptive frame iff it is refined and compact.*

Intermediate propositional logics are complete with respect to descriptive frames (see [1]):

Theorem 4. *If L is an intermediate propositional logic, then, for all formulas φ , $\vdash_L \varphi$ iff φ is valid in all descriptive frames \mathfrak{F} that satisfy L .*

3 The Top-Model Property

We give a characterization of the \neg, \perp -free formulas of IPC by means of the following property:

Definition 6 (Top-Model Property).

1. *A propositional or predicate Kripke model $\mathfrak{M} = (W, R, V)$ is a top model if it has a largest point t , the top of the model, in which all formulas in P or At are satisfied.*
2. *Any model \mathfrak{M} can be turned into its top model \mathfrak{M}^+ by adding a node t at the top of the model, connecting all worlds w to t , and making all atomic sentences true in t . In case of first order logic, $D_t = \bigcup_{w \in W} D_w$.*

3. A formula φ has the top-model property, if for all Kripke models $\mathfrak{M} = (W, R, V)$, all $w \in W$, $\mathfrak{M}, w \models \varphi$ iff $\mathfrak{M}^+, w \models \varphi$, where $\mathfrak{M}^+ = (W^+, R^+, V^+)$ is obtained by adding a top point t (which is a successor of all points) such that all propositional variables are true in t .

Analogously to 1,2 of the above definition we talk about *top frames*.

Lemma 2. *Let t be the top of any top model, and let φ be a positive formula without free variables. Then $t \models \varphi$.*

Proof. Trivial, by induction on the length of φ . \square

For the top-model property we have the following theorem. It was first proved in [10] and [12] (see also [9]). We write $\varphi \sim \psi$ for $\vdash \varphi \leftrightarrow \psi$.

- Theorem 5.** 1. Every formula in $\mathcal{L}_1^+(P)$, $\mathcal{L}_1^+(Q)$, $\mathcal{L}_M(P)$ and $\mathcal{L}_M(Q)$ has the top-model property, and so has \perp .
2. For any formula φ in $\mathcal{L}_1(P)$, there exists a formula φ^+ in $\mathcal{L}_1^+(P)$ or $\varphi^+ = \perp$ such that for any top model \mathfrak{M} and any node w in \mathfrak{M} , we have $\mathfrak{M}, w \models \varphi \leftrightarrow \varphi^+$.
3. For any formula φ in $\mathcal{L}_1(Q)$, there exists a formula φ^+ in $\mathcal{L}_1^+(Q)$ or $\varphi^+ = \perp$ such that for any top model \mathfrak{M} and any node w in \mathfrak{M} , we have $\mathfrak{M}, w \models \varphi \leftrightarrow \varphi^+$.
4. For any set of formulas Γ in $\mathcal{L}_1(P)$ or $\mathcal{L}_1(Q)$, any top model \mathfrak{M} and any node w in \mathfrak{M} , we have $\mathfrak{M}, w \models \Gamma$ iff $\mathfrak{M}, w \models \Gamma^+$, where $\Gamma^+ = \{\gamma^+ \mid \gamma \in \Gamma\}$.

Proof.

1. By induction on the length of the formula φ . We just give the inductive steps for \rightarrow and \forall . Let t denote the top element of \mathfrak{M} .

- $\mathfrak{M}, w \models \psi \rightarrow \chi \iff$ in all w' such that wRw' , if $\mathfrak{M}, w' \models \psi$ then $\mathfrak{M}, w' \models \chi \iff$ IH in \mathfrak{M}^+ , for for all $w \in W \setminus \{t\}$, in all w' such that wRw' , if $\mathfrak{M}^+, w' \models \psi$ then $\mathfrak{M}^+, w' \models \chi$ [Now note that since φ is positive, and χ is a subformula of φ , it must be the case that χ is positive. Therefore, by Lemma 2, $t \models \chi$] \iff for all $w \in W$, in all w' such that wRw' , if $\mathfrak{M}^+, w' \models \psi$ then $\mathfrak{M}^+, w' \models \chi \iff \mathfrak{M}^+, w \models \psi \rightarrow \chi$.
- $\mathfrak{M}, w \models \forall z\psi(z) \iff$ if wRw' then $\mathfrak{M}, w' \models \psi(d)$ for all $d \in D_{w'}$ [Now note that by lemma 2, $t \models \psi(d)$ for all $d \in D_t$.] \iff IH if wRw' then $\mathfrak{M}^+, w' \models \psi(d)$ for all $d \in D_{w'}$ $\iff \mathfrak{M}^+, w \models \forall z\psi(z)$.

2 and 3. We obtain φ^+ from φ in stages. That is, $\varphi = \varphi^0 \dashrightarrow \varphi^1 \dashrightarrow \dots \dashrightarrow \varphi^n = \varphi^+$. Each stage m starts off with φ^m and produces φ^{m+1} . The procedure starts at $n = 0$.

Stage 2n. Remove all \top and \perp using the following equivalences:

Remove \perp

$$\begin{aligned}
&\perp \wedge \varphi \sim \varphi \wedge \perp \sim \perp \\
&\perp \vee \varphi \sim \varphi \vee \perp \sim \varphi \\
&\perp \rightarrow \varphi \sim \top \\
&\varphi \rightarrow \perp \sim \neg\varphi \\
&\neg\perp \sim \top
\end{aligned}$$

Remove \top

$$\begin{aligned}
&\top \wedge \varphi \sim \varphi \wedge \top \sim \varphi \\
&\top \vee \varphi \sim \varphi \vee \top \sim \top \\
&\top \rightarrow \varphi \sim \varphi \\
&\varphi \rightarrow \top \sim \top \\
&\neg\top \sim \perp
\end{aligned}$$

This procedure may produce a formula φ^{2n+1} containing neither \top nor \perp . However, it is also possible that it ends by producing \top or \perp . In the latter two cases, the theorem is trivial, since in any model \mathfrak{M} and any world w , $\mathfrak{M}, w \models \top$ and $\mathfrak{M}, w \not\models \perp$, and therefore \iff holds. So, in the remainder of this proof we assume that not $\varphi^{2n+1} = \perp$ and not $\varphi^{2n+1} = \top$. Note the special feature of the procedure: a new negation may be produced.

Stage $2n + 1$. Consider the first \neg in φ^{2n+1} such that $\neg\psi$ is a subformula of φ^{2n+1} and ψ is positive: that is, ψ does not contain \neg, \perp . This can be done since all \perp were removed in the previous stage. Replace $\neg\psi$ by \perp . This results in $\varphi^{2n+2} = \varphi^{2n+1}[\perp/\neg\psi]$, which contains less symbols than φ^{2n+1} .

The even stages use logical equivalences, so by definition $\mathfrak{M}^+, w \models \varphi^{2n} \iff \mathfrak{M}^+, w \models \varphi^{2n+1}$ (valuations on \mathfrak{M}^+ are preserved), since for equivalent formulas this holds for any model.

Next, it has to be shown that also the odd stages preserve valuations on \mathfrak{M}^+ , that is: $\mathfrak{M}^+, w \models \varphi^{2n+1} \iff \mathfrak{M}^+, w \models \varphi^{2n+2} = \varphi^{2n+1}[\perp/\neg\psi]$ for all $n \in \mathbb{N}$. Let $\psi = \psi(x_1, \dots, x_k)$ and $d_1, \dots, d_k \in D_w$. Consider the valuation of $\psi(d_1, \dots, d_k)$ in top world t . We have chosen ψ positive. Therefore, by Lemma 2, $t \models \psi(d_1, \dots, d_k)$. By definition of \mathfrak{M}^+ , wRt for all $w \in W$, so for all $w \in W$, there is a w' such that wRw' and $w' \models \psi(d_1, \dots, d_k)$ (namely $w' = t$). Therefore, for all $w \in W$, it must be the case that $\mathfrak{M}^+, w \not\models \neg\psi(d_1, \dots, d_k)$. It can be concluded by a trivial induction that φ^{2n+1} is equivalent to $\varphi^{2n+1}[\perp/\neg\psi]$.

The described procedure will come to an end, since all steps reduce the number of symbols in the formula. Therefore, there is a final stage, say stage m , which produces a φ^{m+1} that no longer contains \perp or \neg . Now define $\varphi^{m+1} = \varphi^+$. Since both the odd and even stages preserve valuations on \mathfrak{M}^+ , we know that $\mathfrak{M}^+, w \models \varphi^{n-1} \iff \mathfrak{M}^+, w \models \varphi^n$ for all n . By induction, this implies that $\mathfrak{M}^+, w \models \varphi \iff \mathfrak{M}^+, w \models \varphi^+$.

4 follows immediately from 2 and 3. □

And this theorem leads to the following characterization.

Theorem 6. *A formula φ of IPC or IQC has the top-model property iff φ is equivalent to a \neg, \perp -free formula (in fact to φ^+) or to \perp .*

Proof. The direction from right to left is Theorem 5.1, so let us prove the other direction and assume that φ has the top-model property, but is not equivalent to φ^+ . Then there is a model \mathfrak{M} with a world w so that φ and φ^+ have different truth values in \mathfrak{M}, w . Then, because both have the top-model property, φ and φ^+ have different truth values in \mathfrak{M}^+, w as well. But that contradicts the fact given by Theorem 5 that φ and φ^+ behave identically on top models. \square

- Theorem 7.** 1. If $\vdash_{\text{IPC}} \varphi$, then $\vdash_{\text{IPC}} \varphi^+$. If $\vdash_{\text{IQC}} \varphi$, then $\vdash_{\text{IQC}} \varphi^+$.
 2. Not always $\vdash_{\text{IPC}} \varphi \rightarrow \varphi^+$ and not always $\vdash_{\text{IPC}} \varphi^+ \rightarrow \varphi$.
 3. If $\varphi(\psi_1, \dots, \psi_k)$ arises from the simultaneous substitution of ψ_1, \dots, ψ_k for p_1, \dots, p_k in $\varphi(p_1, \dots, p_k)$, then $(\varphi(\psi_1, \dots, \psi_k))^+ = (\varphi(\psi_1^+, \dots, \psi_k^+))^+$.
 4. If $\varphi(\psi_1, \dots, \psi_k)$ arises from the simultaneous substitution of ψ_1, \dots, ψ_k for p_1, \dots, p_k in $\varphi(p_1, \dots, p_k)$ and φ is positive, then $(\varphi(\psi_1, \dots, \psi_k))^+ = \varphi(\psi_1^+, \dots, \psi_k^+)$.
 5. $(\varphi \rightarrow \psi)^+ = \varphi^+ \rightarrow \psi^+$, $(\varphi_1 \wedge \dots \wedge \varphi_k)^+ = \varphi_1^+ \wedge \dots \wedge \varphi_k^+$, $(\varphi_1 \vee \dots \vee \varphi_k)^+ = \varphi_1^+ \vee \dots \vee \varphi_k^+$, $(\forall x \varphi(x))^+ = \forall x(\varphi(x))^+$.
 6. If $\vdash_{\text{IPC}} \varphi \rightarrow \psi$, then $\vdash_{\text{IPC}} \varphi^+ \rightarrow \psi^+$. If $\vdash_{\text{IQC}} \varphi \rightarrow \psi$, then $\vdash_{\text{IQC}} \varphi^+ \rightarrow \psi^+$.
 7. φ^+ is unique up to provable equivalence.
 8. If $\vdash_{\text{IPC}} \varphi \rightarrow \psi$ and ψ is positive, then $\vdash_{\text{IPC}} \varphi^+ \rightarrow \psi$. If $\vdash_{\text{IQC}} \varphi \rightarrow \psi$ and ψ is positive, then $\vdash_{\text{IQC}} \varphi^+ \rightarrow \psi$. If $\vdash_{\text{IPC}} \psi \rightarrow \varphi$ and ψ is positive, then $\vdash_{\text{IPC}} \psi \rightarrow \varphi^+$. If $\vdash_{\text{IQC}} \psi \rightarrow \varphi$ and ψ is positive, then $\vdash_{\text{IQC}} \psi \rightarrow \varphi^+$.

Proof. 1. Assume not $\vdash_{\text{IPC}} \varphi^+$. Then \mathfrak{M}, w exist such that $\mathfrak{M}, w \not\models \varphi^+$. By Theorem 5 also $\mathfrak{M}^+, w \not\models \varphi^+$. But then by Proposition 5.3, $\mathfrak{M}^+, w \not\models \varphi$, so not $\vdash_{\text{IPC}} \varphi$. Same for IQC.

2. For $\varphi = p \vee \neg p$, $\varphi^+ = p$, so $\not\vdash_{\text{IPC}} \varphi \rightarrow \varphi^+$. For $\varphi = \neg\neg p$, $\varphi^+ = \top$, so $\not\vdash_{\text{IPC}} \varphi^+ \rightarrow \varphi$.

3. By the fact that the construction of the $+$ -formula in Theorem 5 is inside-out. We can construct $(\varphi(\psi_1, \dots, \psi_k))^+$ by first applying the $+$ -operation to the formulas ψ_1, \dots, ψ_k in $\varphi(\psi_1, \dots, \psi_k)$ to obtain $\varphi(\psi_1^+, \dots, \psi_k^+)$, and then continue to work on the remainder to obtain $(\varphi(\psi_1^+, \dots, \psi_k^+))^+$.

4. Immediate from 3.

5. From 4 and the fact that $p_1 \rightarrow p_2$, $p_1 \wedge p_2 \dots \wedge p_k$, $p_1 \vee p_2 \dots \vee p_k$ and $\forall x Ax$ are positive.

6. From 1 and 5.

7. Immediate from 6.

8. From 6. \square

The results under 8 and 7 give us the right to say that φ^+ represents the positive content of φ . The results under 3 and 4 of the above theorem will be used to obtain results on positive formulas proved by intermediate logics in Section 5. The next result will be helpful then as well.

Theorem 8. If $\Gamma \vdash_{\text{IPC}} \varphi$ and φ is positive, then $\Gamma^+ \vdash_{\text{IPC}} \varphi$, where $\Gamma^+ = \{\gamma^+ \mid \gamma \in \Gamma\}$.

Proof. Immediate from Theorem 7.8 and Theorem 7.5. \square

We finally sketch another approach to get to Theorem 7.1 the advantage of which is it presumably can be transformed into a full proof-theoretic proof. One would first show (proof-theoretically, which we do not do here)

Theorem 9. *If $\varphi(p_1, \dots, p_k)$ is positive and $\vdash_{\text{IPC}} \neg\neg(p_1 \wedge \dots \wedge p_k) \rightarrow \varphi$, then $\vdash_{\text{IPC}} \varphi$.*

Proof. Assume, φ positive, $\not\vdash_{\text{IPC}} \varphi$. Then for some model \mathfrak{M} with root r , $\mathfrak{M}, r \not\models \varphi$. Hence, by Theorem 5.1, $\mathfrak{M}^+, r \not\models \varphi$. But also, $\mathfrak{M}^+, r \models \neg\neg(p_1 \wedge \dots \wedge p_k)$, so $\mathfrak{M}^+, r \not\models \neg\neg(p_1 \wedge \dots \wedge p_k) \rightarrow \varphi$, and finally, $\not\vdash_{\text{IPC}} \neg\neg(p_1 \wedge \dots \wedge p_k) \rightarrow \varphi$. \square

plus the easy lemma (which replaces Lemma 2 in this approach)

Lemma 3. *If $\psi(p_1, \dots, p_k)$ is positive, then $\vdash_{\text{IPC}} \neg\neg(p_1 \wedge \dots \wedge p_k) \rightarrow \neg\neg\psi$.*

and then proceed to prove Theorem 7.1 as follows. If $\vdash_{\text{IPC}} \varphi$, then also $\vdash_{\text{IPC}} \neg\neg(p_1 \wedge \dots \wedge p_k) \rightarrow \varphi$, after which $\vdash_{\text{IPC}} \neg\neg(p_1 \wedge \dots \wedge p_k) \rightarrow \varphi^+$ follows, since under the assumption $\neg\neg(p_1 \wedge \dots \wedge p_k)$, φ and φ^+ are equivalent by the same procedure as used in the proof of Theorem 5.2, using the just stated lemma on the way. For first order logic this approach works as well when one replaces $\neg\neg(p_1 \wedge \dots \wedge p_k)$ by $\neg\neg\forall \mathbf{x}(A_1 \wedge \dots \wedge A_k)$.

4 Uniform Interpolation

In this section we prove a revised version of the uniform interpolation theorem for the positive fragment of IPC and for MPC, using the uniform interpolation theorem of IPC and the top-model property.

First of all we state the uniform interpolation theorem of IPC. We formulate the theorem for formulas $\varphi(\mathbf{p}, q)$ and $\psi(\mathbf{p}, r)$ with one variable q and r in addition to the common ones \mathbf{p} ; the more general case with \mathbf{q} and \mathbf{r} then follows by repeated application.

Theorem 10 (Uniform Interpolation Theorem of IPC).

1. *For any formula $\varphi(\mathbf{p}, q)$ in which q is not a member of \mathbf{p} , there is a formula $\chi(\mathbf{p})$, the uniform post-interpolant for $\varphi(\mathbf{p}, q)$, such that*
 - (a) $\vdash_{\text{IPC}} \varphi(\mathbf{p}, q) \rightarrow \chi(\mathbf{p})$,
 - (b) *For any $\psi(\mathbf{p}, \mathbf{r})$ where \mathbf{r} and \mathbf{p}, q are disjoint, if $\vdash_{\text{IPC}} \varphi(\mathbf{p}, q) \rightarrow \psi(\mathbf{p}, \mathbf{r})$, then $\vdash_{\text{IPC}} \chi(\mathbf{p}) \rightarrow \psi(\mathbf{p}, \mathbf{r})$.*
2. *For any formula $\psi(\mathbf{p}, r)$ in which r is not a member of \mathbf{p} , there is a formula $\chi(\mathbf{p})$, the uniform pre-interpolant for $\psi(\mathbf{p}, r)$, such that*
 - (a) $\vdash_{\text{IPC}} \chi(\mathbf{p}) \rightarrow \psi(\mathbf{p}, r)$,
 - (b) *For any $\varphi(\mathbf{p}, \mathbf{q})$ where \mathbf{q} and \mathbf{p}, r are disjoint, if $\vdash_{\text{IPC}} \varphi(\mathbf{p}, \mathbf{q}) \rightarrow \psi(\mathbf{p}, r)$, then $\vdash_{\text{IPC}} \varphi(\mathbf{p}, \mathbf{q}) \rightarrow \chi(\mathbf{p})$.*

This theorem is proved in [8] by a proof-theoretical method and in [6] by the bisimulation quantifier method. In accordance with the latter we write $\exists q \varphi(\mathbf{p}, q)$ for the post-interpolant and $\forall r \varphi(\mathbf{p}, r)$ for the pre-interpolant.

For the positive fragment, we first treat the post-interpolant. There is a complication in the case of the pre-interpolant.

Theorem 11 (Uniform Interpolation Theorem for the positive fragment of IPC, post-interpolant).

For any positive formula $\varphi(\mathbf{p}, q)$ in which q is not a member of \mathbf{p} , there is a positive formula $\theta(\mathbf{p})$ such that

1. $\vdash_{\text{IPC}} \varphi(\mathbf{p}, q) \rightarrow \theta(\mathbf{p})$,
2. *For any positive $\psi(\mathbf{p}, \mathbf{r})$ where \mathbf{r} and \mathbf{p}, q are disjoint, if $\vdash_{\text{IPC}} \varphi(\mathbf{p}, q) \rightarrow \psi(\mathbf{p}, \mathbf{r})$, then $\vdash_{\text{IPC}} \theta(\mathbf{p}) \rightarrow \psi(\mathbf{p}, \mathbf{r})$. Moreover, $\theta(\mathbf{p})$ is $(\exists q \varphi)^+$, where $\exists q \varphi$ is the uniform post-interpolant for φ in full IPC.*

Proof. 1. By Theorem 10.1(a), $\vdash_{\text{IPC}} \varphi(\mathbf{p}, q) \rightarrow \exists q \varphi(\mathbf{p}, q)$. As $\varphi(\mathbf{p}, q)$ is positive, by Theorem 7.8, $\vdash_{\text{IPC}} \varphi(\mathbf{p}, q) \rightarrow (\exists q \varphi(\mathbf{p}, q))^+$. Note that, since $\varphi(\mathbf{p}, q)$ is satisfiable (it is positive!), $(\exists q \varphi(\mathbf{p}, q))^+$ cannot be \perp and hence is positive.

2. By Theorem 10.1(b), $\vdash_{\text{IPC}} \exists q \varphi(\mathbf{p}, q) \rightarrow \psi(\mathbf{p}, \mathbf{r})$. As $\psi(\mathbf{p}, \mathbf{r})$ is positive, by Theorem 7.8, $\vdash_{\text{IPC}} (\exists q \varphi(\mathbf{p}, q))^+ \rightarrow \psi(\mathbf{p}, \mathbf{r})$. \square

This result is not trivial. The post-interpolant of $(p \rightarrow q) \rightarrow p$ in full IPC is $\neg\neg p$. In the positive fragment it is $(\neg\neg p)^+ = \top$.

For the pre-interpolant the situation is more complex. For example, $\forall r. p \rightarrow r$ is $\neg p$ and that is (up to equivalence) the only formula in p without r to imply $p \rightarrow r$, and therefore no pre-interpolant for $p \rightarrow r$ exists in the positive fragment. Actually, this is not a real surprise since in classical propositional logic the situation is the same. However, in a way this is the only failure of the theorem; as long as we just consider positive formulas that are implied by at least one positive one containing only the relevant variables, pre-interpolants exist.

Theorem 12 (Uniform Interpolation Theorem for the positive fragment of IPC, pre-interpolant).

For any positive formula $\psi(\mathbf{p}, r)$ in which r is not in \mathbf{p} , one of the following two cases holds:

1. *There is a positive formula $\theta(\mathbf{p})$, the uniform pre-interpolant for $\psi(\mathbf{p}, r)$, such that*
 - (a) $\vdash_{\text{IPC}} \theta(\mathbf{p}) \rightarrow \psi(\mathbf{p}, r)$,
 - (b) *For any $\varphi(\mathbf{p}, \mathbf{q})$ where \mathbf{q} and \mathbf{p}, r are disjoint, if $\vdash_{\text{IPC}} \varphi(\mathbf{p}, \mathbf{q}) \rightarrow \psi(\mathbf{p}, r)$, then $\vdash_{\text{IPC}} \varphi(\mathbf{p}, \mathbf{q}) \rightarrow \theta(\mathbf{p})$. Moreover, $\theta(\mathbf{p})$ is $(\forall r \psi)^+$.*
2. *For any positive $\theta(\mathbf{p}, \mathbf{q})$ where \mathbf{q} and \mathbf{p}, r are disjoint, $\not\vdash_{\text{IPC}} \theta(\mathbf{p}, \mathbf{q}) \rightarrow \psi(\mathbf{p}, r)$.*

Proof. 1(a). By Theorem 10.2(a), $\vdash_{\text{IPC}} \forall r \psi(\mathbf{p}, r) \rightarrow \psi(\mathbf{p}, r)$. As $\psi(\mathbf{p}, r)$ is positive, by Theorem 7.8, $\vdash_{\text{IPC}} (\forall r \psi(\mathbf{p}, r))^+ \rightarrow \psi(\mathbf{p}, r)$. The case that $(\forall r \psi(\mathbf{p}, r))^+ = \perp$ will be treated under 2. In the other cases, we are done.

1(b). By Theorem 10.2(b), $\vdash_{\text{IPC}} \varphi(\mathbf{p}, \mathbf{q}) \rightarrow \forall r \psi(\mathbf{p}, r)$. As $\varphi(\mathbf{p}, \mathbf{q})$ is positive, by Theorem 7.8, $\vdash_{\text{IPC}} \varphi(\mathbf{p}, \mathbf{q}) \rightarrow (\forall r \psi(\mathbf{p}, r))^+$.

2. If $\vdash_{\text{IPC}} \theta(\mathbf{p}, \mathbf{q}) \rightarrow \psi(\mathbf{p}, r)$, then, by 1(b), $\vdash_{\text{IPC}} \theta(\mathbf{p}, \mathbf{q}) \rightarrow (\forall r \psi(\mathbf{p}, r))^+$. This means that, if $(\forall r \psi(\mathbf{p}, r))^+ = \perp$, $\theta(\mathbf{p}, \mathbf{q})$ cannot be positive, since positive formulas are satisfiable. \square

Again, the result is not trivial. The pre-interpolant of $((p \rightarrow q) \rightarrow p) \rightarrow p$ in the full logic is $\neg\neg p \rightarrow p$. In the positive fragment it is $(\neg\neg p \rightarrow p)^+ = p$. Uniform interpolation for MPC immediately follows.

Corollary 1 (Uniform Interpolation Theorem for MPC).

1. For any formula $\varphi(\mathbf{p}, q)$ of MPC in which q is not a member of \mathbf{p} , and \mathbf{p}, q may contain f , $\vdash_{\text{MPC}} \varphi(\mathbf{p}, q) \rightarrow (\exists q \varphi(\mathbf{p}, q))^+$, and for any positive $\psi(\mathbf{p}, \mathbf{r})$ where \mathbf{r} and \mathbf{p}, q are disjoint, if $\vdash_{\text{MPC}} \varphi(\mathbf{p}, q) \rightarrow \psi(\mathbf{p}, \mathbf{r})$, then $\vdash_{\text{MPC}} (\exists q \varphi(\mathbf{p}, q))^+ \rightarrow \psi(\mathbf{p}, \mathbf{r})$.
2. For MPC-formula $\psi(\mathbf{p}, r)$ in which r is not a member of \mathbf{p} one of the following two cases holds:
 - (a) $(\forall r \varphi(\mathbf{p}, r))^+$ is an MPC-formula, $\vdash_{\text{MPC}} (\forall r \varphi(\mathbf{p}, r))^+ \rightarrow \psi(\mathbf{p}, r)$, and for any $\varphi(\mathbf{p}, \mathbf{q})$ where \mathbf{q} and \mathbf{p}, r are disjoint, if $\vdash_{\text{MPC}} \varphi(\mathbf{p}, \mathbf{q}) \rightarrow \psi(\mathbf{p}, r)$, then $\vdash_{\text{MPC}} \varphi(\mathbf{p}, \mathbf{q}) \rightarrow (\forall r \psi(\mathbf{p}, r))^+$.
 - (b) For any MPC-formula $\varphi(\mathbf{p}, \mathbf{q})$ where \mathbf{q} and \mathbf{p}, r are disjoint, $\nVdash_{\text{MPC}} \varphi(\mathbf{p}, \mathbf{q}) \rightarrow \psi(\mathbf{p}, r)$.

This means that in MPC the uniform post-interpolant exists for any formula, and the uniform pre-interpolant exists for any formula that is implied by at least one formula with the right variables. The result stands if instead of the formulation of the syntax with the additional variable f one chooses to formulate MPC with \neg instead. In itself this is not remarkable, but there is a stark contrast with full IPC, in which as we have seen, uniform interpolants of positive formulas may need \neg .

We do not obtain uniform interpolation for the positive fragment of IQC since it does not even hold for IQC itself (see e.g. [11]). But simple interpolation for the positive fragment of IQC immediately follows from the usual proofs of simple interpolation in IQC itself.

5 Relationship with KC and other logics

5.1 Propositional Case

We consider intermediate propositional and predicate logics, logics between IPC and classical logic. We assume they are given by axiomatizations plus the rules of substitution and modus ponens, and in the predicate case, generalization. We first show that to derive positive formulas only positive substitutions in the axioms need to be considered (and the $+$ -operation).

Theorem 13. *If L is an intermediate logic, φ is positive and $L \vdash \varphi$, then there are axioms $\alpha_0(p_0, \dots, p_{n_0}), \dots, \alpha_k(p_0, \dots, p_{n_k})$ of L and formulas $\psi_{00}, \dots, \psi_{0n_0}, \dots, \psi_{k0}, \dots, \psi_{kn_k}$, which are positive or \perp , such that φ is derivable in IPC, resp. IQC, from $(\alpha_0(\psi_{00}, \dots, \psi_{0n_0}))^+, \dots, (\alpha_k(\psi_{k0}, \dots, \psi_{kn_k}))^+$.*

Proof. We treat the propositional case. The predicate case is not really different. If $L \vdash \varphi$, then there are axioms $\alpha_0(p_0, \dots, p_{n_0}), \dots, \alpha_k(p_0, \dots, p_{n_k})$ of L and formulas $\theta_{00}, \dots, \theta_{0n_0}, \dots, \theta_{k0}, \dots, \theta_{kn_k}$ such that φ is derivable in IPC from $\alpha_0(\theta_{00}, \dots, \theta_{0n_0}), \dots, \alpha_k(\theta_{k0}, \dots, \theta_{kn_k})$. By Theorem 7.8, 7.6 and 7.5, φ is derivable in IPC from $(\alpha_0(\theta_{00}, \dots, \theta_{0n_0}))^+, \dots, (\alpha_k(\theta_{k0}, \dots, \theta_{kn_k}))^+$. Then, by Theorem 7.3, φ is derivable in IPC from $(\alpha_0(\theta_{00}^+, \dots, \theta_{0n_0}^+))^+, \dots, (\alpha_k(\theta_{k0}^+, \dots, \theta_{kn_k}^+))^+$. Now $\psi_{00}, \dots, \psi_{0n_0}, \dots, \psi_{k0}, \dots, \psi_{kn_k}$ can be taken to be $\theta_{00}^+, \dots, \theta_{0n_0}^+, \dots, \theta_{k0}^+, \dots, \theta_{kn_k}^+$. \square

It is well-known that KC is conservative over the positive fragment of IPC (see [1]). This now follows directly.

Theorem 14. *If φ is positive, then $\vdash_{\text{IPC}} \varphi \iff \vdash_{\text{KC}} \varphi$.*

Proof. Let us just prove the non-trivial direction. Assume $\vdash_{\text{KC}} \varphi$ and φ is positive. Then, by Theorem 13, φ is a consequence in IPC of some formulas of the form $(\neg\psi \vee \neg\neg\psi)^+$ with ψ positive or \perp . Since $(\neg\psi \vee \neg\neg\psi)^+ \sim \perp \vee \top \sim \top$ or $\sim \top \vee \perp \sim \top$, depending on whether ψ is positive or \perp , this implies that $\vdash_{\text{IPC}} \varphi$. \square

An immediate consequence is:

Corollary 2. *If φ and ψ are positive and $\vdash_{\text{KC}} \varphi \vee \psi$, then $\vdash_{\text{KC}} \varphi$ or $\vdash_{\text{KC}} \psi$.*

By a slightly more complicated argument, using that for its axiomatization KC only needs its axiomatization applied to atoms, uniform interpolation for KC follows.

Theorem 14 can be generalized in three directions. In the first place, Jankov's Theorem ([7]) states that KC is the strongest intermediate logic with this property. A frame-theoretic proof was given in [3], followed by a simpler approach in [10]. Secondly, there are generalizations to predicate logic, which we will discuss in the next subsection. Finally, as discussed to a certain extent in [3], the corollary can be strengthened by considering the relationship of KC with other intermediate logics with positive axiomatizations. It turns out that for many such logics Theorem 14 generalizes. We first give an immediate application of Theorem 13 to the case of logics axiomatized by positive formulas.

Corollary 3. *If L is an intermediate logic axiomatized over IPC, resp. IQC, by positive formulas, φ is positive and $L \vdash \varphi$, then φ is derivable in IPC, resp. IQC, from a number of substitutions of positive formulas or \perp in some axioms of L .*

The fact that substitutions of \perp may occur means that Theorem 14 does not generalize to all positive logics. We give a counter-example.

Example 1. $\text{BD}_2 + \text{KC}$ is not conservative over the positive fragment of BD_2 , the logic of the frames bounded to depth 2 (see [1]).

Proof. The logic BD_2 is often axiomatized by $p \vee (p \rightarrow q \vee \neg q)$, but can be axiomatized positively e.g. by $((p \rightarrow (((q \rightarrow r) \rightarrow q) \rightarrow q)) \rightarrow p) \rightarrow p$. $\text{BD}_2 + \text{KC}$ contains LC , Dummett's logic. This logic is axiomatized by the positive formula $(p \rightarrow q) \vee (q \rightarrow p)$ (expressing linearity of frames), which is not provable in BD_2 . \square

Definition 7. *An intermediate logic L has the $+$ -property, if, whenever $\vdash_L \varphi$, also $\vdash_L \varphi^+$.*

Theorem 15. *If L is an intermediate propositional logic axiomatized over IPC by positive formulas that has the $+$ -property and φ is positive, then $\vdash_{\text{IPC}+L} \varphi$ iff $\vdash_{\text{KC}+L} \varphi$.*

Proof. One can just follow the proof of Theorem 14, since the remainder of Theorem 7 and therefore Theorem 13 apply to a logic L with the $+$ -property as much as to IPC . \square

Theorem 16. *If L is an intermediate propositional logic that is complete with respect to a class of frames that is closed under the operation that turns a frame into its top frame, then L has the $+$ -property.*

Proof. Repeat the proof of Theorem 7.1. \square

The last two theorems immediately lead to

Theorem 17. *If L is a positively axiomatized intermediate propositional logic that is complete with respect to a class of frames that is closed under the operation that turns a frame into its top frame, then, for positive φ , $\vdash_{\text{IPC}+L} \varphi$ iff $\vdash_{\text{KC}+L} \varphi$.*

To give a semantic characterization of the $+$ -property of logics we need descriptive frames. First we give a lemma.

Lemma 4. *If $\mathfrak{F} = \langle W, R, \mathcal{P} \rangle$ is a descriptive frame, then so is $\mathfrak{F}^+ = \langle W \cup \{t\}, R^+, \mathcal{P}^+ \rangle$, if $\mathcal{P}^+ = \{X \cup \{t\} \mid X \in \mathcal{P}\} \cup \{\emptyset\}$.*

Proof. Straightforward. \square

A semantic characterization of the $+$ -operation for intermediate logics can then be given as follows (simultaneously strengthening Theorem 16).

Theorem 18. *An intermediate logic L has the $+$ -property iff, for each descriptive frame \mathfrak{F} of L , \mathfrak{F}^+ is a descriptive L -frame as well.*

Proof. \Leftarrow : Again like Theorem 7.1.

\Rightarrow : Assume \mathfrak{F} is a descriptive L -frame, but \mathfrak{F}^+ is not. Then, for some φ , $\vdash_L \varphi$ but there exists a model \mathfrak{M} on \mathfrak{F}^+ that falsifies φ . If this is not a top model, then some propositional variables are false in the top node. This means that they are false in the whole model and can be replaced by \perp without influencing the truth value of any relevant formula. So, the formula φ^+ resulting from the substitution

of \perp for the propositional variables in question is still falsified. Moreover, φ^\perp is provable in L as well.

So, w.l.o.g. we can assume that \mathfrak{M} is a top model \mathfrak{M}^+ falsifying φ . Then \mathfrak{M}^+ falsifies φ^+ as well, and hence also \mathfrak{M} falsifies φ^+ . But that means that $\not\vdash_L \varphi^+$, and hence that L does not have the +-property. \square

Still, this does not close the gap for proving that the +-property is for a positively axiomatized L not only sufficient for proving that adding it to KC or IPC leads to the same provable positive formulas, but necessary as well. We leave this as an open question.

Open question. Is it true that: If L is a positively axiomatized intermediate propositional logic and, for all positive φ , $\vdash_{\text{IPC}+L} \varphi \iff \vdash_{\text{KC}+L} \varphi$, then L has the +-property.

For positively axiomatized logics with the finite model property this is true in the expected manner.

Theorem 19. *For a positively axiomatized intermediate logic L that is complete with respect to its class \mathcal{F}_L of finite frames,*

$$\text{For all } \mathfrak{F} \in \mathcal{F}_L, \mathfrak{F}^+ \in \mathcal{F}_L \text{ iff for all positive } \varphi, \vdash_{\text{IPC}+L} \varphi \iff \vdash_{\text{KC}+L} \varphi.$$

This follows immediately from Theorems 16 and 18. The direction from left to right was proved in [3]. It applies for example to the tree logics T_n of [4]:

Corollary 4. *For positive formulas φ , $\vdash_{\text{IPC}+\text{T}_n} \varphi \iff \vdash_{\text{KC}+\text{T}_n} \varphi$.*

5.2 First Order Case

Let QKC be IQC plus KC. Theorem 14 can be directly, with the same proof, be generalized to

Theorem 20. *If φ is positive, then $\vdash_{\text{IQC}} \varphi$ iff $\vdash_{\text{QKC}} \varphi$.*

This can further be strengthened by adding DNS (Double Negation Shift), axiomatized by $\forall x \neg\neg Ax \rightarrow \neg\neg \forall x Ax$, to QKC. Just as QKC the logic DNS is always valid on top models, and, in the same way when applying the proof of Theorem 14, this axiom turns into \top when a positive formula or \perp is substituted for Ax . So, we get

Theorem 21. *If φ is positive, then $\vdash_{\text{IQC}} \varphi \iff \vdash_{\text{QKC}+\text{DNS}} \varphi$.*

In predicate logic we have of course the same propositional intermediate logics with positive axioms to strengthen IQC. Let us take a look at the T_n .

Lemma 5. *IQC + T_n has the +-property.*

Proof. We can apply Theorem 13. It is easy to check that the form of the T_n -axioms, $\bigwedge_{i=0}^n ((p_i \rightarrow \bigvee_{j \neq i} p_j) \rightarrow \bigvee_{j \neq i} p_j) \rightarrow \bigvee_{i=0}^n p_i$, is such that substitution of \perp for an atom in one of these axioms gives a formula provable in IPC itself. \square

We can now immediately conclude:

Corollary 5. $\text{QKC} + T_n$ is conservative over the positive fragment of $\text{IQC} + T_n$.

Proof. Assisted by the last corollary we can follow the line of the proof of Theorem 14. \square

Note that we could have proven Corollary 4 in the same way instead of relying on a more general result.

There is another very important logic with positive axioms, the logic CD, axiomatized by $\forall x(A \vee B(x)) \rightarrow A \vee \forall x B(x)$ and known to be complete with respect to Kripke models with constant domains (see [5]). Results apply here because, if $\mathfrak{M} \models \text{CD}$, then $\mathfrak{M}^+ \models \text{CD}$, since the domain of the top point is the union of all the domains of \mathfrak{M} , and thus the same domain as the other worlds of \mathfrak{M} .

Corollary 6. Assume φ is positive. Then $\vdash_{\text{IQC}+\text{CD}} \varphi \iff \vdash_{\text{QKC}+\text{CD}+\text{DNS}} \varphi$.

The same results as for $\text{IQC} + \text{CD}$ hold for the logic axiomatized by $\forall x, y (Px \rightarrow Py)$, the logic for constant domains consisting of a single element. Actually, this is not an intermediate logic of course, it is not contained in classical logic, and more properly called a superintuitionistic logic.

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