

# General Topological Frames for Polymodal Provability Logic

**MSc Thesis** (*Afstudeerscriptie*)

written by

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# Abstract

The polymodal provability logic **GLP** is a system of propositional modal logic with infinitely many modalities having provability semantics. It was initially introduced by Japaridze in his PhD thesis [19]. **GLP** has significant applications in proof theory and arithmetic, however, it is well-known that **GLP** is Kripke incomplete. **GLP** is complete with respect to topological semantics [3], yet the relevant class of spaces is rather involved. Topological completeness of **GLP** under the natural class of ordinal spaces requires certain set-theoretic assumptions (the existence of large cardinals), however, it is still open whether it holds under these assumptions (see [4]). Therefore, it becomes crucial to search for some simpler models for **GLP**.

In this thesis, we define the concept of a general topological frame, that is, a topological space equipped with a distinguished set of admissible sets, akin to the notion of a general Kripke frame. Then, we describe a natural class of general topological frames on ordinals, that we call *periodic frames*. These frames are based on well-orderings equipped with some natural topologies introduced by Icard [18]. While **GLP** is known to be incomplete with respect to Icard's spaces, we show that the bimodal fragment of **GLP** is sound and complete with respect to the periodic frames. We hope that the results in this thesis will pave the way to further generalizations of this completeness to the whole system **GLP**.

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# Chapter 1

## Introduction and Preliminary

The polymodal provability logic **GLP** is a system of propositional modal logic with infinitely many modalities having provability semantics. It was initially introduced by Japaridze in his PhD thesis [19]. **GLP** has found significant applications in proof theory and arithmetic [5, 6, 7].

However, in any Kripke frame for **GLP**, all relations but one have to be discrete, which means that **GLP** is Kripke incomplete. Therefore, it becomes crucial to explore alternative models for **GLP**.

Before the advent of Kripke semantics, topological semantics for modal logic was independently developed by Tang [26], McKinsey and Tarski [27, 21, 22] in 1930's and 1940's. Later, Simmons [24] and Esakia [15] independently studied the topological interpretation of provability logic **GL**, revealing its correspondence to a natural class of topological spaces known as scattered spaces, employing the topological  $d$ -semantics. Later, we will show that ordinals form natural examples of scattered spaces and a topological completeness result for **GL** was obtained independently by Abashidze [1] and Blass [11].

Topological models for **GLP** have also been explored by Beklemishev, Bezhanishvili, Icard and Gabelaia [18, 2, 3]. Notably, in [3], it is shown that **GLP** is complete with respect to a class of topological spaces known as **GLP**-spaces. However, the class of spaces for which the completeness is established is complicated. Topological completeness of **GLP** under the natural class of ordinal spaces turns out to be an even harder question. The existence of a non-discrete ordinal **GLP**-space is independent of **ZFC**, therefore the completeness of **GLP** with respect to ordinal **GLP**-spaces requires certain set-theoretic assumptions (the existence of large cardinals). It is still open whether the completeness holds under these assumptions (see [4]). Therefore,

it becomes crucial to search for some simpler complete class of models for GLP.

In this thesis, we define the concept of a general topological frame, that is, a topological space equipped with a distinguished set of admissible sets, akin to the notion of a general Kripke frame. Then, we describe a natural class of general topological frames on ordinals that we call *periodic frames*. These frames are based on well-orderings equipped with some natural topologies introduced by Icard [18]. While GLP is known to be incomplete with respect to Icard’s spaces, we show that the bimodal fragment of GLP is sound and complete with respect to the periodic frames. In the future, we hope to generalize the result to the whole system GLP.

This thesis is structured as follows:

In the rest of this chapter, we provide an introduction to the provability logics GL and GLP and their natural semantics. In Chapter 2, we recall the topological  $d$ -semantics for modal logic and introduce the results for GL in topological interpretation. Moreover, we define the notion of general topological frames, which is the new topological model in this thesis. In Chapter 3, we define four kinds of periodic sets on ordinals. Later, 0-periodic sets and 1-periodic sets will be used as the admissible sets in our general topological frames for GL and GLB. In Chapter 4, we build general topological frames for GL and GLB, in which hereditarily periodic sets are used as the admissible sets. Such kind of general topological frames will be called periodic frames and we prove that GLB is sound in all the periodic frames. In Chapter 5, we prove that GLB is complete with respect to the class of periodic frames. In Chapter 6, we give a conclusion and discuss the future work.

## 1.1 GL and GLP

### 1.1.1 Classical Provability Logic GL

The idea of provability logic originates from a short paper by Gödel [16], where he attempted to formalize the BHK-interpretation and introduced a modal calculus with informal provability semantics (equivalent to the Lewis modal system S4).

Formal provability semantics is based on Gödel’s proof predicate  $\text{Proof}(x, y)$ , which denotes “ $y$  is the code of a proof of the formula having a code  $x$ ” for a classical first order theory containing Peano Arithmetic.

The provability predicate can then be expressed as  $\text{Prov}(x) = \exists y \text{Proof}(x, y)$ . Then, if we disregard the distinction between a formula and its Gödel's number,  $\text{Prov}(F)$  can be viewed as a modal formula. However, Gödel showed in [16] that S4 was not the modal logic of the formal provability predicate  $\text{Prov}(F)$ .

Based on the previous work by Hilbert and Bernays [17], Löb [20] discovered the final principle and demonstrated that along with other natural conditions on the provability predicate, i.e. the axioms and rules in the modal logic K4, it is sufficient for the proof of Gödel's second incompleteness theorem. Nowadays, a formalization of Löb's Theorem is known as Löb's Axiom:

$$\text{L} : \Box(\Box\varphi \rightarrow \varphi) \rightarrow \Box\varphi$$

The extension of K4 by L is usually denoted GL after Gödel and Löb. An *arithmetical interpretation*  $f$  is a mapping that assigns propositional variables to arbitrary arithmetical sentences, which commutes with boolean operators and  $f(\Box\psi) := \text{Prov}(f(\psi))$ . Löb demonstrated that if  $\varphi$  is a theorem of GL, then for any arithmetical interpretation  $f$ ,  $f(\varphi)$  is a theorem of PA.

Then, the following question arose: whether GL contains all the provable schemata of the provability predicate  $\text{Prov}$ ? This question was solved by Solovay [25].

**Theorem 1.1.1** (Solovay, [25]).  $\text{GL} \vdash \varphi$  iff  $\text{PA} \vdash f(\varphi)$ , for all arithmetical interpretations  $f$ .

### 1.1.2 Polymodal Provability Logic GLP

Some other important proof-theoretic notions lead to different types of modalities and logics. For instance, in 1980, Boolos considered the concept of  $\omega$ -provability, which is dual to Gödel's notion of  $\omega$ -consistency [12].

Recall that an arithmetical theory  $\text{T}$  is  $\omega$ -inconsistent if there exists an arithmetical formula  $P(x)$  such that  $\text{T}$  proves that  $\varphi(n)$  holds for every standard natural number  $n$ , however,  $\text{T}$  also proves that there is some natural number  $n$  such that  $\varphi(n)$  fails, that is,  $\text{T} \vdash \exists x \neg \varphi(x)$ . In this case,  $\text{T}$  can still be consistent, because this may not generate a contradiction within  $\text{T}$ , and such  $n$  is necessarily a non-standard integer in any model of  $\text{T}$ .

$\text{T}$  is  $\omega$ -consistent if it is not  $\omega$ -inconsistent. This concept is stronger than consistency: on the one hand, any inconsistent theory is also  $\omega$ -inconsistent; on the other hand, there exist consistent theories which are not  $\omega$ -consistent.

A formula  $\varphi$  is called  $\omega$ -provable in a theory  $\mathbb{T}$ , if the theory  $\mathbb{T} + \neg\varphi$  is  $\omega$ -inconsistent, i.e. if there exists a formula  $\psi(x)$  s.t.  $\mathbb{T} + \neg\varphi \vdash \psi(n)$  for all standard natural numbers  $n$  but  $\mathbb{T} + \neg\varphi \vdash \exists x\neg\psi(x)$ . On the other hand,  $\omega$ -provability can also be described as the provability in arithmetic by one application of the  $\omega$ -rule, i.e. provability in the theory

$$\mathbb{T}' := \mathbb{T} + \{\forall x\varphi(x) : \mathbb{T} \vdash \varphi(n), \text{ for all } n\}.$$

That provability by one application of the  $\omega$ -rule implies  $\omega$ -provability is obvious. For the other direction, suppose that  $\varphi$  is  $\omega$ -provable, then  $\mathbb{T} + \neg\varphi$  is  $\omega$ -inconsistent, i.e., there exists a formula  $\psi(x)$  such that  $\mathbb{T} \vdash \neg\varphi \rightarrow \psi(n)$  for all  $n$  but  $\mathbb{T} \vdash \neg\varphi \rightarrow \exists x\neg\psi(x)$ . Hence,  $\mathbb{T}'$  contains  $\forall x(\neg\varphi \rightarrow \psi(x))$ , i.e.  $\mathbb{T}' \vdash \neg\varphi \rightarrow \forall x\psi(x)$ . With  $\mathbb{T} \vdash \neg\varphi \rightarrow \exists x\neg\psi(x)$ , it follows that  $\mathbb{T}' \vdash \neg\varphi \rightarrow \perp$ , hence  $\varphi$  is provable by one application of the  $\omega$ -rule.

In [12], Boolos proved that the logic of  $\omega$ -provability coincides with GL using a Solovay-style arithmetical completeness proof. Let us write [0] for normal provability and [1] for  $\omega$ -provability. The next natural question was to find the bimodal logic of [0] and [1], which was answered by Japaridze [19]. In fact, Japaridze formulated the polymodal logic GLP with infinitely many modalities [0], [1], [2], etc, where  $[n]$  is interpreted as the provability by  $n$  nested applications of the  $\omega$ -rule.

**Definition 1.1.2** (Polymodal provability logic). The language of polymodal provability logic  $\mathcal{L}_P$  is defined as follows:

$$\varphi ::= p \mid \perp \mid \varphi \rightarrow \varphi \mid [n]\varphi$$

where  $p \in \mathbf{Prop}$  and  $n \in \mathbb{N}$ . Other connectives  $\leftrightarrow, \vee, \wedge, \neg$  are defined as usual. The bimodal fragment of  $\mathcal{L}_P$  with only [0] and [1] is denoted as  $\mathcal{L}_B$ .

An arithmetical interpretation  $f$  for  $\mathcal{L}_P$  is a mapping from modal formulas to arithmetical formulas such that  $f$  commutes with boolean operators and  $[n]\varphi$  is mapped to the formalization of “ $\varphi$  is provable by  $n$  nested applications of the  $\omega$ -rule.” Similarly, we can define arithmetical interpretations for  $\mathcal{L}_B$ .

Based on our earlier observation, we know that

$$[0]\varphi \rightarrow [1]\varphi.$$

It is also not difficult to prove that the following axiom is valid:

$$\neg[0]\varphi \rightarrow [1]\neg[0]\varphi.$$

If  $\varphi$  is not provable then no standard natural number  $n$  is the code of a proof of  $\varphi$ , i.e.  $\neg\text{Proof}(\varphi, n)$  is true for each  $n$ , hence, by the  $\omega$ -rule, it follows that  $\forall x\neg\text{Proof}(\varphi, x)$ , which means that  $\neg[0]\varphi$  is  $\omega$ -provable. Therefore,  $\neg[0]\varphi \rightarrow [1]\neg[0]\varphi$  is valid.

Moreover, the relationship between  $[n]$  and  $[n + 1]$  is the same as that between  $[0]$  and  $[1]$ . Thus, Japaridze introduced the system **GLP** as follows [19]:

**Definition 1.1.3** (System **GLP**). The Polymodal Provability Logic **GLP** is a system composed of the following axioms and rules:

- Axioms:** (i) Axioms of **GL** for each modality  $[n]$ ;  
(ii)  $[m]\varphi \rightarrow [n]\varphi$ , for  $m \leq n$ ;  
(iii)  $\langle m \rangle\varphi \rightarrow [n]\langle m \rangle\varphi$ , for  $m < n$ .

**Rules:** Modus Ponens,  
 $[n]$ -necessitation:  $\varphi \vdash [n]\varphi$ .

**Definition 1.1.4** (System **GLB**). If we restrict **GLP** to the bimodal fragment  $\mathcal{L}_B$ , then we get the following system **GLB**:

- Axioms:** (i) Axioms of **GL** for  $[0]$  and  $[1]$ ;  
(ii)  $[0]\varphi \rightarrow [1]\varphi$ ;  
(iii)  $\langle 0 \rangle\varphi \rightarrow [1]\langle 0 \rangle\varphi$ .

**Rules:** Modus Ponens,  
 $[0]$ -necessitation and  $[1]$ -necessitation:  $\varphi \vdash [0]\varphi$  and  $\varphi \vdash [1]\varphi$ .

With a non-trivial variation of Solovay-style completeness proof, the following theorem is proved by Japaridze.

**Theorem 1.1.5** (Japaridze, [19]). **GLP**  $\vdash \varphi$  iff **PA**  $\vdash f(\varphi)$ , for all the arithmetical interpretations  $f$  of **GLP**.

### 1.1.3 Kripke Incompleteness

It is well-known that the logic **GL** is sound and complete with respect to the class of converse well-founded strict partial ordered Kripke frames [23]. Moreover, **GL** has the finite model property, hence **GL** is also complete with respect to the class of finite, transitive, irreflexive trees.

However, even **GLB** is Kripke incomplete. More specifically, there is no non-trivial Kripke frame for **GLB** [13].

**Proposition 1.1.6.** Consider a Kripke frame with two relations  $\mathbb{F} = \langle W, R_0, R_1 \rangle$ , if  $R_1$  is non-empty then it is impossible that all the axioms of GLB are valid in  $F$ .

*Proof.* Suppose that  $R_1$  is non-empty, then there are  $a, b$  such that  $aR_1b$ .

First,  $[0]\varphi \rightarrow [1]\varphi$  is valid if and only if for any  $x, y$ ,  $xR_1y$  implies  $xR_0y$ . Hence we have  $aR_0b$ .

Second,  $\langle 0 \rangle \varphi \rightarrow [1]\langle 0 \rangle \varphi$  is valid if and only if for any  $x, y, z$ ,  $xR_0y$  and  $xR_1z$  implies  $zR_0y$ . Hence, with  $aR_1b$  and  $aR_0b$ , it is followed that  $bR_1b$ .

However, if GLB is valid in  $\mathbb{F}$ ,  $R_1$  should be a converse well-founded relation, which contradicts with  $bR_1b$ . Hence, if  $R_1$  is non-empty then  $\mathbb{F}$  can't be a Kripke frame of GLB. ■

**Corollary 1.1.7.** GLB is Kripke incomplete. Moreover, GLP is also Kripke incomplete.

# Chapter 2

## Topological Models for GL and GLP

### 2.1 Topological d-semantics

Since GLP is Kripke incomplete, we need to find some other semantical tool for its investigation, such as topological semantics.

Usually, when interpreting modal logic in topological spaces, the diamond operator  $\diamond$  is translated as topological closure. However, this translation only works when the logic contains the reflexivity axiom **T**, because every set is a subset of its closure. For logics such as **GL** and **GLP**, instead of the closure operator,  $\diamond$  can be translated as the topological derivative operator.

**Definition 2.1.1** (Derived Set). Let  $\langle X, \tau \rangle$  be a topological space,  $A$  a subset of  $X$ . Topological *derivative*  $d_\tau(A)$  of  $A$  is the set of all the limit points of  $A$ :

$$x \in d_\tau(A) \iff \forall U \in \tau(x \in U \Rightarrow \exists y \neq x \ y \in U \cap A).$$

$i_\tau(A) := A \setminus d_\tau(A)$  is the set of isolated points of  $A$  and  $c_\tau(A) := A \cup d_\tau(A)$  is the closure of  $A$ .

**Definition 2.1.2** (Topological d-semantics). A topological model  $\mathcal{M} = (X, \tau, \nu)$  is a tuple where  $(X, \tau)$  is a topological space and  $\nu : \mathbf{Prop} \rightarrow \mathcal{P}(X)$  is a valuation. Then, the satisfaction relation between a point  $w$  of a topological model  $\mathcal{M}$  and a formula  $\varphi$  is defined inductively as follows:

$\mathcal{M}, w \not\models \perp$	
$\mathcal{M}, w \models p$	$\iff w \in \nu(p)$
$\mathcal{M}, w \models \neg\varphi$	$\iff \mathcal{M}, w \not\models \varphi$
$\mathcal{M}, w \models \varphi \vee \psi$	$\iff \mathcal{M}, w \models \varphi \text{ or } \mathcal{M}, w \models \psi$
$\mathcal{M}, w \models \diamond\varphi$	$\iff \forall U \in \tau (x \in U \Rightarrow \exists y \in U \setminus \{x\} : \mathcal{M}, y \models \varphi)$
$\mathcal{M}, w \models \square\varphi$	$\iff \exists U \in \tau (x \in U \Rightarrow \forall y \in U \setminus \{x\} : \mathcal{M}, y \models \varphi)$

In other terms,  $\llbracket \diamond\varphi \rrbracket = d_\tau \llbracket \varphi \rrbracket$ . A formula  $\varphi$  is *valid* in a model  $\mathcal{M}$  if it is true in all the points  $w \in \mathcal{M}$  and  $\varphi$  is valid in a topological space  $(X, \tau)$  if it is valid in all the model  $\mathcal{M} = (X, \tau, \nu)$  based on  $(X, \tau)$ .

Topological  $d$ -semantics for modal logic was independently suggested by Simmons [24] and Esakia [15]. They proved that under this interpretation, GL corresponds to a natural class of topological spaces.

**Definition 2.1.3.** A topological space  $(X, \tau)$  is *scattered* if every nonempty subspace  $A \subseteq X$  has an isolated point, i.e.  $i_\tau(A) \neq \emptyset$ .

**Theorem 2.1.4** (Esakia, [15]).  $\text{GL} \vdash \varphi$  if and only if  $\varphi$  is valid in all the scattered spaces.

## 2.2 Ordinal Topological Spaces

Natural examples of scattered topological spaces come from orderings, especially ordinals. First, we introduce an equivalent characterization of scattered spaces in terms of the following transfinite *Cantor-Bendixson sequence* of subsets of  $X$ :

- $d_\tau^0(X) = X$ ;
- $d_\tau^{\alpha+1}(X) = d_\tau(d_\tau^\alpha(X))$ ;
- $d_\tau^\alpha(X) = \bigcap_{\beta < \alpha} d_\tau^\beta(X)$  if  $\alpha$  is a limit ordinal.

For a scattered space,  $d_\tau^{\alpha+1}(X) \subseteq d_\tau^\alpha(X)$  always holds, and it is a strict inclusion unless  $d_\tau^\alpha(X) = \emptyset$ .

**Theorem 2.2.1** (Cantor).  $(X, \tau)$  is a scattered space iff  $d_\tau^\alpha(X) = \emptyset$  for some ordinal  $\alpha$ .

**Definition 2.2.2** (Cantor-Bendixson rank). For a scattered space  $X$ , the *Cantor-Bendixson rank* of  $X$  is the least  $\alpha$  such that  $d_\tau^\alpha(X) = \emptyset$ , denoted by  $\rho_\tau(X)$ . The *Cantor-Bendixson rank function*  $\rho_\tau : X \rightarrow \text{On}$  is defined by

$$\rho_\tau(x) := \min\{\alpha : x \notin d_\tau^{\alpha+1}(X)\}.$$

Hence,  $\rho_\tau$  is a map from  $X$  onto  $\rho_\tau(X) = \{\alpha : \alpha < \rho_\tau(X)\}$ .

**Definition 2.2.3** (Order topology). For an ordinal  $\alpha$ , the *order topology*  $\tau_<$  on  $\alpha$  is the topology generated by all intervals  $(\beta_1, \beta_2)$  such that  $\beta_1, \beta_2 \in \alpha \cup \{\pm\infty\}$  and  $\beta_1 < \beta_2$ .

**Proposition 2.2.4.** On any ordinal  $\alpha$ , the order topology  $\tau_<$  is scattered.

*Proof.* For any subset  $X \subseteq \alpha$ , there exists a least element  $x$  in  $X$ . Hence, it is easy to see that  $x \notin d_\tau(X)$ , i.e.  $x$  is an isolated point in  $X$ . So, by Definition 2.1.3, the order topology is scattered. ■

Hence, it is very natural to consider ordinal spaces as models for the provability logic **GL**.

Every ordinal  $\alpha$  has a unique representation, called the Cantor Normal Form, as a finite sum of ordinal powers of  $\omega$ , i.e. any non-zero ordinal can be written as

$$\alpha = \omega^{\beta_n} \cdot k_n + \cdots + \omega^{\beta_0} \cdot k_0,$$

where  $n \geq 0, \alpha \geq \beta_n > \cdots > \beta_0$ , and  $k_0, \dots, k_n$  are non-zero natural numbers. The ordinal  $\beta_n$  is the *degree* and  $\beta_0$  is the *rank* of  $\alpha$ .

**Definition 2.2.5.** Let  $\ell : \Omega \rightarrow \Omega$  be defined by

$$\ell(0) = 0; \ell(\alpha) = \beta \text{ if } \alpha = \gamma + \omega^\beta, \text{ for some } \gamma, \beta.$$

That is to say, when  $\alpha \neq 0$ ,  $\ell(\alpha)$  is the rank of  $\alpha$  defined on the Cantor Normal Form. Hence,  $\ell$  is called the *rank function*.

**Proposition 2.2.6** ([3]). Let  $\Omega$  be an ordinal equipped with its order topology. Then, the Cantor-Bendixson rank function  $\rho_{\tau_<}$  coincides with the rank function  $\ell$ .

**Definition 2.2.7** (d-map). A map  $f : X \rightarrow Y$  between topological spaces is called a *d-map* if  $f$  is continuous, open and *pointwise discrete*, i.e.  $f^{-1}(y)$  is a discrete subspace of  $X$  for each  $y \in Y$ .

$d$ -maps are well-known to satisfy the following proposition (see [9] or [3]).

**Proposition 2.2.8.** Suppose that  $f : X \rightarrow Y$  is a  $d$ -map between topological spaces, then we have the following properties:

- $f^{-1}(d_Y(A)) = d_X(f^{-1}(A))$ , for any  $A \subseteq Y$ ;
- $f^{-1} : (\mathcal{P}(Y), d_Y) \rightarrow (\mathcal{P}(X), d_X)$  is a homomorphism of modal algebras;
- If  $f$  is onto, then  $\text{Log}(X) \subseteq \text{Log}(Y)$ .

Theorem 2.1.4 was improved independently by Abashidze [1] and Blass [11] as follows. The following proof is from [10].

**Theorem 2.2.9** (Abashidze, Blass). *Consider an ordinal  $\Omega \geq \omega^\omega$  equipped with the order topology. Then  $\text{Log}(\Omega) = \text{GL}$ .*

*Proof.* First, any Kripke frame  $\mathcal{F} = \langle W, R \rangle$  of  $\text{GL}$  can also be viewed as a topological space, i.e. we can also consider  $\mathcal{F}$  as the set  $W$  equipped with the upset topology w.r.t. the relation  $R$ .

Since  $\text{GL}$  is complete with respect to finite transitive irreflexive trees, we aim to prove that if  $T$  is a finite transitive irreflexive tree of depth  $n$ , then there exists an onto  $d$ -map  $f : \omega^n + 1 \twoheadrightarrow T$ . Then, by Proposition 2.2.8, it implies that  $\text{Log}(\Omega) = \text{GL}$  when  $\Omega \geq \omega^\omega$ .

We prove it by induction on the depth  $n$ :

- If  $n = 0$ , the tree  $T$  contains only one irreflexive point  $a$ , so the result is trivial.
- Suppose  $n > 0$  and the result holds for all the  $k < n$ . Denote the root as  $a$  and all the immediate successors of  $a$  as  $a_1, a_2, \dots, a_l$ . Let  $T_i$  be the subtree of  $T$  generated by  $a_i$  for  $i \in [1, l]$ . Since the depth of  $T$  is  $n$ , the depth  $n_i$  of each subtree  $T_i$  will be smaller than  $n$ . WLOG, we assume that  $n_1 \geq n_2 \geq \dots \geq n_l$ . By the Induction Hypothesis, for each  $i \in [1, l]$ , there exists an onto  $d$ -map  $f_i : \omega^{n_i} + 1 \twoheadrightarrow T_i$ .

When we view the tree  $T$  as a topological space, it can be thought of as the disjoint union of  $\{a\}$  and all the subtrees  $T_1, \dots, T_l$ . Moreover, if  $U \subseteq T$  is an open set, then either  $U = T$  or  $U = \bigcup_{i=1}^l U_i$  where  $U_i$  is an open set of the subtree  $T_i$  for each  $i$ . On the other hand, we decompose  $\omega^n + 1$  into a similar structure: we write  $\omega^n + 1$  as the disjoint union

$\biguplus_{j=1}^{\infty} X_j \cup \{\omega^n\}$ , where  $X_j$  is isomorphic to the ordinal  $\omega^{n_i} + 1$  if  $j \equiv i \pmod{l}$  and  $n_i > 0$ , and  $X_i$  is a singleton if  $n_i = 0$ . Since all the  $n_i$  are smaller than  $n$  and we also know that at least one of them is equal to  $n - 1$ , so the structure of  $\biguplus_{i=1}^{\infty} X_i$  is the same as  $\omega^n$ .

Now we construct the  $d$ -map from  $\omega^n + 1$  to  $T$ : First, set  $f(\omega^n) = a$ . Second, for each  $i \in \omega$ , suppose that  $i = l \cdot k + j$  where  $j < l$ . Then, define  $f|_{X_i} : X_i \rightarrow T_j$  as the copy of the  $d$ -map  $f_j : \omega^{n_j} + 1 \rightarrow T_j$ . Combining them, we have the construction of  $f : \omega^n + 1 \rightarrow T$ . It is not hard to check that  $f$  is an onto  $d$ -map. (For more details, the readers can check [10, Lemma 3.4])

■

## 2.3 General Topological Frames

In this section, we introduce the concept of a general topological frame, which is inspired by the notion of a general Kripke frame. First, recall the following definition.

**Definition 2.3.1.** A *polytopological space* is a tuple  $\langle X, \{\tau_i : i \in I\} \rangle$  where  $\tau_i$  is a topology in  $X$  for each  $i \in I$ . A *polytopological space* is a tuple  $\langle X, \{\tau_i : i \in I\}, \nu \rangle$  where  $\langle X, \{\tau_i : i \in I\} \rangle$  forms a polytopological space and  $\nu : \mathbf{Prop} \rightarrow \mathcal{P}(X)$  is a valuation function. In polytopological models, the satisfaction relation for polymodal formulas is defined in the same way as the topological  $d$ -semantics in Definition 2.1.2.

**Proposition 2.3.2** ([2]). If a polytopological space  $\langle X, \{\tau_n : n \in \omega\} \rangle$  is a model of GLP, it should satisfy the following conditions:

- (i)  $\langle X, \tau_n \rangle$  is a scattered topological space for each  $n \in \omega$ ;
- (ii)  $\tau_n \subseteq \tau_{n+1}$ ;
- (iii) For each  $U \subseteq X$ ,  $d_{\tau_n}(U)$  is  $\tau_{n+1}$ -open.

*Proof.* By Definition 1.1.3, we know the following properties for a model of GLP:

- We have axioms of GL for each modality  $[n]$ , hence,  $\langle X, \tau_n \rangle$  should form a scattered topological space for each  $n \in \omega$ .

- We have the axioms of the form  $[m]\varphi \rightarrow [n]\varphi$  for  $m \leq n$ . In topological  $d$ -semantics, it is easy to see that  $[n]\varphi \rightarrow [n+1]\varphi$  means that  $\tau_n \subseteq \tau_{n+1}$ .
- We have the axioms of the form  $\langle m \rangle \varphi \rightarrow [n]\langle m \rangle \varphi$  for  $m < n$ . In topological  $d$ -semantics,  $\langle n \rangle \varphi \rightarrow [n+1]\langle n \rangle \varphi$  means that the truth set of  $\langle n \rangle \varphi$  should be  $\tau_{n+1}$ -open. Therefore, for each  $U \subseteq X$ ,  $d_{\tau_n}(U)$  is  $\tau_{n+1}$ -open.

■

Polytopological spaces satisfying conditions (i) – (iii) in Proposition 2.3.2 are called **GLP-spaces** [3].

In [3], it is proved that GLP is complete w.r.t. the class of all GLP-spaces. However, if we consider ordinal GLP-space, the situation becomes much more complicated, the existence of a non-discrete ordinal GLP-space is independent of **ZFC**. Roughly speaking, since  $\tau_{n+1}$  will be a refined topology w.r.t.  $\tau_n$  such that  $d_{\tau_n}(A)$  is  $\tau_{n+1}$ -open, in order to make sure that  $\tau_{n+1}$  is non-discrete, we will need the existence of some large cardinals. The question if GLP is complete w.r.t. ordinal GLP-spaces under some natural set-theoretic assumptions is still open.

Now, we define the notion of general topological frame, which is inspired by the notion of general Kripke frame. First, we recall the definition of a general Kripke frame.

**Definition 2.3.3** (General Kripke frame). A *general Kripke frame* is a tuple  $\langle F, \{R_i : i \in I\}, \mathcal{A} \rangle$  such that  $\langle F, \{R_i : i \in I\} \rangle$  forms a Kripke frame and  $\mathcal{A} \subseteq \mathcal{P}(F)$ , which is closed under finite union, finite intersection, complement and  $R_i^{-1}$  for any  $i \in I$ . For a model  $\langle F, \{R_i : i \in I\}, \mathcal{A}, \nu \rangle$  based on the general Kripke frame  $\langle F, \{R_i : i \in I\}, \mathcal{A} \rangle$ , the valuation function  $\nu$  should be a mapping from **Prop** to  $\mathcal{A}$ .

For a set  $W$ , a function  $f : \mathcal{P}(W) \rightarrow \mathcal{P}(W)$  and  $\mathcal{A} \subseteq \mathcal{P}(W)$ , if  $\mathcal{A}$  is closed under finite union, finite intersection, complement and  $f$ ,  $\langle \mathcal{A}, f \rangle$  is called a *modal boolean algebra*.

So, that is to say, a general Kripke frame is simply a Kripke frame with a modal boolean algebra over the frame. The subset of  $F$  containing in  $\mathcal{A}$  is called *admissible set*. Only the elements in  $\mathcal{A}$  are possible to be defined by a formula in a class of models based on  $\langle F, R, \mathcal{A} \rangle$ .

Inspired by the notion of general Kripke frame, we define general topological frames. That is to say, we aim to add a modal boolean algebra  $\mathcal{A}$  to a topological (or polytopological) space.

**Definition 2.3.4** (General topological frame). A *general topological frame* is a tuple  $\langle X, \{\tau_i : i \in I\}, \mathcal{A} \rangle$  where  $\langle X, \{\tau_i : i \in I\} \rangle$  is a polytopological space and  $\mathcal{A} \subseteq \mathcal{P}(X)$ , which is closed under finite union, finite intersection, complement and  $d_{\tau_i}$  for any  $i \in I$ . A *general topological model* is a tuple  $\langle X, \{\tau_i : i \in I\}, \mathcal{A}, \nu \rangle$  where  $\langle X, \{\tau_i : i \in I\}, \mathcal{A} \rangle$  forms a general topological frame and  $\nu : \mathbf{Prop} \rightarrow \mathcal{A}$ .

For a general topological frame  $\langle X, \{\tau_i : i \in I\}, \mathcal{A} \rangle$  and a subset  $Y \subseteq X$ , we define a corresponding *subframe*:  $\langle Y, \{\tau_i|_Y : i \in I\}, \mathcal{A}|_Y \rangle$ , where  $\tau_i|_Y$  is the subspace topology and  $\mathcal{A}|_Y$  is the restriction of the elements in  $\mathcal{A}$  to  $Y$ . In convenient, we will continue to denote it as  $\langle Y, \{\tau_i : i \in I\}, \mathcal{A} \rangle$  where there is no ambiguity.

**Definition 2.3.5.** Suppose that  $\langle X, \tau_x, \mathcal{A}_X \rangle$  and  $\langle Y, \tau_Y, \mathcal{A}_Y \rangle$  are two general topological frames. A map  $f : X \rightarrow Y$  between general topological frames is called a  $d$ -map if the following conditions hold:

- For any  $V \in \mathcal{A}_Y$ ,  $f^{-1}(V) \in \mathcal{A}_X$ ;
- $f$  is continuous, open and pointwise discrete.

**Proposition 2.3.6.** Suppose that  $f : X \rightarrow Y$  is a  $d$ -map between general topological frames, then we have the following properties:

- $f^{-1}(d_Y(U)) = d_X(f^{-1}(U))$ , for any  $U \subseteq Y$ ;
- $f^{-1} : \langle \mathcal{A}_Y, d_Y \rangle \rightarrow \langle \mathcal{A}_X, d_X \rangle$  is a homomorphism of modal algebras;
- If  $f$  is onto, then  $\text{Log}(X) \subseteq \text{Log}(Y)$ .

In the proof of Proposition 2.3.2, we show that, in the frames of GLP, the axiom  $\langle n \rangle \varphi \rightarrow [n+1] \langle n \rangle \varphi$  corresponds to the frame condition that  $d_{\tau_n}(U)$  is  $\tau_{n+1}$ -open for each  $U \subseteq X$ . That is because the truth set of  $\langle n \rangle \varphi$  should be  $\tau_{n+1}$ -open. However, if we consider a general topological frame, the truth set of a formula can only be an element in  $\mathcal{A}$ . Hence, we have the following proposition, which is exactly our motivation to introduce the notion of general topological frame.

**Proposition 2.3.7.** If a general topological frame  $\langle X, \{\tau_n : n \in \omega\}, \mathcal{A} \rangle$  satisfies the following conditions, then all the axioms of GLP are valid in  $\langle X, \{\tau_n : n \in \omega\}, \mathcal{A} \rangle$ :

- (i)  $\langle X, \tau_n \rangle$  is a scattered topological space for each  $n \in \omega$ ;
- (ii)  $\tau_n \subseteq \tau_{n+1}$ ;
- (iii) For each  $U \in \mathcal{A}$ ,  $d_{\tau_n}(U)$  is  $\tau_{n+1}$ -open.

The difference between Proposition [2.3.2](#) and [2.3.7](#) will bring about a change in our study of **GLP** on ordinals. Now, the third condition is loosened, therefore, it is easier to find a general topological frame for **GLP** such that all the topologies  $\tau_n$  are non-discrete.

In next chapter, we will define a suitable modal boolean algebra and begin to build general topological frames for **GLB**.

# Chapter 3

## Periodic Sets of Ordinals

In this chapter, we define the notions of periodic set, ultimately periodic set and hereditarily periodic set in ordinal spaces. Some of the notations and propositions about periodic sets is from [14], in which periodicity of transfinite words was considered.

In next chapter, hereditarily periodic sets will be used as the admissible sets in our general topological frames. In the proof of Theorem 2.2.9, we build onto  $d$ -maps from ordinals to finite transitive irreflexive trees. Moreover, in next chapter, we will prove that the preimages of any subset in these  $d$ -maps are hereditarily periodic sets. In fact, this is the reason why we are interested in periodic sets and aim to build modal algebras by hereditarily periodic sets.

### 3.1 Periodic Sets

First, we define periodic sets on ordinals. Suppose that  $A$  is a subset of an ordinal  $\Omega$ .

It is well-known that Euclidean division for ordinals is well-defined. That is, let  $\alpha, \beta$  be two ordinals, then there exists a unique pair of ordinals  $(\tau, \mu)$  such that  $\mu < \beta$  and  $\alpha = \beta \cdot \tau + \mu$ .

With this, given an ordinal  $\pi$ , we can define an equivalence relation  $\sim_\pi$  on all ordinals.

**Definition 3.1.1.** For an ordinal  $\pi$ , we define an equivalence relation  $\sim_\pi$  on  $\alpha$ :  $\alpha_1 \sim_\pi \alpha_2$  if there exist three ordinals  $\tau_1, \tau_2$  and  $\mu < \pi$  such that  $\alpha_1 = \pi \cdot \tau_1 + \mu$  and  $\alpha_2 = \pi \cdot \tau_2 + \mu$ .

**Definition 3.1.2** (Periodic Set). Let  $\Omega$  be an ordinal, a subset  $A \subseteq \Omega$  is *periodic* in  $\Omega$  if there exists  $\pi$  such that  $0 < \pi < \omega^{\ell(\Omega)}$  and for any ordinals  $\gamma_1, \gamma_2 < \Omega$ , if  $\gamma_1 \sim_\pi \gamma_2$  and  $\gamma_1 \in A$  then  $\gamma_2 \in A$ . Such a  $\pi$  is called a period for the periodic set  $A$  in  $\Omega$ .

So a subset  $A$  of  $\Omega$  is periodic, if it is closed under the equivalence relation  $\sim_\pi$  in  $\Omega$ . Notice that we require that  $0 < \pi < \omega^{\ell(\Omega)}$ , so  $\ell(\Omega)$  should be greater than 0, i.e. if  $A$  is a periodic set in  $\Omega$ ,  $\Omega$  should be a limit ordinal.

**Proposition 3.1.3.** Suppose that  $A$  is a periodic set in  $\Omega$  and  $\pi$  is a period for  $A$ , then for any natural number  $n > 0$ ,  $\pi \cdot n$  is also a period for  $A$ . Moreover, for any ordinal  $\beta$ , if  $\pi \cdot \beta < \omega^{\ell(\Omega)}$  then  $\pi \cdot \beta$  is also a period for  $A$ .

*Proof.* First, if  $\pi < \omega^{\ell(\Omega)}$  then  $\pi \cdot n < \omega^{\ell(\Omega)}$  is also true. Second, it is easy to see that for all ordinals  $i, j < \Omega$ , if  $i \sim_{\pi \cdot n} j$  holds then  $i \sim_\pi j$  also holds. So if  $A$  is closed under the equivalence relation  $\sim_\pi$ , it is also closed under  $\sim_{\pi \cdot n}$ . Hence,  $\pi \cdot n$  is also a period for periodic set  $A$  in  $\Omega$ .

For  $\pi \cdot \beta$ , it is also easy to check that  $A$  is closed under  $\sim_{\pi \cdot \beta}$ . Hence, if we have known that  $\pi \cdot \beta < \omega^{\ell(\Omega)}$ , then  $\pi \cdot \beta$  is also a period for  $A$  in  $\Omega$ . ■

On the other hand, a subset  $A$  of ordinal  $\Omega$  can also be viewed as a string of length  $\Omega$ . That is, it is a mapping from  $\Omega$  to  $2 = \{0, 1\}$  such that for any ordinal  $i < \Omega$ ,  $A(i) = 1$  if and only if  $i \in A$ . For short, we also denote  $A(i)$  as  $A_i$ .

In this way, we have the following alternative definition of periodic set.

**Definition 3.1.4.** A string  $A$  of length  $\Omega$  is periodic if and only if there exists  $0 < \pi < \omega^{\ell(\Omega)}$  such that for all ordinals  $i, j < \Omega$ , if  $i \sim_\pi j$  then  $A_i = A_j$ .

It is easy to see that this definition is equivalent to Definition 3.1.2.

If  $A$  is a subset of  $\Omega_1$  and  $B$  is a subset of  $\Omega_2$ , then we can define the concatenation  $AB$  of two strings  $A$  and  $B$ , which is a mapping from the ordinal  $\Omega_1 + \Omega_2$  to 2 defined by

$$(AB)_i = \begin{cases} A_i & \text{if } i < \Omega_1; \\ B_j & \text{if } i = \Omega_1 + j \text{ and } j < \Omega_2. \end{cases}$$

Given a string  $A$  of length  $\Omega$ , we denote by  $A^\tau$  the string concatenated with itself by  $\tau$  times, i.e.  $A^\tau$  is a string of length  $\Omega \cdot \tau$  defined by

$$A_{\Omega \cdot \gamma + i}^\tau = A_i$$

where  $\gamma < \tau$  and  $i < \Omega$ .

Then we have the following proposition, which can be viewed as an equivalence definition of periodic set.

**Proposition 3.1.5.** Give a subset  $A$  of  $\Omega$ , which can also be viewed as a string of length  $\Omega$ . Then the following conditions are equivalent:

- i)  $A$  is a periodic set in  $\Omega$ .
- ii) there exists a string  $B$  of length  $\pi$  with  $0 < \pi < \omega^{\ell(\Omega)}$  such that  $A = B^\tau$  for an infinite ordinal  $\tau$ .

*Proof.* If i) holds, then there exists a period  $\pi$  for  $A$  and a limit ordinal  $\tau$  such that  $\Omega = \pi \cdot \tau$ . Let  $B$  be the string of length  $\pi$  such that  $B_i = A_i$  for ordinal  $i < \pi$ . By the definition of periodic set, we know that  $A_{\pi \cdot \gamma + i} = A_i = B_i$ . Hence,  $A$  is exactly  $B^\tau$ .

Conversely, if ii) holds, then the length  $\pi$  for  $B$  is a period for  $A$  in  $\Omega$ , because  $A_{\pi \cdot \gamma + i} = B_i$  for any ordinal  $\gamma < \tau$  and only they are equivalent modulo  $\pi$ . ■

We denote the set of all periodic sets in  $\Omega$  as  $P(\Omega)$ .

## 3.2 Ultimately Periodic Sets

Next, we define ultimately periodic sets on ordinals.

**Definition 3.2.1** (Ultimately Periodic Set).  $A$  is *ultimately periodic* in  $\Omega$  if there exist a bound  $\kappa < \Omega$  and a periodic set  $A'$  in  $\Omega$  such that  $A \cap (\kappa, \Omega) = A' \cap (\kappa, \Omega)$ . In other words,  $A$  is equal to a periodic set except for an initial segment, the period  $\pi$  of  $A'$  is also called the period of the ultimately periodic set  $A$ .

**Proposition 3.2.2.** If  $A$  is ultimately periodic in  $\Omega$ , then there exists a period  $\pi$  of the form  $\omega^\beta \cdot n$  and the bound in Definition 3.2.1 can be chosen as an ordinal  $\kappa$  such that  $\ell(\kappa) \geq \beta$ .

*Proof.* Suppose that  $\pi$  is a period of  $A$  with bound  $\kappa$ , so there exists a string  $A'$  of length  $\kappa$ , a string  $B$  of length  $\pi$  and an ordinal  $\tau$  such that  $A = A'(B^\tau)$  and  $\Omega = \kappa + \pi \cdot \tau$ . We divide it to two cases:

- If  $\ell^2(\Omega) > 0$ , i.e.  $\ell(\ell(\Omega)) > 0$ , then  $\ell(\Omega)$  is a limit ordinal. We also know that  $\pi < \omega^{\ell(\Omega)}$ .

In this case,  $\pi \cdot \omega < \omega^{\ell(\Omega)}$  is also true and there exists  $\tau'$  such that  $\kappa + (\pi \cdot \omega) \cdot \tau' = \Omega$ . So,  $\pi \cdot \omega$  can also be a period for  $A$ , because  $A = A'((B^\omega)^{\tau'})$ . Then, the period  $\pi \cdot \omega$  will be of the form  $\omega^\beta$  for some ordinal  $\beta$ . And for the bound, if  $\ell(\kappa) < \beta$ , we can use  $\kappa + (\pi \cdot \omega)$  as the bound instead of  $\kappa$ , then it is obvious  $\ell(\kappa + (\pi \cdot \omega)) = \beta$ .

- If  $\ell^2(\Omega) = 0$ , then  $\ell(\Omega)$  is a successor ordinal, assume that  $\ell(\Omega) = \beta + 1$ . Consider two subcases as follows:

- If  $\pi < \omega^\beta$ , then  $\pi \cdot \omega \leq \omega^\beta < \omega^{\ell(\Omega)}$ . In this case, similarly,  $\pi \cdot \omega$  can also be a period of  $A$  and  $A$  can be represented as  $A'((B^\omega)^{\tau'})$  for some ordinal  $\tau'$ . Hence, there exists a period of the form  $\omega^{\beta'}$  and  $\kappa + (\pi \cdot \omega)$  can be used as the bound instead of  $\kappa$ , hence it satisfies all the conditions.

- If  $\pi \geq \omega^\beta$ , since we also know that  $\pi < \omega^{\beta+1}$ , we can assume that  $\pi = \omega^\beta \cdot n + \gamma$  for a natural number  $n$  and an ordinal  $\gamma < \omega^\beta$ .

In this case, first, we can assume that  $\kappa$  is large enough such that  $\Omega = \kappa + \pi \cdot \omega$ . Second, the string  $B$  can be viewed as the concatenation  $B_1B_2$  in which the length of  $B_1$  is  $\omega^\beta \cdot n$  and the length of  $B_2$  is  $\gamma$ . So  $A = A'((B_1B_2)^\omega)$ , however, we can also represent  $A$  as  $(A'B_1)((B_2B_1)^\omega)$ , which means that we use  $\kappa + \omega^\beta \cdot n$  as the bound and  $\omega^\beta \cdot n$  as the period, hence it satisfies all the conditions.

In all, we have proved that if  $A$  is ultimately periodic in  $\Omega$ , then there exists a period  $\pi$  of the form  $\omega^\beta \cdot n$  with a bound  $\kappa$  such that  $\ell(\kappa) > \beta$ . ■

**Corollary 3.2.3.** If  $A$  is ultimately periodic in  $\Omega$  and  $\ell^2(\Omega) > 0$ , then there exists a prime ordinal  $\omega^\beta$  as the period and the bound can be chosen as an ordinal  $\kappa$  such that  $\ell(\kappa) \geq \beta$ .

*Proof.* In the proof of Proposition 3.2.2, we have seen that when  $\ell^2(\Omega) > 0$ , the period can be of the form  $\omega^\beta$ , which is exactly the statement of this corollary. ■

We denote the set of all ultimately periodic sets in  $\Omega$  as  $\mathbf{U}(\Omega)$ . And we prove that  $\mathbf{U}(\Omega)$  forms a boolean algebra, i.e.  $\mathbf{U}(\Omega)$  is closed under finite union, finite intersection, complement.

**Proposition 3.2.4.** For any ordinal  $\Omega$ ,  $\mathbf{U}(\Omega)$  is closed under finite union, finite intersection, complement and the derived set operator.

*Proof.* We prove it case by case:

- Finite union: If  $A_1, A_2 \in \mathbf{U}(\Omega)$ , then there exist  $\kappa_1, \kappa_2$  as their bounds and  $\pi_1, \pi_2$  as their periods in  $\Omega$ . By Proposition 3.2.2, we can assume that  $\pi_1 = \omega^{\beta_1} \cdot n_1, \pi_2 = \omega^{\beta_2} \cdot n_2$ . Now we aim to find a common period for  $A_1$  and  $A_2$ :
  - If  $\beta_1 = \beta_2$ , then by Proposition 3.1.3,  $\omega^{\beta_1} \cdot \text{lcm}(n_1, n_2)$  is a common period.
  - If  $\beta_1 > \beta_2$ , then  $\omega^{\beta_1}$  is also a period for  $A_2$ , which implies that  $\omega^{\beta_1} \cdot n_1$  is a common period.
  - If  $\beta_1 < \beta_2$ , similarly,  $\omega^{\beta_2} \cdot n_2$  is a common period.

Denote the common period as  $\pi$ , and let  $\kappa$  be the maximum of  $\kappa_1$  and  $\kappa_2$ . We will now prove that  $A_1 \cup A_2$  is ultimately periodic with respect to  $A$ , with a bound  $\kappa$  and a period  $\pi$ . Suppose  $x \sim_\pi y$  and  $x, y > \kappa$ . If  $x \in A_1 \cup A_2$ , then  $x \in A_1$  or  $x \in A_2$ . Without loss of generality, assume  $x \in A_1$ . Since  $\pi$  is a period for  $A_1$ , it follows that  $y \in A_1$ , and therefore  $y \in A_1 \cup A_2$ . Hence,  $\pi$  is a period for  $A_1 \cup A_2$  with bound  $\kappa$ .

- Finite intersection: The argument is similar to that of finite union.
- Complement: This is trivial. ■

*Remark 3.2.5.* We can see that finite sets and cofinite sets are ultimately periodic in  $\Omega$ . Further, since  $\mathbf{U}(\Omega)$  is closed under finite union and finite intersection, if  $A \in \mathbf{U}(\Omega)$  and  $B \subseteq \Omega$  is finite then  $A \setminus B$  and  $A \cup B$  are both ultimately periodic in  $\Omega$ .

### 3.3 Hereditarily Periodic Sets

In this section, we define hereditarily periodic set on ordinals, which will be used as the admissible sets in our general topological frames. For  $\beta < \Omega$ , let  $A|_\beta = A \cap \beta$ .  $A|_\beta$  can also be viewed as a string of length  $\beta$ , which is an initial segment of  $A$ .

**Definition 3.3.1** (Hereditarily periodic set, or 0-periodic set).  $A$  is *hereditarily periodic* in  $\Omega$ , if for any limit ordinal  $\beta \leq \Omega$ ,  $A|_\beta$  is ultimately periodic in  $\beta$ .

The hereditary periodic set will also be called *0-periodic set*. We denote the set of all 0-periodic sets in  $\Omega$  as  $\mathbf{H}_0(\Omega)$ .

**Proposition 3.3.2.**  $\mathbf{H}_0(\Omega)$  forms a Boolean algebra, that is,  $\mathbf{H}_0(\Omega)$  is closed under finite union, finite intersection and complement.

*Proof.* By Definition 3.3.1,  $A \in \mathbf{H}_0(\Omega)$  iff  $A|_\beta \in \mathbf{U}(\beta)$  for any limit ordinal  $\beta \leq \Omega$ . Since all the  $\mathbf{U}(\beta)$  are closed under these operators, it is evident that  $\mathbf{H}_0(\Omega)$  is also closed under these operators. ■

*Remark 3.3.3.* It is also easy to check that finite sets and cofinite sets are hereditarily periodic in  $\Omega$ . Hence, similar to Remark 3.2.5, if  $A \in \mathbf{U}(\Omega)$  and  $B \subseteq \Omega$  is finite then  $A \setminus B$  and  $A \cup B$  are both hereditarily periodic in  $\Omega$ .

Moreover, in the next chapter, we will prove that  $\langle \Omega, \tau_0, \mathbf{H}_0(\Omega) \rangle$  forms a general topological frame for  $\mathbf{GL}$ , which will be called *0-periodic frame* for  $\mathbf{GL}$ . And we will show the completeness of  $\mathbf{GL}$  with respect to the class of all the 0-periodic frames.

However, in order to achieve not only soundness but also completeness for  $\mathbf{GLB}$ , we need to extend  $\mathbf{H}_0(\Omega)$  to the set of all the 1-periodic sets, which will be denoted as  $\mathbf{H}_1(\Omega)$ . In the next chapter, we will define 1-periodic frames for  $\mathbf{GLB}$ .

**Definition 3.3.4** (1-periodic set). For an ordinal  $\Omega$ , consider the rank function  $\ell : \Omega \rightarrow \Omega$ . We define  $\mathbf{H}_1(\Omega)$  as the Boolean algebra generated by  $\mathbf{H}_0(\Omega)$  and all the sets of the form  $\ell^{-1}(A)$  for  $A \in \mathbf{H}_0(\Omega)$ . In other words,  $\mathbf{H}_1(\Omega)$  is the least set satisfying the following conditions:

- $\mathbf{H}_0(\Omega) \subseteq \mathbf{H}_1(\Omega)$ ;
- For any  $A \in \mathbf{H}_0(\Omega)$ ,  $\ell^{-1}(A) \in \mathbf{H}_1(\Omega)$ ;
- For any  $A, B \in \mathbf{H}_1(\Omega)$ ,  $\overline{A} \in \mathbf{H}_1(\Omega)$ ,  $A \cup B \in \mathbf{H}_1(\Omega)$  and  $A \cap B \in \mathbf{H}_1(\Omega)$ .

If  $A \in \mathbf{H}_1(\Omega)$ , then we say that  $A$  is *1-periodic* in  $\Omega$ .

**Proposition 3.3.5.** Any 1-periodic set  $A \in \mathbf{H}_1(\Omega)$  can be represented as  $\bigcup_{i=1}^k (\ell^{-1}(A_i) \cap B_i)$  where  $A_i, B_i \in \mathbf{H}_0(\Omega)$  for  $i \in [1, k]$ .

*Proof.* Since  $\mathbf{H}_1(\Omega)$  is the boolean algebra generated by  $\mathbf{H}_0(\Omega)$  and all the sets of the form  $\ell^{-1}(A)$  for  $A \in \mathbf{H}_0(\Omega)$ , we assume that  $A$  can be represented as  $\bigcup_{i=1}^k \bigcap_{j=1}^l A_{ij}$  where each  $A_{ij}$  is of the form  $A', \overline{A'}, \ell^{-1}(A')$  or  $\overline{\ell^{-1}(A')}$  for some  $A' \in \mathbf{H}_0(\Omega)$ .

However,  $\mathbf{H}_0(\Omega)$  is closed under complement and  $\overline{\ell^{-1}(A')} = \ell^{-1}(\overline{A'})$ , hence, each  $A_{ij}$  must be of the form  $A'$  or  $\ell^{-1}(A')$  for some  $A' \in \mathbf{H}_0(\Omega)$ . Moreover, we know that  $\mathbf{H}_0(\Omega)$  is closed under intersection and  $\ell^{-1}(A_1) \cap \ell^{-1}(A_2) = \ell^{-1}(A_1 \cap A_2)$ , therefore, the intersection  $\bigcap_{j=1}^l A_{ij}$  can be simplified as  $\ell^{-1}(A_i) \cap B_i$  for some  $A_i, B_i \in \mathbf{H}_0(\Omega)$ .  $\blacksquare$

We claim following lemma, which will be useful for the completeness proof in chapter 5.

**Lemma 3.3.6.** *Consider two ordinals  $\lambda$  and  $\lambda'$ , we have the following statements: (1) If  $A \in \mathbf{H}_1(\lambda + 1)$ , then  $\{\lambda \cdot \mu + \beta \mid \mu < \lambda', \beta \in A\} \in \mathbf{H}_1(\lambda \cdot \lambda' + 1)$ ;  
(2) If  $A \in \mathbf{H}_1(\lambda' + 1)$ , then  $\{\lambda \cdot \mu \mid \ell(\mu) > 0, \mu \in A\} \in \mathbf{H}_1(\lambda \cdot \lambda' + 1)$ .*

*Proof.* (1) Denote  $\{\lambda \cdot \mu + \beta \mid \mu < \lambda', \beta \in A\}$  as  $f(A)$ . It is easy to see that  $f(A \cup B) = f(A) \cup f(B)$  and  $f(A \cap B) = f(A) \cap f(B)$ , hence we only need to prove that  $f(A) \in \mathbf{H}_1(\lambda \cdot \lambda' + 1)$  and  $f(\ell^{-1}(A)) \in \mathbf{H}_1(\lambda \cdot \lambda' + 1)$  for any  $A \in \mathbf{H}_0(\lambda + 1)$ , because  $\mathbf{H}_1(\lambda + 1)$  and  $\mathbf{H}_1(\lambda \cdot \lambda' + 1)$  form boolean algebras.

Fix  $A \in \mathbf{H}_0(\lambda + 1)$ , first, we aim to show that  $\{\lambda \cdot \mu + \beta \mid \mu < \lambda', \beta \in A\} \in \mathbf{H}_1(\lambda \cdot \lambda' + 1)$ . In fact, it is easy to see that  $\{\lambda \cdot \mu + \beta \mid \mu < \lambda', \beta \in A\} \in \mathbf{H}_0(\lambda \cdot \lambda' + 1)$ . The reason is that if we view  $A$  and  $f(A)$  as strings, then  $f(A) = A^{\lambda'}$ , so it is easy to verify that  $A^{\lambda'}$  is hereditarily periodic.

Then, we aim to show that  $f(\ell^{-1}(A)) = \{\lambda \cdot \mu + \beta \mid \mu < \lambda', \beta < \lambda \text{ and } \beta \in \ell^{-1}(A)\} \in \mathbf{H}_1(\lambda \cdot \lambda' + 1)$ . Consider 0,  $f(\ell^{-1}(0))$  contains all the ordinals  $\gamma \in \lambda \cdot \lambda' + 1$  such that  $\ell(\gamma) = 0$  or  $\gamma$  is a multiple of  $\lambda$ . Hence, it is easy to see that  $f(\ell^{-1}(0)) \in \mathbf{H}_1(\lambda \cdot \lambda' + 1)$ .

Now, we can assume that  $0 \notin A$ , otherwise, we divide the hereditarily periodic set  $A$  as  $\{0\} \cup (A \setminus \{0\})$ , then  $f(\ell^{-1}(A)) = f(\ell^{-1}(0) \cup \ell^{-1}(A \setminus \{0\})) = f(\ell^{-1}(0)) \cup f(\ell^{-1}(A \setminus \{0\}))$  and we only need to prove that  $f(\ell^{-1}(A \setminus \{0\})) \in \mathbf{H}_1(\lambda \cdot \lambda' + 1)$ .

Since  $0 \notin A$ , any ordinal in  $f(\ell^{-1}(A))$  must be in the form of  $\lambda \cdot \mu + \beta$  with  $\beta \neq 0$  and  $\beta < \lambda$ , then  $\ell(\lambda \cdot \mu + \beta) = \ell(\beta) \in A$ . On the other hand, it is easy to see that any ordinal  $\gamma \leq \lambda \cdot \lambda' + 1$  with  $\ell(\gamma) \in A$

must be in the form of  $\lambda \cdot \mu + \beta$  for some  $\mu < \lambda'$  and  $\beta \in \ell^{-1}(A)$ ,  $\beta < \lambda$ . So  $f(\ell^{-1}(A)) \in \mathbf{H}_1(\lambda \cdot \lambda' + 1)$ .

- (2) First,  $\{\lambda \cdot \mu | \ell(\mu) > 0, \mu \in A\} = \{\lambda \cdot \mu | \mu \in A \cap \ell^{-1}((\lambda' + 1) \setminus \{0\})\}$  and  $A \cap \ell^{-1}((\lambda' + 1) \setminus \{0\}) \in \mathbf{H}_1(\lambda' + 1)$ . Hence, more generally, we can try to show that if  $A \in \mathbf{H}_1(\lambda' + 1)$  then  $\{\lambda \cdot \mu | \mu \in A\} \in \mathbf{H}_1(\lambda \cdot \lambda' + 1)$  and this is obvious. ■

# Chapter 4

## General Topological Frames

In this chapter, we begin to build general topological frames for GL and GLB, which will be called periodic frames.

Recall the frame condition for GLP (Proposition 2.3.7): if  $\langle \Omega, \tau_0, \tau_1, \mathcal{A} \rangle$  forms a general topological frame for GLB, we have the following conditions for  $\tau_1$ :

- $\tau_0 \subseteq \tau_1$ ;
- For any  $X \in \mathcal{A}$ ,  $d_0(X) \in \tau_1$ .

Hence, the least choice of  $\tau_1$  is the topology generated by  $\tau_0$  and  $\{d_0(X) | X \in \mathcal{A}\}$ . In this chapter,  $H_0(\Omega)$  and  $H_1(\Omega)$  will be used as the set of admissible sets in the general topological frame for GL and GLB respectively, which will be called *periodic frames*. We find that the topology  $\tau_1$  will coincide with the topology  $\theta_2$  introduced by Icard [18]. Last, we will show the soundness of GL and GLB in the corresponding periodic frames. In the next chapter, we will prove that GLB is also complete w.r.t. the class of periodic frames.

### 4.1 General Topological Frames for GL

In this section, we aim to prove that  $\langle \Omega, \tau_0, H_0(\Omega) \rangle$  forms a general topological frame, where  $\tau_0$  is the order topology and  $H_0(\Omega)$  is the set of all 0-periodic sets in  $\Omega$ .

**Proposition 4.1.1.** For any ordinal  $\Omega$ ,  $U(\Omega)$  is closed under the derived set operator w.r.t.  $\tau_0$ .

*Proof.* Suppose  $\pi = \omega^\beta \cdot n$  is a period for  $A$ , and  $\kappa$  is a bound such that  $\ell(\kappa) \geq \beta$ . If  $A$  is bounded, then there is no element in a period, and thus  $d_0(A)$  is also bounded, making it obviously ultimately periodic.

If  $A$  is unbounded, then any period is non-empty. Hence, for any ordinal  $\gamma > \kappa$  with  $\ell(\gamma) > \beta$ , we have  $\gamma \in d_0(A)$ . We consider the following subcases:

- If  $\ell(\Omega) > \beta + 1$ , we can prove that  $\omega^{\beta+1}$  is a period for  $d_0(A)$  with a bound  $\kappa + \omega^{\beta+1}$ . For  $x, y \geq \kappa + \omega^{\beta+1}$ , if  $x \sim_{\omega^{\beta+1}} y$ , we have the following subcases:
  - If  $\ell(x) \geq \beta + 1$ , then  $y$  must also satisfy  $\ell(y) \geq \beta + 1$ , so both  $x$  and  $y$  belong to  $d_0(A)$ .
  - If  $\ell(x) \leq \beta$ , then there exist  $\mu_x, \mu_y$  such that  $x \in (\kappa + \pi \cdot \mu_x, \kappa + \pi \cdot (\mu_x + 1)]$  and  $y \in (\kappa + \pi \cdot \mu_y, \kappa + \pi \cdot (\mu_y + 1)]$ . In this case, it is easy to see that  $x \in d_0(A)$  if and only if  $y \in d_0(A)$ , as the topological structure of these two subsets is the same.
- If  $\ell(\Omega) = \beta + 1$ , then there exists a bound  $\kappa'$  such that  $\Omega = \kappa' + \pi \cdot \omega$ . We can prove that  $\pi$  is a period for  $d_0(A)$  with a bound  $\kappa'$ . This is because if  $x \sim_\pi y$  and  $x, y > \kappa'$ , then there exist  $\mu_x, \mu_y$  such that  $x \in (\kappa' + \pi \cdot \mu_x, \kappa' + \pi \cdot (\mu_x + 1)]$  and  $y \in (\kappa' + \pi \cdot \mu_y, \kappa' + \pi \cdot (\mu_y + 1)]$ . It is easy to see that  $x \in d_0(A)$  if and only if  $y \in d_0(A)$ .

■

**Proposition 4.1.2.** For any ordinal  $\Omega$ ,  $H_0(\Omega)$  is closed under finite union, finite intersection, complement and the derived set operator. Moreover,  $\langle \Omega, \tau_0, H_0(\Omega) \rangle$  forms a general topological frame for **GL**.

*Proof.* By Definition 3.3.1,  $A \in H_0(\Omega)$  iff  $A|_\beta \in U(\beta)$  for any limit ordinal  $\beta \leq \Omega$ . Since all the  $U(\beta)$  are closed under these operators, it is evident that  $H_0(\Omega)$  is also closed under these operators. So, by Definition 2.3.4,  $\langle \Omega, \tau_0, H_0(\Omega) \rangle$  forms a general topological frame.

In Proposition 2.2.4, we have shown that  $\langle \Omega, \tau_0 \rangle$  is a scattered space, i.e. **GL** is valid in  $\langle \Omega, \tau_0 \rangle$ . Moreover, it is obvious that **GL** is also valid in the general topological frame  $\langle \Omega, \tau_0, H_0(\Omega) \rangle$ , because any valuation based on the general topological frame  $\langle \Omega, \tau_0, H_0(\Omega) \rangle$  is also a suitable valuation based on  $\langle \Omega, \tau_0 \rangle$ .

■

Therefore,  $\langle \Omega, \tau_0, \mathbf{H}_0(\Omega) \rangle$  forms a general topological frame for  $\mathbf{GL}$ , we call it *periodic frame* for  $\mathbf{GL}$  (or 0-periodic frame). Algebraically, this means that  $\langle \mathbf{H}_0(\Omega), d_0 \rangle$  forms a modal boolean algebra for  $\mathbf{GL}$ . We call this algebra as *periodic ordinal algebra*.

**Proposition 4.1.3.** For any finite transitive irreflexive tree  $T = \langle W, R \rangle$  of depth  $n$ , there exists an onto  $d$ -map  $f : \langle \omega^n + 1, \tau_0, \mathbf{H}_0(\omega^n + 1) \rangle \rightarrow \langle W, R \rangle$ .

*Proof.* In Theorem 2.2.9, we have constructed the onto  $d$ -map from  $\langle \omega^n + 1, \tau_0 \rangle$  to  $\langle W, R \rangle$ . In order to show that it is also a  $d$ -map between general topological frames, by Definition 2.3.5, we only need to prove that for any  $A \subseteq W$ ,  $f^{-1}(A) \in \mathbf{H}_0(\omega^n + 1)$ .

When we view  $\langle W, R \rangle$  as a general topological frame, it is  $\langle W, \sigma, \mathcal{P}(W) \rangle$  where  $\sigma$  is the topology generated by all the  $R$ -upsets. Hence, we only need to show that for any  $x \in W$ ,  $f^{-1}(\{x\}) \in \mathbf{H}_0(\omega^n + 1)$ . Prove it by induction on the depth  $n$ :

- If  $n > 0$ , the tree  $T$  contains only one irreflexive point  $a$ , so the result is trivial.
- Suppose that for any  $k < n$  and a finite transitive irreflexive tree  $T' = \langle W', R' \rangle$  of depth  $k$ , the result holds for the onto  $d$ -map  $f' : \omega^k + 1 \twoheadrightarrow T'$ . That is, for any  $x \in W'$ ,  $f'^{-1}(\{x\}) \in \mathbf{H}_0(\omega^k + 1)$ .

Now, we prove it for the case  $n$ . In the proof of Theorem 2.2.9,  $T = \bigsqcup_{i=1}^l T_i \cup \{a\}$  and  $\omega^n + 1$  is written as the disjoint union  $\bigsqcup_{j=1}^{\infty} X_j \cup \{\omega^n\}$  and the  $d$ -map from  $\omega^n + 1$  to  $T$  is constructed as: (i)  $f(\omega^n) = a$ ; (ii) For each  $i \in \omega$ , suppose that  $i \equiv j \pmod k$  for  $j < l$ . Then  $f|_{X_i} : X_i \rightarrow T_j$  is specified as the map  $f_j : \omega^{n_j} + 1 \twoheadrightarrow T_j$ .

In order to prove  $f^{-1}(\{x\}) \in \mathbf{H}_0(\omega^n + 1)$ , we divide it into two subcases:

- If  $x = a$ , then it is obvious that  $f^{-1}(\{x\}) = \{\omega^n\}$ . Therefore, it forms a hereditarily periodic set in  $\omega^n + 1$ .
- If  $x \in T_j$  for  $j \in [1, l]$  and the depth of  $T_j$  is  $n_j$ . By the induction hypothesis, we know that  $f_j^{-1}(\{x\}) \in \mathbf{H}_0(\omega^{n_j} + 1)$ . In the construction of  $f$ , we know that  $f|_{X_i} : X_i \rightarrow T_j$  is specified as the  $d$ -map  $f_j : \omega^{n_j} + 1 \twoheadrightarrow T_j$ . Therefore, for each  $i \in \omega$ ,  $f^{-1}(x) \cap X_i$  is a hereditarily periodic set in  $\omega^n + 1$ . In all,  $f^{-1}(x)$  is the union of them, which is also a hereditarily periodic set in  $\omega^n + 1$ .

In all, we prove that, the onto  $d$ -map we constructed in the proof of Theorem 2.2.9 is also an onto  $d$ -map from  $\langle \omega^n + 1, \tau_0, \mathbf{H}_0(\omega^n + 1) \rangle$  to  $\langle W, R \rangle$ . ■

By Proposition 2.3.6, we have the following corollary.

**Corollary 4.1.4.** For any finite Kripke model  $\langle W, R \rangle$  of GL, there exists an ordinal  $\Omega < \omega^\omega$  and an embedding from  $\langle \mathcal{P}(W), d_\sigma \rangle$  to  $\langle \mathbf{H}_0(\Omega), d_0 \rangle$ . In other words, any finite algebra of GL, which is generated from a finite Kripke model of GL, can be embedded in a periodic ordinal algebra.

## 4.2 General Topological Frames for GLB

In this section, we aim to find a suitable  $\tau_1$  and show that  $\langle \Omega, \tau_0, \tau_1, \mathbf{H}_1(\Omega) \rangle$  forms a general topological frame for GLB, which will be called periodic frame for GLB.

As we have discussed, if  $\langle \Omega, \tau_0, \tau_1, \mathcal{A} \rangle$  is a general polytopological space of GLB, then the least choice of  $\tau_1$  is the topology generated by  $\tau_0$  and  $\{d_0(X) \mid X \in \mathcal{A}\}$ . Hence, let  $\tau_1$  be defined as the topology generated by  $\tau_0$  and  $\{d_0(X) \mid X \in \mathbf{H}_1(\Omega)\}$ .

Fortunately, we find that  $\tau_1$  coincides with the topology  $\theta_2$  introduced by Icard [18]. In [18], Icard introduced a topological model for the variable-free fragment of GLP (Icard's space). This model is the ordinal  $\epsilon_0$  equipped with a sequence of topologies  $\theta_0, \theta_1, \dots$  where  $\theta_0$  is the topology whose open sets are downward closed subsets of  $\epsilon_0$  and the topology  $\theta_n$  is generated by  $\theta_0$  and all sets  $U_\beta^n$  for  $\beta < \epsilon_0$ , with

$$U_\beta^n := \{\gamma < \epsilon_0 : \ell^n(\gamma) > \beta\}.$$

He showed that the variable-free fragment of GLP is sound and complete w.r.t. Icard's space under the natural interpretation of modalities as the derived set operations of the corresponding topologies. In fact, Icard's space can be considered as a general topological frame for GLP where the algebra of admissible sets consists precisely of those sets definable by variable-free GLP-formulas. However, it is well-known that GLP is incomplete w.r.t. this general topological frame.

Now, we show that  $\tau_1$  coincides with topology  $\theta_2$ . Later, by extending the family of admissible sets, we will define the general topological frames for which GLB will be sound and complete.

**Proposition 4.2.1.** In an ordinal space  $\Omega$ , topology  $\tau_1$  generated by the order topology  $\tau_0$  with  $\{d_0(X) \mid X \in \mathbf{H}_1(\Omega)\}$  is equal to topology  $\theta_2$  generated by  $\tau_0$  with all the sets of the form  $U_\beta = \{\gamma : \ell(\gamma) > \beta\}$ .

*Proof.* For the direction  $\theta_2 \subseteq \tau_1$ , we show that for any  $\beta$ , there exists  $X \in \mathbf{H}_1(\Omega)$  such that  $d_0(X) = U_\beta$ . In fact, we can find a  $X \in \mathbf{H}_0(\Omega)$  satisfying  $d_0(X) = U_\beta$ : let  $X := \{0\} \cup \{\alpha \mid \ell(\alpha) \geq \beta\}$ , then we prove the following statements:

- First, we prove that  $X \in \mathbf{H}_0(\Omega)$ . If so, we also have  $X \in \mathbf{H}_1(\Omega)$ .  
For any limit ordinal  $\gamma \leq \Omega$ , we should prove that  $X|_\gamma \in \mathbf{U}(\gamma)$ :
  - If  $\ell(\gamma) > \beta$ , then  $X|_\gamma$  exactly contains all the ordinals  $\alpha < \gamma$  such that  $0 \equiv \alpha \pmod{\omega^\beta}$ . Hence,  $X|_\gamma$  is a periodic set with period  $\omega^\beta$  satisfying  $\omega^\beta < \omega^{\ell(\gamma)}$ .
  - If  $\ell(\gamma) \leq \beta$ , then we can find a bound  $\kappa$  such that for any ordinal  $\alpha \in (\kappa, \gamma)$ ,  $\ell(\alpha) < \beta$ . Then,  $X \cap (\kappa, \gamma) = \emptyset$ . Therefore,  $X|_\gamma \in \mathbf{U}(\gamma)$ .
- Second, we prove that  $d_0(X) = U_\beta$ . For an ordinal  $\alpha$ , we consider two cases:
  - $\ell(\alpha) \leq \beta$ , then there exist  $\mu$  such that  $\alpha \in (\omega^\beta \cdot \mu, \omega^\beta \cdot (\mu + 1)]$ . Then  $(\omega^\beta \cdot \mu, \omega^\beta \cdot (\mu + 1)]$  is a  $\tau_0$ -open set and  $(\omega^\beta \cdot \mu, \omega^\beta \cdot (\mu + 1)] \cap X = \{\omega^\beta \cdot (\mu + 1)\}$ . Hence,  $d_0((\omega^\beta \cdot \mu, \omega^\beta \cdot (\mu + 1)] \cap X) = \emptyset$ . So  $\alpha$  is not a limit point for  $X$ .
  - $\ell(\alpha) > \beta$ , then  $X|_\alpha$  is unbounded, because for any  $x < \alpha$ , we have  $x + \omega^\beta < \alpha$ . Hence,  $\alpha$  is a limit point of the set  $X|_\alpha$ .

Hence,  $d_0(X) = U_\beta$ .

So, we prove that  $X \in \mathbf{H}_1(\Omega)$  and  $d_0(X) = U_\beta$ . Hence,  $\theta_2 \subseteq \tau_1$ .

For the other direction  $\tau_1 \subseteq \theta_2$ , we aim to show that for any  $X \in \mathbf{H}_1(\Omega)$ ,  $d_0(X) \in \theta_2$ . By Proposition 3.3.5, we can assume that  $X = \bigcup_{i=1}^k (\ell^{-1}(A_i) \cap B_i)$  where  $A_i, B_i \in \mathbf{H}_0(\Omega)$  for  $i \in [1, k]$ , so  $d_0(X) = \bigcup_{i=1}^k d_0(\ell^{-1}(A_i) \cap B_i)$ . Hence, we only need to show that for any  $\gamma \in d_0(\ell^{-1}(A_i) \cap B_i)$ , there exists an open set  $U \in \tau_1^I$  such that  $\gamma \in U \subseteq d_0(\ell^{-1}(A_i) \cap B_i)$ . We divide it to two cases:

- Suppose that  $\ell^2(\gamma) = 0$ , in [18], it is prove that such a  $\gamma$  is an isolated point in  $\theta_2$ . Hence  $\{\gamma\}$  is an open set in  $\theta_2$  and  $\gamma \in \{\gamma\} \subseteq d_0(X)$ .
- Suppose that  $\ell^2(\gamma) > 0$ , then  $\ell(\gamma)$  is a limit ordinal. Since  $B_i \in \mathbf{H}_0(\Omega)$ , so  $B_i|\gamma$  is ultimately periodic in  $\gamma$  with a period  $\pi < \omega^{\ell(\gamma)}$  and a bound  $\kappa$ . By Corollary 3.2.3, we can assume that  $\pi = \omega^\beta$  with  $\beta < \ell(\gamma)$  and  $\ell(\kappa) \geq \beta$ .

Since  $\gamma \in d_0(\ell^{-1}(A_i) \cap B_i)$ ,  $\ell^{-1}(A_i) \cap B_i$  is unbounded in  $\gamma$ . Consider an ordinal  $\alpha \in \ell^{-1}(A_i) \cap B_i$  such that  $\alpha > \kappa, \alpha \in B_i, \ell(\alpha) \in A_i$  and  $\ell(\alpha) < \ell(\gamma)$ , then it is easy to see that  $\{\delta : \delta > \kappa, \ell(\delta) = \ell(\alpha), \delta \equiv \alpha \pmod{\pi}\} \subseteq \ell^{-1}(A_i) \cap B_i$ . Finally, this means that  $U_{\ell(\alpha)} \cap (\kappa, \Omega) \subseteq d_0(\ell^{-1}(A_i) \cap B_i)$ , which is an open set in  $\tau_1^I$ .

Hence, we prove that for any  $X \in \mathbf{H}_1(\Omega)$  and any  $\gamma \in d_0(X)$ , there exists an  $\theta_2$ -open neighbourhood of  $\gamma$  which contains in  $d_0(X)$ . Therefore,  $d_0(X)$  is  $\theta_2$ -open, which shows that  $\tau_1 \subseteq \theta_2$ .

In all, we prove that  $\tau_1 = \theta_2$ . ■

Since  $\tau_1 = \theta_2$ , we consider  $\tau_0 \cup \{U_\beta : \beta < \Omega\}$  as a basis for  $\tau_1$ .

The following proposition from [4, Lemma 13.1] will be very useful later.

**Proposition 4.2.2.** For any ordinal  $\Omega$ , the following statements hold:

- (1)  $\ell : \langle \Omega, \tau_1 \rangle \rightarrow \langle \Omega, \tau_0 \rangle$  is a  $d$ -map;
- (2)  $\ell^2$  is the rank function of  $\tau_1$ .

Now, we aim to show that  $\langle \Omega, \tau_0, \tau_1, \mathbf{H}_1(\Omega) \rangle$  forms a general topological frames, that is, we need to prove that  $\mathbf{H}_1(\Omega)$  is closed under  $d_0$  and  $d_1$ .

**Proposition 4.2.3.**  $\mathbf{H}_1(\Omega)$  is closed under the derived set operator w.r.t.  $\tau_0$ .

*Proof.* For  $A \in \mathbf{H}_1(\Omega)$ , by Definition 3.3.5, we can assume that  $A = \bigcup_{i=1}^k (\ell^{-1}(A_i) \cap B_i)$  where  $A_i, B_i \in \mathbf{H}_0(\Omega)$  for  $i \in [1, k]$ . It is easy to see that  $d_0(\bigcup_{i=1}^k (\ell^{-1}(A_i) \cap B_i)) = \bigcup_{i=1}^k d_0(\ell^{-1}(A_i) \cap B_i)$ . Hence, we only need to show that for any  $A, B \in \mathbf{H}_0(\Omega)$ , we have  $d_0(\ell^{-1}(A) \cap B) \in \mathbf{H}_1(\Omega)$ . We aim to show that for any limit ordinal  $\gamma \leq \Omega$ , if  $B$  is ultimately periodic with respect to  $\gamma$  (i.e.  $B \cap \gamma$  is ultimately periodic in  $\gamma$ ), then so is  $d_0(\ell^{-1}(A) \cap B)$ .

With respect to  $\gamma$ , suppose that there exists a bound  $\kappa$  and a period  $\pi := \omega^\nu(n+1)$ , that is to say,  $B \cap \gamma$  can be viewed as a string  $B_0 B_1^\alpha$  as

Definition 3.1.4 such that  $\kappa = |B_0|$ ,  $\pi = |B_1|$  and  $\gamma = \kappa + \pi \cdot \alpha$ . Hence, by the additivity of  $d_0$ , it is sufficient to show that  $d_0(B_0 \cap \ell^{-1}(A))$  and  $d_0(B_1^\alpha \cap \ell^{-1}(A))$  are both ultimately periodic in  $\gamma$ .

$B_0 \cap \ell^{-1}(A)$  is bounded in  $\gamma$ , therefore, so is  $d_0(B_0 \cap \ell^{-1}(A))$ . Hence, it is ultimately periodic in  $\gamma$ .

For  $d_0(B_1^\alpha \cap \ell^{-1}(A))$ , consider the set  $E := \{\pi \cdot \beta : \beta < \alpha\}$ . These are the coordinates of the first occurrences of each period  $B_1$  in the string. Then, we split  $B_1^\alpha$  into  $B_1^\alpha \cap E$  and  $B_1^\alpha \setminus E$ . By periodicity, if  $0 \in B_1$  then  $B_1^\alpha \cap E = E$ , otherwise  $B_1^\alpha \cap E = \emptyset$ . Using the additivity of  $d_0$  again, we now deal with  $d_0(B_1^\alpha \cap E \cap \ell^{-1}(A))$  and  $d_0((B_1^\alpha \setminus E) \cap \ell^{-1}(A))$  separately.

For  $d_0(B_1^\alpha \cap E \cap \ell^{-1}(A))$ , there are two cases: if  $0 \notin B_1$ , then  $B_1^\alpha \cap E = \emptyset$ , so  $d_0(B_1^\alpha \cap E \cap \ell^{-1}(A)) = \emptyset$ ; if  $0 \in B_1$ , then  $d_0(B_1^\alpha \cap E \cap \ell^{-1}(A)) = d_0(E \cap \ell^{-1}(A))$ , which is obviously ultimately periodic.

For  $d_0((B_1^\alpha \setminus E) \cap \ell^{-1}(A))$ , we observe that the ranks of points in  $B_1^\alpha \setminus E$  are the same as of the corresponding points in  $B_1 \setminus \{0\}$ . Hence,  $d_0((B_1^\alpha \setminus E) \cap \ell^{-1}(A)) = d_0(((B_1 \setminus \{0\}) \cap \ell^{-1}(A))^\alpha)$ . Therefore, it is obviously ultimately periodic. ■

**Proposition 4.2.4.**  $H_1(\Omega)$  is closed under the derived set operator w.r.t.  $\tau_1$ .

*Proof.* For  $A \in H_1(\Omega)$ , by Definition 3.3.5, we can assume that  $A = \bigcup_{i=1}^k (\ell^{-1}(A_i) \cap B_i)$  where  $A_i, B_i \in H_0(\Omega)$  for  $i \in [1, k]$ . It is easy to see that  $d_1(\bigcup_{i=1}^k (\ell^{-1}(A_i) \cap B_i)) = \bigcup_{i=1}^k d_1(\ell^{-1}(A_i) \cap B_i)$ . Hence, we only need to show that for any  $A, B \in H_0(\Omega)$ , we have  $d_1(\ell^{-1}(A) \cap B) \in H_1(\Omega)$ .

In fact, we aim to show that  $d_1(\ell^{-1}(A) \cap B) = d_1(\ell^{-1}(A)) \cap d_1(B)$ . It is obvious that  $d_1(\ell^{-1}(A) \cap B) \subseteq d_1(\ell^{-1}(A)) \cap d_1(B)$ , hence we only need to show the other direction.

Assume that  $\alpha \in d_1(\ell^{-1}(A)) \cap d_1(B)$ , then  $\ell^2(\alpha) > 0$ . Since  $B \in H_0(\Omega)$ , we have  $B|_\alpha \in U(\alpha)$ . Then, for  $B|_\alpha$ , there exists a period  $\pi = \omega^\beta$  and a bound  $\kappa$  such that  $\ell(\kappa) \geq \beta$ . For any  $\kappa < x, y < \alpha$ , if  $\ell(x), \ell(y) \geq \beta$ , then  $x \sim_\pi y$ . Hence, either  $U_\beta \cap (\kappa, \alpha) \subseteq B$  or  $U_\beta \cap (\kappa, \alpha) \cap B = \emptyset$ . However, if  $U_\beta \cap (\kappa, \alpha) \cap B = \emptyset$  then  $U_\beta \cap (\kappa, \alpha]$  is an  $\tau_1$ -open neighbourhood of  $\alpha$  such that  $U_\beta \cap (\kappa, \alpha] \cap B|_\alpha = \emptyset$ , which is contradict to the assumption  $\alpha \in d_1(B)$ . Hence, we must have  $U_\beta \cap (\kappa, \alpha) \subseteq B$ .

Then we know that  $U_\beta \cap (\kappa, \alpha]$  is an  $\tau_1$ -open neighbourhood of  $\alpha$  such that  $U_\beta \cap (\kappa, \alpha) \subseteq B$ . In this case, it is obvious that  $\alpha \in d_1(\ell^{-1}(A)) \Rightarrow \alpha \in d_1(\ell^{-1}(A) \cap U_\beta \cap (\kappa, \alpha)) \Rightarrow \alpha \in d_1(\ell^{-1}(A) \cap B)$ .

Now, we have  $d_1(\ell^{-1}(A) \cap B) = d_1(\ell^{-1}(A)) \cap d_1(B)$ . By Proposition 4.2.2, we know that  $\ell$  is a  $d$ -map from  $\langle \Omega, \tau_1 \rangle$  to  $\langle \Omega, \tau_0 \rangle$ . Combining with Proposition 2.2.8, we have  $d_1(\ell^{-1}(A)) = \ell^{-1}(d_0(A))$ . Therefore,  $d_1(\ell^{-1}(A) \cap B) = d_1(\ell^{-1}(A)) \cap d_1(B) = \ell^{-1}(d_0(A)) \cap d_1(B)$ . Since  $A, B \in \mathbf{H}_0(\Omega)$  and  $\mathbf{H}_0(\Omega)$  is closed under  $d_0$  and  $d_1$ , we have  $d_0(A) \in \mathbf{H}_0(\Omega)$  and  $d_1(B) \in \mathbf{H}_0(\Omega)$ . Hence, we prove that  $d_1(\ell^{-1}(A) \cap B) \in \mathbf{H}_1(\Omega)$ , i.e.,  $\mathbf{H}_1(\Omega)$  is closed under  $d_1$ . ■

Hence,  $\langle \Omega, \tau_0, \tau_1, \mathbf{H}_1(\Omega) \rangle$  forms a general topological frames. We call such frames *periodic frames* or 1-periodic frames.

**Proposition 4.2.5.** For any ordinal  $\Omega$ , GLB is sound w.r.t. the general topological frame  $\langle \Omega, \tau_0, \tau_1, \mathbf{H}_1(\Omega) \rangle$ .

*Proof.* First, in [18], it is proved that both  $\langle \Omega, \tau_0 \rangle$  and  $\langle \Omega, \tau_1 \rangle$  are scattered spaces. Second,  $\tau_0 \subseteq \tau_1$ .

Last, for any  $A \in \mathbf{H}_1(\Omega)$ , we have proved that  $d_0(A) \in \tau_1$ .  $\mathbf{H}_0(\Omega) \subseteq \mathbf{H}_1(\Omega)$ , so, for  $A \in \mathbf{H}_0(\Omega)$ ,  $d_0(A) \in \tau_1$  is also true.

In all, by Proposition 2.3.7, all the axioms of GLB are valid in  $\langle \Omega, \tau_0, \tau_1, \mathbf{H}_1(\Omega) \rangle$ . ■

# Chapter 5

## Completeness for GLB

In this chapter, our goal is to prove that GLB is complete with respect to the class of 1-periodic frames.

### 5.1 JB-Frame

For the topological completeness proof, we need to discuss a subsystem of GLB introduced in [8] and denoted JB. This logic is defined by weakening axiom (ii) of GLB to the following axioms (iv) and (v) both of which are theorems of GLP:

$$(iv) [0]\varphi \rightarrow [1][0]\varphi;$$

$$(v) [0]\varphi \rightarrow [0][1]\varphi.$$

The logic JB corresponds to a simple class of frames, which is established using standard methods [8, Theorem 1]. u

**Lemma 5.1.1.** *JB is sound and complete w.r.t the class of (finite) frames  $\langle W, R_0, R_1 \rangle$  such that, for all  $x, y, z \in W$ ,*

*1  $R_0$  and  $R_1$  are transitive and dually well-founded binary relations;*

*2 If  $xR_1y$ , then  $xR_0z$  iff  $yR_0z$ ;*

*3  $xR_0y$  and  $yR_1z$  imply  $xR_0z$ .*

Let  $R_0^*$  denote the transitive closure of  $R_0 \cup R_1$  and let  $E_0, E_1$  denote the reflexive, symmetric, transitive closure of  $R_0^*$  and  $R_1$ , respectively. It is clear that  $E_1$  refines  $E_0$ . We call each  $E_i$  equivalence class a *i-sheet*. By condition 2, all points in a 1-sheet are  $R_0$  incomparable. However,  $R_0$  naturally defines an ordering on 1-sheets as follows: if  $\alpha$  and  $\beta$  are 1-sheets, then  $\alpha R_0 \beta$  iff  $\exists x \in \alpha \exists y \in \beta x R_0 y$ . By the standard techniques, one can improve the Lemma to show the completeness, in which the set of 1-sheets contained in each 0-sheet is a tree under  $R_0$ , and if  $\alpha R_0 \beta$  then  $x R_0 y$  for all  $x \in \alpha, y \in \beta$ . Any structure satisfying these conditions automatically becomes a **JB-frame**, which we refer to as a "tree-like **JB-frame**" (**JB-tree** for short).

As shown in [8], **GLB** is reducible to **JB** in the following sense. First, assume that  $\varphi$  is a formula in the language  $\mathcal{L}_B$  containing both  $[0]$  and  $[1]$ . Denote the set of all subformulas of  $\varphi$  of the form  $[0]\psi$  as  $\{[0]\psi_i\}_{i \in I}$ . Then, let

$$M(\varphi) := \bigwedge_{i \in I} ([0]\psi_i \rightarrow [1]\psi_i).$$

When  $\varphi$  doesn't contain both  $[0]$  and  $[1]$ , we define  $M(\varphi)$  as  $\top$ . Also, let  $M^+(\varphi) := M(\varphi) \wedge [0]M(\varphi) \wedge [1]M(\varphi)$ .

**Proposition 5.1.2** ([8]). **GLB**  $\vdash \varphi$  iff **JB**  $\vdash M^+(\varphi) \rightarrow \varphi$ .

Consider a **JB-tree**  $T = \langle T, R_0, R_1 \rangle$ . A node  $w \in T$  is called a 0-root if there is no predecessor of  $w$  with respect to  $R_0^*$ , and it is called a 1-root if there is no predecessor of  $w$  with respect to  $R_1$ .

**Definition 5.1.3.** We view  $T$  as a polytopological space  $T = \langle T, \sigma_0, \sigma_1 \rangle$  by considering all  $R_i$ -upsets to be  $\sigma_i$ -open. Given a general topological space  $A = \langle \Omega, \tau_0, \tau_1, \mathbf{H}_1(\Omega) \rangle$  and a map  $f : \Omega \rightarrow T$  we will say that  $f$  is a **JB-morphism** if:

- (j<sub>1</sub>) For any subset  $X \subseteq T$ ,  $f^{-1}(X) \in \mathbf{H}_1(\Omega)$ ;
- (j<sub>2</sub>)  $f : \langle \Omega, \tau_1 \rangle \rightarrow \langle T, \sigma_1 \rangle$  is a *d*-map;
- (j<sub>3</sub>)  $f : \langle \Omega, \tau_0 \rangle \rightarrow \langle T, \sigma_0 \rangle$  is an open map;
- (j<sub>4</sub>) For each 1-root  $w \in T$ , the sets  $f^{-1}(R_0^*(w))$  and  $f^{-1}(R_0^*(w) \cup \{w\})$  are open in  $\tau_0$ ;
- (j<sub>5</sub>) For each 1-root  $w \in T$ , the set  $f^{-1}(w)$  is a  $\tau_0$ -discrete subspace of  $A$ .

A **JB**-morphism  $f : \Omega \rightarrow T$  can be thought of as a map which is a weak kind of  $d$ -map from  $\langle \Omega, \tau_i \rangle$  to  $\langle T, \sigma_i \rangle$  for  $i \in \{0, 1\}$ .

The definition of **JB**-morphism is a generalization of the definition in [3], denoted as  $J_2$ -morphism there. The difference is that their  $J_2$ -morphism is a kind of morphism from a topological space to a **JB**-tree, but we generalize it for general topological frames. Hence, we add the condition  $(j_1)$ ,  $(j_2) - (j_5)$ , which have existed in the definition of  $J_2$ -morphism in [3]. Then, the following theorem is a version of Theorem 6.6 in [3].

**Theorem 5.1.4.** *Let  $A = \langle \Omega, \tau_0, \tau_1, H_1(\Omega) \rangle$  be a general topological frame for GLB,  $T$  a **JB**-tree,  $f : \Omega \rightarrow T$  a **JB**-morphism and  $\varphi$  a  $\mathcal{L}_B$ -formula. Then  $A \models \varphi$  iff  $T \models M^+(\varphi) \rightarrow \varphi$ .*

The proof of this theorem will be the same as the proof for Theorem 6.6 in [3].

Our aim is to prove the following lemma.

**Lemma 5.1.5 (Main).** *For each finite **JB**-tree  $\langle T, R_0, R_1 \rangle$ , there exists an ordinal  $\Omega$  and an onto **JB**-morphism  $f : \langle [1, \Omega], \tau_0, \tau_1, H_1(\Omega + 1) \rangle \twoheadrightarrow \langle T, R_0, R_1 \rangle$ , where  $\Omega < \omega^{\omega^\omega}$ .*

Using this lemma, it is easy to see that the logic GLB is complete w.r.t. the class of 1-periodic frames.

*Remark 5.1.6.* It is worth noting that in Lemma 5.1.5, we analyze the general topological frames based on sets of the form  $[1, \Omega]$  instead of  $[0, \Omega]$ . Here, it should be understood as the subframe, i.e., we restrict the topologies  $\tau_0$  and  $\tau_1$ , as well as the admissible sets  $H_1(\Omega + 1)$ , to  $[1, \Omega]$ . For convenience, we still denote it as  $\langle [1, \Omega], \tau_0, \tau_1, H_1(\Omega + 1) \rangle$ .

On the other hand, it is easy to see that a 1-periodic frame  $\langle [0, \Omega], \tau_0, \tau_1, H_1(\Omega + 1) \rangle$  is isomorphic to the subframe  $\langle [1, \Omega], \tau_0, \tau_1, H_1(\Omega + 1) \rangle$ .

Moreover, this topological completeness theorem can also be stated in a stronger *uniform* way.

**Theorem 5.1.7.** *Let  $\Omega = \langle \omega^{\omega^\omega}, \tau_0, \tau_1, H_1(\omega^{\omega^\omega}) \rangle$ . Then  $\text{Log}(\Omega) = \text{GLB}$ .*

## 5.2 Some operations on Ordinal Spaces

In this part, we introduce two operations on ordinal spaces: sum and lifting.

**Definition 5.2.1** (Sum). Suppose that we have a finite number of ordinals  $\lambda_1, \dots, \lambda_k$  and  $d$ -maps  $f_i : \langle [1, \lambda_i], \tau_0, \tau_1, \mathbf{H}_1(\lambda_i + 1) \rangle \rightarrow \langle T_i, R_0, R_1 \rangle$  where  $\langle T_i, R_0, R_1 \rangle$  is a **JB**-tree for each  $i \in [1, k]$ . Define  $\lambda = \lambda_1 + \dots + \lambda_k$ , and the *sum* space is  $\langle [1, \lambda], \tau_0, \tau_1, \mathbf{H}_1(\lambda + 1) \rangle$ . Moreover, we define the *sum* of these **JB**-morphisms  $f_i$ , denoting as  $\bigsqcup_{i=1}^k f_i : \langle [1, \lambda], \tau_0, \tau_1, \mathbf{H}_1(\lambda + 1) \rangle \rightarrow \bigsqcup_{i=1}^k \langle T_i, R_0, R_1 \rangle$ , as follows:

$$\bigsqcup_{i=1}^k f_i(\beta) := f_i(\beta'), \text{ if } \beta = \lambda_1 + \dots + \lambda_{i-1} + \beta', \beta' \in [1, \lambda_i].$$

The following proposition regarding the sum operation is straightforward.

**Proposition 5.2.2.** The sum space  $\langle [1, \lambda], \tau_0, \tau_1, \mathbf{H}_1(\lambda + 1) \rangle$  is isomorphic to the topological sum  $\bigsqcup_{i=1}^k [1, \lambda_i + 1]$ . Moreover, the sum of **JB**-morphisms  $\bigsqcup_{i=1}^k f_i$  is a **JB**-morphism.

Next, we introduce the lifting operation, similar to [3, Lemma 8.6], which allows us to construct a  $d$ -map from an ordinal equipped with the topology  $\tau_1$  to a tree-like Kripke model.

**Definition 5.2.3** (Lifting Space). For an ordinal space  $\langle [0, \lambda], \tau_0 \rangle$ , the ordinal space  $\langle [1, \omega^\lambda], \tau_1 \rangle$  is called the *lifting space* of  $\langle [0, \lambda], \tau_0 \rangle$ .

**Lemma 5.2.4.** *The rank function  $\ell : \langle [1, \omega^\lambda], \tau_1, \mathbf{H}_1(\omega^\lambda + 1) \rangle \rightarrow \langle [0, \lambda], \tau_0, \mathbf{H}_0(\lambda + 1) \rangle$  is a  $d$ -map.*

*Proof.* In Proposition 4.2.2, we have shown that  $\ell$  is a  $d$ -map from  $\langle [1, \omega^\lambda], \tau_1 \rangle$  to  $\langle [0, \lambda], \tau_0 \rangle$ . Therefore, we only need to show that for any  $A \in \mathbf{H}_0(\lambda + 1)$ ,  $\ell^{-1}(A) \in \mathbf{H}_1(\omega^\lambda + 1)$ . This follows directly from Definition 3.3.4.  $\blacksquare$

## 5.3 Proof of main lemma

For each finite **JB**-tree  $\langle T, R_0, R_1 \rangle$  with a root  $a$ , we aim to specify an ordinal  $\lambda < \omega^{\omega^\omega}$  such that there exists a **JB**-morphism  $f : \langle [1, \lambda], \tau_0, \tau_1, \mathbf{H}_1(\lambda + 1) \rangle \rightarrow \langle T, R_0, R_1 \rangle$  with  $f^{-1}(a) = \{\lambda\}$ . We refer to such a **JB**-morphism as *suitable*. We divide the proof into the following lemmas.

We prove the claim by induction on the  $R_0$ -depth of  $T$ , which is denoted as  $ht_0(T)$ .

**Lemma 5.3.1** (The Base Step). *Suppose  $ht_0(T) = 0$ . Then there exists an ordinal  $\lambda < \omega^{\omega^\omega}$  and a suitable JB-morphism from  $[1, \lambda]$  to  $T$ .*

*Proof.* If  $ht_0(T) = 0$ , then  $T$  contains only one 1-sheet, i.e. it is a tree w.r.t. relation  $R_1$ .

We construct the model in two steps:

- Consider the tree  $\langle T, R_1 \rangle$ , which contains only one relation. By Theorem 2.2.9 and Proposition 4.1.3, we know that there exists an ordinal  $\lambda_0 < \omega^\omega$  and a mapping  $f_0 : [0, \lambda_0] \rightarrow T$  such that  $f_0 : \langle [0, \lambda_0], \tau_0, \mathbf{H}_0(\lambda_0 + 1) \rangle \rightarrow \langle T, R_1 \rangle$  is a  $d$ -map.
- By Lemma 5.2.4, we have the lifting space  $\langle [1, \omega^{\lambda_0}], \tau_1, \mathbf{H}_1(\omega^{\lambda_0} + 1) \rangle$  such that the rank function  $\ell : \langle [1, \omega^{\lambda_0}], \tau_0, \tau_1, \mathbf{H}_1(\omega^{\lambda_0} + 1) \rangle \rightarrow \langle [0, \lambda_0], \tau_0, \mathbf{H}_0(\lambda_0 + 1) \rangle$  is a  $d$ -map.

Combining the two steps, we obtain a  $d$ -map  $f_0 \circ \ell : \langle [1, \omega^{\lambda_0}], \tau_1, \mathbf{H}_1(\omega^{\lambda_0} + 1) \rangle \rightarrow \langle T, R_1 \rangle$  denoted as  $f$ . We now prove that  $f$  is also a JB-morphism from  $\langle [1, \omega^{\lambda_0}], \tau_0, \tau_1, \mathbf{H}_1(\omega^{\lambda_0} + 1) \rangle$  to  $\langle T, R_0, R_1 \rangle$ :

- ( $j_1$ ) We need to prove that for any point  $w \in T$ ,  $f^{-1}(\{w\}) \in \mathbf{H}_0(\omega^{\lambda_0} + 1)$ . Since  $f = f_0 \circ \ell$ , we have  $f^{-1}(\{w\}) = \ell^{-1}(f_0^{-1}(\{w\}))$ . Since  $f_0$  is the function constructed in the proof of Theorem 2.2.9, we know that  $f_0^{-1}(\{w\}) \in \mathbf{H}_0(\lambda_0 + 1)$ . By Definition 3.3.4, if  $A \in \mathbf{H}_0(\lambda_0 + 1)$ , then  $\ell^{-1}(A) \in \mathbf{H}_1(\omega^{\lambda_0} + 1)$ . Therefore,  $f^{-1}(\{w\})$  is a 1-periodic set in  $\omega^{\lambda_0} + 1$ .
- ( $j_2$ ) Since  $f$  is a  $d$ -map from  $\langle \omega^{\lambda_0} + 1, \tau_1 \rangle$  to  $\langle T, R_1 \rangle$ , the condition ( $j_2$ ) is satisfied.
- ( $j_3$ ) In this case,  $R_0 = \emptyset$ , so any subset of  $T$  is a  $\sigma_0$ -open set. Hence, it is evident that  $f : \langle \omega^{\lambda_0} + 1, \tau_0 \rangle \rightarrow \langle T, R_0 \rangle$  is an open map.
- ( $j_4$ ) Since  $R_0 = \emptyset$ , so  $R_0^* = R_1$ .  $T$  contains only one 1-sheet, i.e. the root  $a$  of  $T$ . Hence, we only need to check that  $f^{-1}(T \setminus \{a\})$  and  $f^{-1}(T)$  are open in  $\tau_0$ .  $f^{-1}(\{a\}) = \ell^{-1}(f_0^{-1}(\{a\})) = \ell^{-1}(\{\lambda_0\}) = \{\omega^{\lambda_0}\}$ , so  $f^{-1}(T \setminus \{a\}) = \{\alpha \mid \alpha < \omega^{\lambda_0}\}$  and  $f^{-1}(T) = \{\alpha \mid \alpha \leq \omega^{\lambda_0}\}$ , which are both  $\tau_0$ -open.
- ( $j_5$ ) We have checked that  $f^{-1}(\{a\}) = \{\omega^{\lambda_0}\}$ , so it is obviously a  $\tau_0$ -discrete subspace.

Therefore, we conclude that  $f$  is a **JB**-morphism from  $\langle [1, \omega^{\lambda_0}], \tau_0, \tau_1, \mathbf{H}_1(\omega^{\lambda_0} + 1) \rangle$  to  $\langle T, R_0, R_1 \rangle$ . Obviously,  $\omega^{\lambda_0} < \omega^{\omega^\omega}$ .  $\blacksquare$

**Lemma 5.3.2** (The Induction Step). *Suppose that  $ht_0(T) = m > 0$ . Then there exists an ordinal  $\lambda < \omega^{\omega^\omega}$  and a suitable **JB**-morphism from  $[1, \lambda]$  to  $T$ .*

*Proof.* If  $ht_0(T) = m > 0$ . Let  $a_1, \dots, a_k$  be the immediate  $R_0$ -successors of  $a$ , which are 1-roots. Denote  $T_i = \{a_i\} \cup R_0^*(a_i)$  for  $i \in [1, k]$ , and  $T_0 = \{a\} \cup R_1(a)$ . Note that  $T = \bigcup_{i=0}^k T_i$ . Furthermore, for each  $i \in [1, k]$  the subframe  $T_i$  of  $T$  is a **JB**-tree of  $R_0$ -depth less than  $m$ . By the induction hypothesis, for each  $i \in [1, k]$ , there exists an ordinal  $\lambda_i < \omega^{\omega^\omega}$  and a **JB**-morphism  $g_i : \langle [1, \lambda_i], \tau_0, \tau_1, \mathbf{H}_1(\lambda_i + 1) \rangle \rightarrow \langle T_i, R_0, R_1 \rangle$ .

Let  $\lambda := \lambda_1 + \dots + \lambda_k$  and let  $g : \langle [1, \lambda], \tau_0, \tau_1, \mathbf{H}_1(\lambda + 1) \rangle \rightarrow \langle \bigsqcup_{i=1}^k T_i, R_0, R_1 \rangle$  be the sum of  $g_i$ , i.e.  $g = \bigsqcup_{i=1}^k g_i$ . We denote  $\bigsqcup_{i=1}^k T_i$  as  $S$ . By Proposition 5.2.2, we know that  $g$  is a **JB**-morphism.

Next, consider the 1-sheet  $\langle T_0, R_0, R_1 \rangle$ . Using the construction from the Base Step (Lemma 5.3.1), there exists an ordinal  $\lambda_0 < \omega^{\omega^\omega}$  and a suitable **JB**-morphism  $g_0 : \langle [1, \lambda_0], \tau_0, \tau_1, \mathbf{H}_1(\lambda_0 + 1) \rangle \rightarrow \langle T_0, R_0, R_1 \rangle$ .

Now, we aim to construct a function  $f : \langle [1, \lambda \cdot \lambda_0], \tau_0, \tau_1, \mathbf{H}_1(\lambda \cdot \lambda_0 + 1) \rangle \rightarrow \langle T, R_0, R_1 \rangle$ . First, divide  $[1, \lambda \cdot \lambda_0]$  into two disjoint parts:  $X_0 := \{\lambda \cdot \mu + \nu \mid \mu < \lambda_0, \nu \in [1, \lambda]\}$  and  $X_1 := \{\lambda \cdot \mu \mid \mu \leq \lambda_0 \wedge \ell(\mu) > 0\}$ . Then, define  $f$  as follows:

$$f(\alpha) := \begin{cases} g(\nu), & \text{if } \alpha \in X_0 \text{ is of the form } \lambda \cdot \mu + \nu; \\ g_0(\mu), & \text{if } \alpha \in X_1 \text{ is of the form } \lambda \cdot \mu. \end{cases}$$

Last, we prove that  $f$  is a suitable **JB**-morphism from  $\langle [1, \lambda \cdot \lambda_0], \tau_0, \tau_1, \mathbf{H}_1(\lambda \cdot \lambda_0 + 1) \rangle$  to  $\langle T, R_0, R_1 \rangle$ :

- ( $j_1$ ) We need to prove that for any point  $w \in T$ ,  $f^{-1}(\{w\}) \in \mathbf{H}_1(\lambda \cdot \lambda_0 + 1)$ . We divide it to two cases:

- Suppose that  $w \in T_i$  for some  $i \in [1, k]$ . We have known that  $g_i$  is a **JB**-morphism, hence  $g_i^{-1}(\{w\}) \in \mathbf{H}_1(\lambda_i + 1)$ . It follows that  $g^{-1}(\{w\}) \in \mathbf{H}_1(\lambda + 1)$ . In the product space,  $f^{-1}(\{w\})$  is  $\{\lambda \cdot \mu + \beta \mid \mu < \lambda_0, \beta \in g^{-1}(\{w\})\}$ . By Lemma 3.3.6, it is a 1-periodic set in  $[1, \lambda \cdot \lambda_0]$ .
- Suppose that  $w \in T_0$ . We have known that  $g_0$  is a **JB**-morphism, hence  $g_0^{-1}(\{w\}) \in \mathbf{H}_1(\lambda_0 + 1)$ . In the product space,  $f^{-1}(\{w\})$  is  $\{\lambda \cdot \mu \mid \ell(\mu) > 0, \mu \in g_0^{-1}(\{w\})\}$ . By Lemma 3.3.6, it is a 1-periodic set in  $[1, \lambda \cdot \lambda_0]$ .

(j<sub>2</sub>) We need to prove that  $f$  is a  $d$ -map from  $\langle [1, \lambda \cdot \lambda_0], \tau_1 \rangle$  to  $\langle T, R_1 \rangle$ .

We divide  $T$  into two parts:  $S = \bigsqcup_{i=1}^k T_i$  and  $T_0$ , since  $T_0$  is a 1-sheet,  $\langle T, R_1 \rangle$  can be viewed as the disjoint union of  $\langle S, R_1 \rangle$  and  $\langle T_0, R_1 \rangle$ . On the other hand,  $\langle [1, \lambda \cdot \lambda_0], \tau_1 \rangle$  can also be divided into two parts:  $X_0 := \{\lambda \cdot \mu + \nu \mid \mu < \lambda_0, \nu \in [1, \lambda]\}$  and  $X_1 := \{\lambda \cdot \mu \mid \mu \leq \lambda_0 \wedge \ell(\mu) > 0\}$ . We know that  $X_0 = f^{-1}(S)$  and  $X_1 = f^{-1}(T_0)$ .

Hence, in order to prove that  $f$  is a  $d$ -map, we only need to show that  $f|_{X_0} : X_0 \rightarrow S$  and  $f|_{X_1} : X_1 \rightarrow T_0$  are both  $d$ -maps. Since  $g_0$  is a  $d$ -map, it is easy to see that  $f|_{X_1}$  is also  $d$ -map. For  $f|_{X_0}$ , in fact,  $X_0$  can be represented as the topological product  $[1, \lambda] \times \{\mu \mid \ell(mu) = 0, \mu < \lambda_0\}$ .  $g : \lambda + 1 \rightarrow S$  is a  $d$ -map, so it follows that  $f|_{X_0}$  is also a  $d$ -map.

In all, we show that  $f$  is a  $d$ -map.

(j<sub>3</sub>) In order to prove that  $f$  is an open map from  $\langle [1, \lambda \cdot \lambda_0], \tau_0 \rangle$  to  $\langle T, R_0 \rangle$ , we need to show that for any open interval  $(\beta_1, \beta_2)$  in  $[1, \lambda \cdot \lambda_0]$ ,  $f((\beta_1, \beta_2))$  is  $R_0$ -upset. We divide it to two cases:

- If there exists an limit ordinal  $\mu$  such that  $\lambda \cdot \mu \in (\beta_1, \beta_2)$ , then it is easy to see that there exists an ordinal  $\mu' < \mu$  such that  $[\lambda \cdot \mu', \lambda \cdot (\mu' + 1)] \subseteq (\beta_1, \beta_2)$  and  $f([\lambda \cdot \mu', \lambda \cdot (\mu' + 1)]) = S$ . Hence,  $f((\beta_1, \beta_2))$  forms a  $R_0$ -upset.
- If there is no limit ordinal  $\mu$  such that  $\lambda \cdot \mu \in (\beta_1, \beta_2)$ , then  $f((\beta_1, \beta_2)) \subseteq S$ . In this case, we know that the restriction of  $f$  in any interval  $[\lambda \cdot \delta + 1, \lambda \cdot (\delta + 1)]$  is an open map. Hence, it is obvious that  $f((\beta_1, \beta_2))$  is  $R_0$ -upset.

Hence,  $f$  is still an open map.

(j<sub>4</sub>) There are two kinds of 1-root in  $T$ , it is either the root  $a$  of  $T$  or a 1-root in  $T_i$  for some  $i \in [1, k]$ :

- For the root  $a$ ,  $f^{-1}(\{a\}) = \{\lambda \cdot \lambda_0\}$ , so  $f^{-1}(R_0^*(a)) = f^{-1}(T \setminus \{a\}) = [1, \lambda \cdot \lambda_0]$  and  $f^{-1}(R_0^*(a) \cup \{a\}) = f^{-1}(T) = [1, \lambda \cdot \lambda_0]$ , which are both  $\tau_0$ -open.
- For a 1-root  $w \in T_i$ , since  $g_i$  is **JB**-morphism, we have known that  $g_i^{-1}(R_0^*(w))$  and  $g_i^{-1}(R_0^*(w) \cup \{w\})$  are  $\tau_0$ -open in  $[1, \lambda_i]$ , then it is easy to see that  $g^{-1}(R_0^*(w))$  and  $g^{-1}(R_0^*(w) \cup \{w\})$  are  $\tau_0$ -open in  $[1, \lambda]$ . Therefore,  $f^{-1}(R_0^*(w)) = \{\alpha : \alpha = \lambda \cdot \mu + \nu, \text{ for } \nu \in [1, \lambda_i]\}$ .

$g^{-1}(R_0^*(w))$  and  $f^{-1}(R_0^*(w) \cup \{w\}) = \{\alpha : \alpha = \lambda \cdot \mu + \nu, \text{ for } \nu \in R_0^*(w) \cup \{w\}\}$ , which are both  $\tau_0$ -open, because they are the union of  $\tau_0$ -open sets.

( $j_5$ ) Similar to ( $j_4$ ), there are two cases:

- For the root  $a$ ,  $f^{-1}(\{a\}) = \{\lambda \cdot \lambda_0\}$ , hence, it is obviously  $\tau_0$ -discrete subspace.
- For a 1-root  $w \in T_i$ , since  $g_i$  is **JB**-morphism, we have known that  $g_i^{-1}(w)$  is  $\tau_0$ -discrete subspace of  $[1, \lambda_i]$ , then it is obvious that  $g^{-1}(w)$  is  $\tau_0$ -discrete subspace of  $[1, \lambda]$ . Therefore,  $f^{-1}(w) = \{\alpha : \alpha = \lambda \cdot \mu + \nu, \text{ for } \nu \in g^{-1}(w)\}$ , which is a  $\tau_0$ -discrete subspace of  $[1, \lambda \cdot \lambda_0]$ .

Hence, we find that  $f$  is a **JB**-morphism from  $\langle [1, \lambda \cdot \lambda_0], \tau_0, \tau_1, \mathbf{H}_1(\lambda \cdot \lambda_0 + 1) \rangle$  to  $\langle T, R_0, R_1 \rangle$ . Since  $\lambda_i < \omega^{\omega^\omega}$  for each  $i \in [1, k]$  and  $\lambda = \lambda_1 + \dots + \lambda_k$ , so  $\lambda < \omega^{\omega^\omega}$ . Also,  $\lambda_0 < \omega^{\omega^\omega}$ , hence  $\lambda \cdot \lambda_0 < \omega^{\omega^\omega}$  still holds.  $\blacksquare$

Combining Lemma 5.3.1 and 5.3.2, we finally prove the main lemma. Since all the periodic frames we used are subframes of  $\Omega = \langle \omega^{\omega^\omega}, \tau_0, \tau_1, \mathbf{H}_1(\omega^{\omega^\omega}) \rangle$ , thus it is sufficient to show the Theorem 5.1.7.

# Chapter 6

## Conclusion

In this thesis, we define the concept of a general topological frame, that is, a topological space equipped with a distinguished set of admissible sets, akin to the notion of a general Kripke frame. Then, we describe a natural class of general topological frames on ordinals, which we call *periodic frames*. These frames are based on well-orderings equipped with some natural topologies introduced by Icard [18]. While GLP is known to be incomplete w.r.t. Icard's spaces, we demonstrate that the bimodal fragment of GLP is sound and complete with respect to the periodic frames. More specifically, we present a result in the form of the Abashidze-Blass theorem: for any ordinal  $\Omega \geq \omega^{\omega^\omega}$ , the periodic frame  $\langle \Omega, \tau_0, \tau_1, H_1(\Omega) \rangle$  is sound and complete with respect to GLB.

In the future, our aim is to generalize this work to GLP. That is, we intend to generalize the concept of periodic frames and find a natural class of general topological frames which is sound and complete with respect to GLP. More precisely, we need to generalize the concept of 1-periodic set to  $n$ -periodic set for each  $n \in \omega$ , and we conjecture that with all the natural topologies introduced by Icard, we can define suitable periodic frames with an underlining set  $\epsilon_0$ , which is sound and complete with respect to the whole system GLP. Additionally, we hope that our semantic tools will be useful in the application of GLP in proof theory and arithmetic.

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