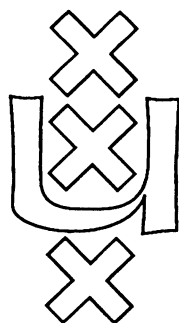


**Institute for Language, Logic and Information**

**CENSUS TECHNIQUES ON  
RELATIVIZED SPACE CLASSES**

Edith Spaan

ITLI Prepublication Series  
for Computation and Complexity Theory CT-91-06



**University of Amsterdam**

## The ITLI Prepublication Series

1986

- 86-01 The Institute of Language, Logic and Information  
 86-02 Peter van Emde Boas A Semantical Model for Integration and Modularization of Rules  
 86-03 Johan van Benthem Categorical Grammar and Lambda Calculus  
 86-04 Reinhard Muskens A Relational Formulation of the Theory of Types  
 86-05 Kenneth A. Bowen, Dick de Jongh Some Complete Logics for Branched Time, Part I Well-founded Time, Forward looking Operators  
 86-06 Johan van Benthem Logical Syntax

1987 87-01 Jeroen Groenendijk, Martin Stokhof Type shifting Rules and the Semantics of Interrogatives

- 87-02 Renate Bartsch Frame Representations and Discourse Representations  
 87-03 Jan Willem Klop, Roel de Vrijer Unique Normal Forms for Lambda Calculus with Surjective Pairing  
 87-04 Johan van Benthem Polyadic quantifiers  
 87-05 Víctor Sánchez Valencia Traditional Logicians and de Morgan's Example  
 87-06 Eleonore Oversteegen Temporal Adverbials in the Two Track Theory of Time  
 87-07 Johan van Benthem Categorical Grammar and Type Theory  
 87-08 Renate Bartsch The Construction of Properties under Perspectives  
 87-09 Herman Hendriks Type Change in Semantics: The Scope of Quantification and Coordination

1988 LP-88-01 Michiel van Lambalgen *Logic, Semantics and Philosophy of Language: Algorithmic Information Theory*

- LP-88-02 Yde Venema Expressiveness and Completeness of an Interval Tense Logic  
 LP-88-03 Year Report 1987  
 LP-88-04 Reinhard Muskens Going partial in Montague Grammar  
 LP-88-05 Johan van Benthem Logical Constants across Varying Types  
 LP-88-06 Johan van Benthem Semantic Parallels in Natural Language and Computation  
 LP-88-07 Renate Bartsch Tenses, Aspects, and their Scopes in Discourse  
 LP-88-08 Jeroen Groenendijk, Martin Stokhof Context and Information in Dynamic Semantics  
 LP-88-09 Theo M.V. Janssen A mathematical model for the CAT framework of Eurotra  
 LP-88-10 Anneke Kleppe A Blissymbolics Translation Program

ML-88-01 Jaap van Oosten *Mathematical Logic and Foundations: Lifschitz' Realizability*

- ML-88-02 M.D.G. Swaen The Arithmetical Fragment of Martin Löf's Type Theories with weak  $\Sigma$ -elimination  
 ML-88-03 Dick de Jongh, Frank Veltman Provability Logics for Relative Interpretability  
 ML-88-04 A.S. Troelstra On the Early History of Intuitionistic Logic  
 ML-88-05 A.S. Troelstra Remarks on Intuitionism and the Philosophy of Mathematics

CT-88-01 Ming Li, Paul M.B. Vitanyi *Computation and Complexity Theory: Two Decades of Applied Kolmogorov Complexity*

- CT-88-02 Michiel H.M. Smid General Lower Bounds for the Partitioning of Range Trees  
 CT-88-03 Michiel H.M. Smid, Mark H. Overmars Maintaining Multiple Representations of Dynamic Data Structures  
 CT-88-04 Dick de Jongh, Lex Hendriks, Gerard R. Renardel de Lavalette Computations in Fragments of Intuitionistic Propositional Logic  
 CT-88-05 Peter van Emde Boas Machine Models and Simulations (revised version)  
 CT-88-06 Michiel H.M. Smid A Data Structure for the Union-find Problem having good Single-Operation Complexity  
 CT-88-07 Johan van Benthem Time, Logic and Computation  
 CT-88-08 Michiel H.M. Smid, Mark H. Overmars Multiple Representations of Dynamic Data Structures  
 CT-88-09 Theo M.V. Janssen Towards a Universal Parsing Algorithm for Functional Grammar  
 CT-88-10 Edith Spaan, Leen Torenvliet, Peter van Emde Boas Nondeterminism, Fairness and a Fundamental Analogy  
 CT-88-11 Sieger van Dennencheuvel, Peter van Emde Boas Towards implementing RL

X-88-01 Marc Jumelet *Other prepublications: On Solovay's Completeness Theorem*

1989 LP-89-01 Johan van Benthem *Logic, Semantics and Philosophy of Language: The Fine-Structure of Categorical Semantics*

- LP-89-02 Jeroen Groenendijk, Martin Stokhof Dynamic Predicate Logic, towards a compositional, non-representational semantics of discourse  
 LP-89-03 Yde Venema Two-dimensional Modal Logics for Relation Algebras and Temporal Logic of Intervals  
 LP-89-04 Johan van Benthem Language in Action  
 LP-89-05 Johan van Benthem Modal Logic as a Theory of Information  
 LP-89-06 Andreja Prijatelj Intensional Lambek Calculi: Theory and Application  
 LP-89-07 Heinrich Wansing The Adequacy Problem for Sequential Propositional Logic  
 LP-89-08 Víctor Sánchez Valencia Peirce's Propositional Logic: From Algebra to Graphs  
 LP-89-09 Zhisheng Huang Dependency of Belief in Distributed Systems

ML-89-01 Dick de Jongh, Albert Visser *Mathematical Logic and Foundations: Explicit Fixed Points for Interpretability Logic*

- ML-89-02 Roel de Vrijer Extending the Lambda Calculus with Surjective Pairing is conservative  
 ML-89-03 Dick de Jongh, Franco Montagna Rosser Orderings and Free Variables  
 ML-89-04 Dick de Jongh, Marc Jumelet, Franco Montagna On the Proof of Solovay's Theorem  
 ML-89-05 Rincke Verbrugge  $\Sigma$ -completeness and Bounded Arithmetic  
 ML-89-06 Michiel van Lambalgen The Axiomatization of Randomness  
 ML-89-07 Dirk Roorda Elementary Inductive Definitions in HA: from Strictly Positive towards Monotone  
 ML-89-08 Dirk Roorda Investigations into Classical Linear Logic  
 ML-89-09 Alessandra Carbone Provable Fixed points in  $IA_0 + \Omega_1$

CT-89-01 Michiel H.M. Smid *Computation and Complexity Theory: Dynamic Deferred Data Structures*

- CT-89-02 Peter van Emde Boas Machine Models and Simulations  
 CT-89-03 Ming Li, Herman Neuféglise, Leen Torenvliet, Peter van Emde Boas On Space Efficient Simulations  
 CT-89-04 Harry Buhrman, Leen Torenvliet A Comparison of Reductions on Nondeterministic Space  
 CT-89-05 Pieter H. Hartel, Michiel H.M. Smid, Leen Torenvliet, Willem G. Vree A Parallel Functional Implementation of Range Queries  
 CT-89-06 H.W. Lenstra, Jr. Finding Isomorphisms between Finite Fields  
 CT-89-07 Ming Li, Paul M.B. Vitanyi A Theory of Learning Simple Concepts under Simple Distributions and Average Case Complexity for the Universal Distribution (Prel. Version)  
 CT-89-08 Harry Buhrman, Steven Homer Honest Reductions, Completeness and Nondeterministic Complexity Classes  
 CT-89-09 Harry Buhrman, Edith Spaan, Leen Torenvliet On Adaptive Resource Bounded Computations  
 CT-89-10 Sieger van Dennencheuvel The Rule Language RL/1  
 CT-89-11 Zhisheng Huang, Sieger van Dennencheuvel Towards Functional Classification of Recursive Query Processing

X-89-01 Marianne Kalsbeek *Other Prepublications: An Orey Sentence for Predicative Arithmetic*

- X-89-02 G. Wagemakers New Foundations: a Survey of Quine's Set Theory  
 X-89-03 A.S. Troelstra Index of the Heyting Nachlass  
 X-89-04 Jeroen Groenendijk, Martin Stokhof Dynamic Montague Grammar, a first sketch  
 X-89-05 Maarten de Rijke The Modal Theory of Inequality  
 X-89-06 Peter van Emde Boas Een Relationele Semantiek voor Conceptueel Modelleren: Het RL-project

1990 SEE INSIDE BACK COVER



**Instituut voor Taal, Logica en Informatie**  
**Institute for Language, Logic and**  
**Information**

Faculteit der Wiskunde en Informatica  
(Department of Mathematics and Computer Science)  
Plantage Muidergracht 24  
1018TV Amsterdam

Faculteit der Wijsbegeerte  
(Department of Philosophy)  
Nieuwe Doelenstraat 15  
1012CP Amsterdam

**CENSUS TECHNIQUES ON**  
**RELATIVIZED SPACE CLASSES**

Edith Spaan  
Department of Mathematics and Computer Science  
University of Amsterdam

*ITLI Prepublication Series*  
*for Computation and Complexity Theory*  
ISSN 0924-8374

Received April 1991

# Census Techniques on Relativized Space Classes

Edith Spaan

Departments of Mathematics and Computer Science  
University of Amsterdam  
edith@fwi.uva.nl

## Abstract

Recent results ([2], [4], [3], [8], [7]) have focused the attention of research in structural complexity theory to the development and applications of census techniques. In this paper we will develop several new techniques with which we can show the equality of  $\text{SPACE}(s)^{\text{NTIME}(t)}$  classes in the sense of Ladner and Lynch [5] to known classes.

## 1 Introduction

Using census techniques, a number of time hierarchies have been shown to collapse. Hemachandra proved that the strong exponential hierarchy collapses to  $\text{P}^{\text{NE}}$  [2], and Kadin proved that, if  $\text{co-NP} \subseteq \text{NP}^S$  for some sparse  $S \in \text{NP}$ , then the polynomial hierarchy collapses to  $\text{P}^{\text{NP}[\log n]}$  [4].

In [7], Schöning and Wagner prove a more general theorem, from which the above follow, as well as some new collapses, e.g.  $\text{NEXP}^{\text{NE} \langle \text{Pol} \rangle} = \text{P}^{\text{NE}}$  (where  $\langle \text{Pol} \rangle$  stands for a polynomial length restriction on the query length). This is essentially proved by computing, using binary search, the census function of the oracle.

Now what happens if we look at classes like  $\text{NPSpace}^{\text{NE}}$ ? This obviously depends on the definition of relativized space classes. If we assume that the space bound applies to the query tape, it follows from [7] that  $\text{NPSpace}^{\text{NE}} = \text{P}^{\text{NE}}$ .

However, if we use the classical model of Ladner and Lynch [5], i.e. the space bound doesn't apply to the query tape, a  $\text{SPACE}(s)$  machine can ask queries of length  $2^s$ . We know less about the structure of these classes, since Savitch's theorem [6] and the Immerman/Szelepcsényi result [3], [8] do not relativize in this setting.

In this paper, we will look at classes  $\text{DSPACE}(s)^{\text{NTIME}(t)}$  and  $\text{NSPACE}(s)^{\text{NTIME}(t)}$  in the Ladner and Lynch sense. For  $\text{DSPACE}$  machines, we can still apply a variation of the census method, since a  $\text{DSPACE}(s)$  machine can query at most  $2^{c \cdot s}$  strings in its entire oracle tree. For instance, we will prove that  $\text{PSPACE}^{\text{NP}} = \text{P}^{\text{NE}}$ . In the computation tree of an  $\text{NSPACE}(s)$  machine however, *all* queries of exponential length can occur. We will prove that  $\text{NPSpace}^{\text{NP}} = \text{NEXP}^{\text{NP}}$ , since an  $\text{NPSpace}$  machine can guess a computation of the  $\text{NEXP}$  machine and all certificates for the YES-queries in this computation. This method won't work if the oracle is too powerful. Using a new census technique, we will show that, even though in this model  $\text{PSPACE} = \text{NPSpace}$  doesn't relativize,  $\text{NPSpace}^{\text{NE}} = \text{PSPACE}^{\text{NE}}$ .

The inclusions  $\text{PSPACE}^{\text{NP}} \subseteq \text{NPSpace}^{\text{NP}}$  and  $\text{PSPACE}^{\text{NE}} \subseteq \text{EXP}^{\text{NE}}$  remain open. A separation would imply that  $\text{P} \neq \text{NP}$ , and hence such a result will be hard to obtain. In the last section we will prove relativized separations for these classes, so equality of these classes is probably hard to prove as well.

## 2 Preliminaries

We assume that the reader is familiar with the standard Turing machine model. An *oracle* machine is a multi-tape Turing machine with an input tape, an output tape, work tapes, and a *query* tape. Oracle machines have three distinguished states QUERY, YES and NO, which are explained as follows: at some stage(s) in the computation the machine may enter the state QUERY and then goes to the state YES or goes to the state NO depending on the membership of the string currently written on the query tape in a fixed *oracle* set.

The *computation tree* of an oracle machine  $M$  on input  $x$ , is the tree of all possible computations of  $M$  in input  $x$  which is generated by assuming both YES and NO answers to the queries.

An oracle Turing machine  $M$  runs in time  $t(n)$ , iff for all  $x$  every path of  $M$  on input  $x$  halts in  $\leq t(|x|)$  steps.

An oracle Turing machine  $M$  runs in space  $s(n)$ , iff for all  $x$  every path of the computation tree of machine  $M$  on input  $x$  halts, and scans no more than  $s(|x|)$  cells of the work tapes. The tape cells of the input- and oracle tape are not counted. Note that  $M$  runs in time  $2^{c \cdot s(n)}$ , for some constant  $c$ , and therefore the length of the queries that  $M$  can ask is bounded by  $2^{c \cdot s(n)}$ .

Define  $\text{DTIME}^A(t(n))$  ( $\text{DSPACE}^A(s(n))$ ) as the class of sets that are accepted by deterministic Turing machines which run in time  $t(n)$  (space  $s(n)$ ), and use  $A$  as oracle set. Let  $\text{NTIME}^A(t(n))$  and  $\text{NSPACE}^A(s(n))$  be the corresponding nondeterministic classes.

In the sequel, we will consider the following classes ( $\text{Pol}$  is the class of all polynomial functions)

$$\begin{aligned} \text{LOGSPACE}^A &= \bigcup_{c=1}^{\infty} \text{DSPACE}^A(c \cdot \log(n)) \\ \text{DLIN}^A &= \bigcup_{c=1}^{\infty} \text{DTIME}^A(c \cdot n) \\ \text{P}^A &= \bigcup_{p \in \text{Pol}} \text{DTIME}^A(p(n)) \\ \text{PSPACE}^A &= \bigcup_{p \in \text{Pol}} \text{DSPACE}^A(p(n)) \\ \text{E}^A &= \bigcup_{c=1}^{\infty} \text{DTIME}^A(2^{cn}) \\ \text{EXP}^A &= \bigcup_{p \in \text{Pol}} \text{DTIME}^A(2^{p(n)}) \end{aligned}$$

And their nondeterministic analogs  $\text{NLOGSPACE}^A$ ,  $\text{NLIN}^A$ ,  $\text{NP}^A$ ,  $\text{NPSpace}^A$ ,  $\text{NE}^A$  and  $\text{NEXP}^A$ . For any two classes  $C_1, C_2$ , define  $C_1^{C_2} := \bigcup_{A \in C_2} C_1^A$ .

Since we are interested in simulating one type of machine on another machine, we will need the space and time bounds to be well-behaved.

A function  $f$  is *time-constructible*, iff there is a Turing machine which on every input of length  $n$  halts in exactly  $f(n)$  steps.

A function  $f$  is *space-constructible*, iff there is a Turing machine which on every input of length  $n$  scans exactly  $f(n)$  cells of the work tapes.

### 3 Collapses

We will first consider deterministic space classes. Recall that in our definition the space bound doesn't hold for the query tape, which enables a  $\text{space}(s)$  machine to ask queries of length  $2^{s(n)}$  on inputs of length  $n$ . An application of the binary search technique in [7], leads to the following theorem:

**Theorem 1**  $\text{DSPACE}(s(n))^{\text{NTIME}(t(n))} \subseteq \text{DTIME}(\text{Pol}(s(n))^{\text{NTIME}(\text{Pol}(t(2^n)))})$

For  $s$  time constructible,  $t$  at least linear.

**Proof:** Let  $M_1$  be an arbitrary  $\text{DSPACE}(s(n))$  oracle machine, and  $M_2$  an arbitrary  $\text{NTIME}(t(n))$  machine. The number of IDs of a  $\text{DSPACE}(s(n))$  machine on input  $x$  is bounded by  $2^{c \cdot s(|x|)}$ . Since the machine is deterministic, each ID can lead to at most one query, i.e. there are at most  $2^{c \cdot s(|x|)}$  different queries in the computation tree of  $M_1(x)$ .

Define oracle set  $A$  as follows:

$$A = \{x \# k \# 0^{c \cdot s(|x|)} : \text{at least } k \text{ queries in the computation tree of } M_1(x) \text{ are in } L(M_2)\}.$$

Using oracle  $A$ , we can by binary search compute the exact number of queries in the computation tree of  $M_1(x)$  that are answered YES, in  $\text{DTIME}(\text{Pol}(s(n)))$ .

Decision procedure for  $A$ :

input  $x \# k \# 0^{c \cdot s(|x|)}$  ( $k \leq 2^{c \cdot s(|x|)}$ )  
 guess  $k$  different strings  $q_1, \dots, q_k$  of length  $\leq 2^{c \cdot s(|x|)}$   
 verify that each  $q_i$  is asked in the computation tree of  $M_1$  on input  $x$   
 i.e. Guess a path of  $M_1$  on input  $x$   
 And check that  $q_i$  is asked on this path  
 For each  $q_i$ , guess an accepting path of  $M_2$  on input  $q_i$

Nondeterministic time complexity of  $A$ :

$2^{c \cdot s(|x|)}$  (bound on the value of  $k$ )  
 $\times 2^{c \cdot s(|x|)}$  (maximal length of an  $M_1$  path on input  $x$ )  
 $\times t(2^{c \cdot s(|x|)})$  (time to verify that a query  $\in L(M_2)$ )

Since the length of the input is larger than  $c \cdot s(|x|)$ , and  $t$  is at least linear,  $A$  can be accepted by an  $\text{NTIME}(\text{Pol}(t(2^n)))$  bounded Turing machine.

Define oracle set  $B$  such that: If  $k$  is the exact number of different queries in the computation tree of  $M_1(x)$  that are answered YES, then  $x \# k \# 0^{c \cdot s(|x|)} \in B$  iff  $x \in M_1^{L(M_2)}$ . Therefore, we can recognize  $M_1^{L(M_2)}$  with a  $\text{DTIME}(\text{Pol}(s(n)))$  machine that uses  $A \oplus B$  as oracle.

Decision procedure for  $B$ :

input  $x \# k \# 0^{c \cdot s(|x|)}$  ( $k \leq 2^{c \cdot s(|x|)}$ )  
 guess  $k$  different strings  $q_1, \dots, q_k$  of length  $\leq 2^{c \cdot s(|x|)}$   
 verify that each  $q_i$  is really asked by  $M_1$  on input  $x$ . as in  $A$   
 and verify that each  $q_i$  is a YES string  
 Simulate  $M_1$  on input  $x$   
 For each query  $q$  that is asked do  
   if  $q = q_i$  for some  $i$   
   then proceed in the YES state  
   else proceed in the NO state  
 ACCEPT iff the simulation accepts.

Nondeterministic time complexity of  $B$  for inputs of length  $n$ :

$Pol(t(2^n))$       for guessing and verifying the  $k$  YES-queries  
 $+2^n$               to simulate  $M_1$  on input  $x$ .

which is in  $Pol(t(2^n))$ .

☒

**Corollary 2**  $P^{NE} = PSPACE^{NP}$

$P^{NE} \subseteq PSPACE^{NP}$       by padding the queries  
 $PSPACE^{NP} \subseteq P^{NE}$       by theorem 1

Now we turn our attention to nondeterministic space bounded oracle machines. In the previous proof it was essential that the number of different queries in the computation tree is bounded by  $2^{c \cdot s(n)}$ . An  $NSPACE(s(n))$  machine, however, can query all strings of length  $2^{s(n)}$  in its computation tree, which may lead to a double exponential number of queries in the computation tree.

In fact, it turns out that  $NSPACE^{NP}$  can recognize the same languages as  $NEXP^{NP}$ , because the  $NSPACE$  machine can guess an accepting path of the  $NEXP$  machine and accepting paths of the  $NP$ -oracle machine on the YES queries. We will now prove a more general result of which the above is a corollary.

**Theorem 3**  $NTIME(s(n))^{NTIME(t(n))} \subseteq NSPACE(O(\log(t(s(n)))))^{NLIN}$   
 For  $\log(t(s(n)))$  space constructible.

**Proof:** Let  $M_1$  be an arbitrary  $NTIME(s(n))$  oracle machine, and  $M_2$  an arbitrary  $NTIME(t(n))$  machine.

We will prove that  $M_1^{L(M_2)}$  can be recognized by a nondeterministic space( $O(\log t(s(n)))$ ) machine  $M$  with oracle  $A \in NLIN$ .

Our  $NSPACE$ -machine  $M$  will work as follows: on input  $x$ , copy  $x$  to the oracle tape and write an arbitrary string  $\sigma$  of length  $\leq s(|x|)$  on the oracle tape. This is the guessed accepting path of  $M_1$  on input  $x$ . Guess  $k \leq s(|x|)$ : the number of YES-queries in this computation on this path. Write  $k$  arbitrary strings  $\sigma_1, \dots, \sigma_k$  of length  $\leq t(s(|x|))$  on

the oracle tape (separated by #). These are the guessed accepting paths of  $M_2$  on the  $k$  Yes-queries. To ensure that the oracle has enough time, write  $0^{t(s(|x|))}$  on the oracle tape. All this can be done in  $\text{NSPACE}(O(\log(t(s(|x|)))))$ , since the only thing we have to remember is the length of the query, and the value of  $k$ .

The oracle set  $A$  will be constructed in such a way that:  $x\#\sigma\#\sigma_1\#\dots\#\sigma_k \notin A$  iff  $\sigma$  encodes an accepting path of  $M_1$  on input  $x$ , with exactly  $k$  YES-queries,  $\sigma_i$  is an accepting path of  $M_2$  on the  $i$ -th YES-query, and the NO-queries on the  $M_1$  path  $\sigma$  are really NO-queries.

Machine  $M$  accepts iff the answer to the query is NO.  $x \in M_1^{L(M_2)}$  iff some query asked by  $M$  is not in  $A$ . Thus,  $x \in M_1^{L(M_2)}$  iff  $x \in M^A$ .

It remains to prove that  $A \in \text{NLIN}$

Input  $x\#\sigma\#\sigma_1\#\dots\#\sigma_k\#0^{2^p(|x|)}$   
 if  $\sigma$  does not encode an accepting path of  $M_1$  on input  $x$   
 or there are not exactly  $k$  Yes-queries on this path  
 then ACCEPT.  
 else determine  $q_1, \dots, q_k$ : the  $k$  Yes-queries in  $\sigma$ .  
 if  $\sigma_i$  does not encode an accepting path of  $M_2$  on input  $q_i$   
 then ACCEPT.  
 else guess a NO-query  $q$  in computation  $\sigma$ ,  
 and guess a computation of  $M_2$  on input  $q$ .  
 ACCEPT iff this computation accepts.

Verifying the computations  $\sigma, \sigma_1, \dots, \sigma_k$  and determining the queries can be done in linear deterministic time in the length of the input.

Since the length of any query of  $M_1$  on input  $x$  is bounded by  $s(|x|)$ , guessing a computation of  $M_2$  on a NO-query can be done in nondeterministic time  $t(s(|x|))$ , which is linear in the length of the input.  $\square$

**Corollary 4**  $P^{\text{NE}} = \text{PSPACE}^{\text{NP}} \subseteq \text{EXP}^{\text{NP}} \subseteq \text{NPSpace}^{\text{NP}} = \text{NEXP}^{\text{NP}}$ .

**Corollary 5**  $\text{NLOGSPACE}^{\text{NP}} = \text{NP}^{\text{NP}}$

Theorem 3 doesn't give any nice equivalences for classes like  $\text{NPSpace}^{\text{NE}}$ . Surprisingly, it turns out that this class doesn't have more power than  $\text{PSPACE}^{\text{NE}}$ , as follows from the following theorem.

**Theorem 6**  $\text{NSpace}(s(n))^{\text{NTIME}(t(n))} \subseteq \text{DSpace}(O(s(n)))^{\text{NTIME}(Pol(t(n)))}$

For  $s$  time constructible,  $t$  at least exponential.

**Proof:** Let  $M_1$  be an arbitrary  $\text{NSpace}(s(n))$  oracle machine, and  $M_2$  an arbitrary  $\text{NTIME}(t(n))$  machine. We assume that IDs of  $M_1$  with an empty query tape are marked. The number of IDs of  $M_1$  on inputs of length  $n$  is bounded by  $2^{c \cdot s(n)}$ , for some constant  $c$ .

Since  $M_1$  can ask  $2^{2^{c \cdot s(n)}}$  queries on inputs of length  $n$ , we can't keep track of the number of YES queries with a  $\text{DSpace}(O(s(n)))$  machine.



The trick we will use is that we won't look at the number of queries of  $M_1$ , but at pairs of IDs of  $M_1$  between which a query can be generated, i.e. we look at pairs  $\langle id, id' \rangle$  such that  $id$  has an empty query tape,  $id'$  is in the QUERY state, and there exists a queryless path from  $id$  to  $id'$ .

$x \in M_1^{L(M_2)}$  iff there exists a sequence of IDs  $id_0, id'_0, id_1, id'_1, \dots, id_i, id'_i, id_{i+1}$  such that:

- $id_0$  is the initial ID of  $M_1$  on input  $x$
- $id'_j$  is in the QUERY state ( $\forall j \leq i$ )
- $\forall j \leq i$  one of the following situations holds
  - either  $id_{j+1}$  is the YES-successor of  $id'_j$  and there exists a queryless path from  $id_j$  to  $id'_j$  where the generated query is in  $L(M_2)$
  - or  $id_{j+1}$  is the NO-successor of  $id'_j$  and there exists a queryless path from  $id_j$  to  $id'_j$  where the generated query is not in  $L(M_2)$
- There exists a queryless accepting path from  $id_{i+1}$  to an accepting ID

Our DSPACE-machine will work as follows on input  $x$ : First we compute (e.g. by binary search) the exact number of ID pairs  $\langle id, id' \rangle$  such that:

- (\*)  $id$  and  $id'$  represent space  $s(|x|)$ -bounded IDs  
 $id$  has an empty query tape and  $id'$  is the QUERY state  
and for each queryless path of  $M_1(x)$  from  $id$  to  $id'$ ,  
the answer to the generated query is YES.

$A := \{x \# k \# 0^{2^{c \cdot s(|x|)}} : \text{there are at least } k \text{ pairs of IDs that satisfy (*)}\}.$

The number of ID pairs is bounded by  $2^{2^{c \cdot s(n)}}$ . Therefore, (e.g. by binary search), we can determine the exact number of pairs fulfilling (\*) in  $\text{DSPACE}(O(s(|x|)))$  with oracle  $A$ .

Decision procedure for  $A$ :

input  $x \# k \# 0^{2^{c \cdot s(|x|)}}$

Guess  $k$  different pairs of space  $s(|x|)$  IDs of  $M_1$

For each guessed pair  $\langle id, id' \rangle$  do

if  $id$  does not have an empty query tape  
or  $id'$  is not in the query state

then REJECT

else for each queryless path of  $M(x)$  from  $id_1$  to  $id_2$  of length  $\leq 2^{c \cdot s(|x|)}$  do

Determine query  $q$

Guess computation of  $M_2$  on input  $q$ .

If this computation rejects, then REJECT

ACCEPT

Nondeterministic time needed for inputs of length  $n$ :

- $2^n$  (maximal value of  $k$ )
- $\times 2^n$  (number of paths between two IDs)
- $\times t(n)$  (time to simulate  $M_2$  on a query)

Since  $t$  is at least exponential,  $A$  can be accepted in  $\text{NTIME}(Pol(t(n)))$ .

Define another oracle set  $B$ :

$$B := \{x \# k \# 0^{2^{c \cdot s(|x|)}} : \text{if } k \text{ is the exact number of ID pairs fulfilling } (*), \\ \text{then } M_1^{M_2} \text{ accepts } x\}$$

Using oracle  $A \oplus B$ , we can recognize  $M_1^{L(M_2)}$  in  $\text{DSpace}(O(s))$ .

Decision procedure for  $B$ :

Input:  $x \# k \# 0^{2^{c \cdot s(|x|)}}$

Guess  $k$  different pairs of space  $s(|x|)$ -bounded IDs of  $M_1$

$$\langle ID_1, ID'_1 \rangle, \dots, \langle ID_k, ID'_k \rangle$$

And verify nondeterministically that these pairs fulfill  $(*)$  as in  $A$

Guess a sequence of  $\leq 2^{c \cdot s(|x|)}$  space  $s(|x|)$  bounded IDs

$id_0, id'_0, id_1, id'_1, \dots, id_i, id'_i, id_{i+1}$  such that:

$id_0$  is the initial ID of  $M_1$  on input  $x$

$id'_j$  is in the query state

$id_{j+1}$  is a successor of  $id'_i$

there exists a queryless path from  $id_j$  to  $id'_j$

there exists a queryless accepting path from  $id_{i+1}$

Now we only have to check that the queries are answered correctly:

for  $j := 1$  to  $i$  do

if  $\langle id_j, id'_j \rangle$  is in the list

then the answer to the query must be YES

if  $id_{j+1}$  is in the NO-state then REJECT

else if  $id_{j+1}$  is in the YES-state

then guess a queryless path from  $id_j$  to  $id'_j$

and guess an accepting computation of  $M_2$  on the generated query.

ACCEPT

Nondeterministic time needed for inputs of length  $n$ :

$$\begin{array}{ll} Pol(t(n)) & \text{(for guessing and verifying the } k \text{ ID pairs fulfilling } (*)) \\ \times n & \text{(number of IDs in the sequence)} \\ \times t(n) & \text{(time to simulate } M_2 \text{ on a query)} \end{array}$$

Since  $t$  is at least exponential,  $B$  can be accepted in  $\text{NTIME}(Pol(t(n)))$ .  $\boxtimes$

**Corollary 7**  $\text{LOGSPACE}^{\text{NE}} = \text{NLOGSPACE}^{\text{NE}}$ .

Combining theorem 1 with theorem 6, we obtain the following analog of theorem 1 for nondeterministic space classes.

**Corollary 8**  $\text{NSPACE}(s(n))^{\text{NTIME}(t(n))} \subseteq \text{DTIME}(Pol(s(n)))^{\text{NTIME}(Pol(t(2^n)))}$

For  $s$  time constructible,  $t$  at least exponential.

**Corollary 9**  $\text{P}^{\text{NTIME}(2^{2^{Pol}})} = \text{PSPACE}^{\text{NE}} = \text{NPSpace}^{\text{NE}} \subseteq \text{EXP}^{\text{NE}} = \text{NEXP}^{\text{NE}}$

$\subseteq$  :

By padding the queries

$\text{NPSpace}^{\text{NE}} \subseteq \text{P}^{\text{NTIME}(2^{2^{Pol}})}$  :

By corollary 8

$\text{NEXP}^{\text{NE}} \subseteq \text{EXP}^{\text{NE}}$  :

Follows from [7]

## 4 Relativized Separations

We'll now focus on the following relations between classes as proved in corollary 4 and 9 of the previous section:

1.  $P^{NE} = PSPACE^{NP} \subseteq EXP^{NP} \subseteq NPSpace^{NP} = NEXP^{NP}$
2.  $P^{NTIME(2^{2^{Pol}})} = PSPACE^{NE} = NPSpace^{NE} \subseteq EXP^{NE} = NEXP^{NE}$

We can't hope to prove any of the inclusions to be strict, since that would imply that  $P \neq NP$ .

$$P = NP \Rightarrow \begin{cases} EXP^{NP} = EXP^P = EXP \subseteq P^{NE} \\ EXP^{NE} = EXP^E = DTIME(2^{2^{Pol}}) \subseteq P^{NTIME(2^{2^{Pol}})} \\ NEXP^{NP} = NEXP^P = NEXP \subseteq EXP^{NP} \end{cases}$$

We will construct for each inclusion an oracle such that the inclusion becomes strict.

**Theorem 10** *There exists a set  $A$  such that:  $EXP^{NP^A} \neq NEXP^{NP^A}$ .*

Using a similar construction as in [1], where an oracle is constructed such that  $P^{NP^A} \neq NP^{NP^A}$ , we can diagonalize such that:

$$L_A := \{0^n : \exists y(|y| = 2^n \wedge \forall z(|z| = |y| \rightarrow yz \in A))\} \notin EXP^{NP^A}$$

**Theorem 11** *There exists a set  $A$  such that  $P^{NE^A} \neq EXP^{NP^A}$ .*

We will use a similar construction as in [4], where it is shown that for any function  $f \in o(\log)$  there exists a relativized world such that:  $P^{NP[f(n)]} \neq P^{NP[\log n]}$ .

For every oracle  $A$ , let  $L_A$  be the following language:

$$L_A := \{0^n : \text{the lexicographically largest string } y \text{ of length } 2^n \\ \text{such that } \exists z(|z| = 2^n \text{ and } yz \in A), \text{ is odd}\}$$

For every oracle  $A$ ,  $L_A \in EXP^{NP^A}$ :

NP<sup>A</sup> machine: input  $y$   
 guess  $y', z$  such that  $|y| = |y'| = |z|$  and  $y' \geq y$   
 Accept if and only if  $y'z \in A$ .

EXP machine: binary search on strings of length  $2^n$ .  
 To determine the lexicographically largest string  $y$  of length  $2^n$  such that:  
 $\exists z$  such that  $|z| = 2^n$  and  $yz \in A$   
 Accept if and only if last bit of  $y$  is 1.

We will construct  $A$  such that  $L_A \notin P^{NE^A}$ . Note that  $P^{NE^A} = P^{K(A)}$ , where  $K(A)$  is the standard many-one complete set for  $NE^A$ .

$$K(A) := \{\langle M, x, k \rangle \mid M \text{ is a nondeterministic machine that} \\ \text{accepts } x \text{ with oracle } A \text{ in at most } k \text{ steps}\}$$

Let  $M_1, M_2, \dots$  be a recursive enumeration of P oracle machines. Our construction will ensure that for each machine  $M_i$ , there exists an integer  $n_i$  such that:

$$M_i^{K(A)} \text{ accepts } 0^{n_i} \text{ iff } 0^{n_i} \notin L_A$$

stage 0:  $A := \emptyset$

stage  $i$ : Diagonalizing against the  $i$ -th machine  $M_i$ . Let  $p(n)$  be a polynomial such that  $p(n)$  bounds the running time of  $M_i$  on inputs of length  $n$ . The number of queries in the computation tree is bounded by  $2^{p(n)}$ . Choose  $n_i$  such that:

1. None of the previous diagonalization steps deal with strings of length  $\geq 2^{n_i}$ .
2.  $2^{2^{n_i}} > 2^{2p(n_i)}$

During stage  $n_i$ , we will only add strings of length  $2 \cdot 2^{n_i}$  to  $A$ . At any iteration of the loop  $\sigma_{pref}$  will be the lexicographically largest string of length  $2^{n_i}$ , that occurs as a prefix of a string of length  $2 \cdot 2^{n_i}$  in  $A$ . In the construction, some strings will be reserved for the complement. We will keep these strings in set  $A_c$ .

$$A_c := \emptyset$$

Consider the tree of  $M_i$  on input  $0^{n_i}$ .

All queries in the tree are unmarked.

$$\sigma_{pref} := 0^{2^{n_i}}.$$

$$A := A \cup \{0^{2 \cdot 2^{n_i}}\}$$

LOOP: For each unmarked query  $q = \langle M, x, k \rangle$  in the computation tree of  $M_i$  do

if  $q \in K(A)$

then Take some accepting path of  $M^A$  on input  $x$

\*Length of path  $\leq k \leq q \leq 2^{p(n_i)}$  \*

For each NO-query  $q'$  on this path do

$$\text{padding-left: 6em;} A_c := A_c \cup \{q'\}$$

Mark query  $q$

If  $M_i^{K(A)}(0^{n_i})$  accepts and  $\sigma_{pref}$  is even

or  $M_i^{K(A)}(0^{n_i})$  rejects and  $\sigma_{pref}$  is odd

then We are done ( $0^{n_i}$  is a counterexample for  $M_i$ )

else  $\sigma_{pref} :=$  lexicographic successor of  $\sigma_{pref}$  of length  $2^{n_i}$

Choose some string  $\tau$  of length  $2 \cdot 2^{n_i}$ , such that

$\sigma_{pref}$  is a prefix of  $\tau$  and  $\tau \notin A_c$

$$\text{padding-left: 2em;} A := A \cup \{\tau\}$$

Go back to LOOP.

We only add strings to  $A_c$  if we mark some query of  $M_i$ , and for each query, we add at most  $2^{p(n_i)}$  strings to  $A_c$ . Since we never unmark queries and the number of queries in the computation tree of  $M_i$  is bounded by  $2^{p(n_i)}$ , we add at most  $2^{2p(n_i)}$  strings to  $A_c$  during stage  $n_i$ . Since  $2^{2^{n_i}} > 2^{2p(n_i)}$ , for any prefix  $\sigma$  of length  $2^{n_i}$ , there must exist a string  $\tau$  of length  $2 \cdot 2^{n_i}$  such that  $\sigma$  is a prefix of  $\tau$ , and  $\tau$  is never added to  $A_c$ .

Now we only have to prove that the number of iterations of the loop is bounded by  $2^{2^{n_i}}$ . Suppose we are at some iteration of the loop, and we do not exit the loop. Suppose

the value of  $\sigma_{pref}$  at this iteration is  $\sigma$ . Then, the path of  $M_i$  is accepting iff  $\sigma$  is odd. Suppose that at the next iteration of the loop no new queries of  $M_i$  are marked. Then the path of  $M_i$  in this iteration is the same as in the previous iteration of the loop. But then  $M_i$  accepts iff  $\sigma$  is odd iff the lexicographic successor of  $\sigma$  is even, and we exit the loop. Therefore, the number of iterations of the loop is bounded by the number of queries in the computation tree of  $M_i$ . Since the number of queries is bounded by  $2^{p(n_i)}$ , the number of iterations of the loop is certainly bounded by  $2^{2^{n_i}}$  as required.

**Theorem 12** *There exists a set  $A$  such that:  $P^{NTIME^A(2^{2^{Pol}})} \neq EXP^{NE^A}$*

Use the same construction as in the previous theorem, this time using the following language  $L_A$ .

$$L_A := \{0^n : \text{the lexicographically largest string } y \text{ of length } 2^n \\ \text{such that } \exists z(|z| = 2^{2^n} \text{ and } yz \in A), \text{ is odd}\}$$

**Acknowledgements** I would like to thank Lane Hemachandra, Leen Torenvliet, Peter van Emde Boas and Rineke Verbrugge for fruitful discussions and proof reading.

## References

- [1] Heller, H., *Relativized polynomial hierarchies extending two levels*, Mathematical Systems Theory 17 (1984), 71–84.
- [2] Hemachandra L.A., *The strong exponential hierarchy collapses*, Proc 19th STOC conference (1987), 110–122.
- [3] Immerman, N. *Nondeterministic space is closed under complementation*. SIAM J. on Computing 17 (1988) pp. 935–938.
- [4] Kadin, J.,  $P^{NP[\log n]}$  and sparse Turing-complete sets for NP, Proc. of 2nd conference on Structure in Complexity Theory (1987), 33–40.
- [5] Ladner, R.E., Lynch, N.A., *Relativization of questions about Logspace computability*. Mathematical Systems Theory 10 (1976) 19–32.
- [6] Savitch W.J. *Relationships between nondeterministic and deterministic tape complexities*. J. Computer and System Sciences 4 (1970) 177–192.
- [7] Schöning, U., Wagner, K.W., *Collapsing oracle hierarchies, census functions and logarithmically many queries*, Proc. 5th Symp. on Theoretical Aspects of Computer Science (1988) 91–98.
- [8] Szelepcsényi, R. *The method of forcing for nondeterministic automata*. Bulletin of the EATCS 33 (1987) pp. 96–100.

# The ITLI Prepublication Series

1990

## *Logic, Semantics and Philosophy of Language*

- |   |   |
|---|---|
| LP-90-01 Jaap van der Does                  | A Generalized Quantifier Logic for Naked Infinitives                            |
| LP-90-02 Jeroen Groenendijk, Martin Stokhof | Dynamic Montague Grammar  |
| LP-90-03 Renate Bartsch                     | Concept Formation and Concept Composition                                       |
| LP-90-04 Aarne Ranta                        | Intuitionistic Categorical Grammar  |
| LP-90-05 Patrick Blackburn                  | Nominal Tense Logic   |
| LP-90-06 Gennaro Chierchia                  | The Variability of Impersonal Subjects  |
| LP-90-07 Gennaro Chierchia                  | Anaphora and Dynamic Logic  |
| LP-90-08 Herman Hendriks                    | Flexible Montague Grammar   |
| LP-90-09 Paul Dekker                        | The Scope of Negation in Discourse, towards a flexible dynamic Montague grammar |
| LP-90-10 Theo M.V. Janssen                  | Models for Discourse Markers  |
| LP-90-11 Johan van Benthem                  | General Dynamics  |
| LP-90-12 Serge Lapierre                     | A Functional Partial Semantics for Intensional Logic                            |
| LP-90-13 Zhisheng Huang                     | Logics for Belief Dependence  |
| LP-90-14 Jeroen Groenendijk, Martin Stokhof | Two Theories of Dynamic Semantics   |
| LP-90-15 Maarten de Rijke                   | The Modal Logic of Inequality   |
| LP-90-16 Zhisheng Huang, Karen Kwast        | Awareness, Negation and Logical Omniscience                                     |
| LP-90-17 Paul Dekker                        | Existential Disclosure, Implicit Arguments in Dynamic Semantics                 |

## *Mathematical Logic and Foundations*

- |   |   |
|---|---|
| ML-90-01 Harold Schellinx                 | Isomorphisms and Non-Isomorphisms of Graph Models   |
| ML-90-02 Jaap van Oosten                  | A Semantical Proof of De Jongh's Theorem  |
| ML-90-03 Yde Venema                       | Relational Games  |
| ML-90-04 Maarten de Rijke                 | Unary Interpretability Logic  |
| ML-90-05 Domenico Zambella                | Sequences with Simple Initial Segments  |
| ML-90-06 Jaap van Oosten                  | Extension of Lifschitz' Realizability to Higher Order Arithmetic, and a Solution to a Problem of F. Richman |
| ML-90-07 Maarten de Rijke                 | A Note on the Interpretability Logic of Finitely Axiomatized Theories                                       |
| ML-90-08 Harold Schellinx                 | Some Syntactical Observations on Linear Logic   |
| ML-90-09 Dick de Jongh, Duccio Pianigiani | Solution of a Problem of David Guaspari   |
| ML-90-10 Michiel van Lambalgen            | Randomness in Set Theory  |
| ML-90-11 Paul C. Gilmore                  | The Consistency of an Extended NaDSet   |

## *Computation and Complexity Theory*

- |  |  |
|--|--|
| CT-90-01 John Tromp, Peter van Emde Boas   | Associative Storage Modification Machines  |
| CT-90-02 Sieger van Denneheuvel, Gerard R. Renardel de Lavalette                     | A Normal Form for PCSJ Expressions   |
| CT-90-03 Ricard Gavaldà, Leen Torenvliet   | Generalized Kolmogorov Complexity in Relativized Separations   |
| Osamu Watanabe, José L. Balcázar   | Bounded Reductions   |
| CT-90-04 Harry Buhman, Edith Spaan, Leen Torenvliet                                  | Efficient Normalization of Database and Constraint Expressions   |
| CT-90-05 Sieger van Denneheuvel, Karen Kwast   | Dynamic Data Structures on Multiple Storage Media, a Tutorial  |
| CT-90-06 Michiel Smid, Peter van Emde Boas   | Greatest Fixed Points of Logic Programs  |
| CT-90-07 Kees Doets  | Physiological Modelling using RL   |
| CT-90-08 Fred de Geus, Ernest Rotterdam, Sieger van Denneheuvel, Peter van Emde Boas | Unique Normal Forms for Combinatory Logic with Parallel Conditional, a case study in conditional rewriting |
| CT-90-09 Roel de Vrijer  | Remarks on Intuitionism and the Philosophy of Mathematics, Revised Version                                 |

## *Other Prepublications*

- |   |  |
|---|--|
| X-90-01 A.S. Troelstra                              | Some Chapters on Interpretability Logic  |
| X-90-02 Maarten de Rijke                            | On the Complexity of Arithmetical Interpretations of Modal Formulae                              |
| X-90-03 L.D. Beklemishev                            | Annual Report 1989   |
| X-90-04   | Derived Sets in Euclidean Spaces and Modal Logic   |
| X-90-05 Valentin Shehtman                           | Using the Universal Modality: Gains and Questions  |
| X-90-06 Valentin Goranko, Solomon Passy             | The Lindenbaum Fixed Point Algebra is Undecidable  |
| X-90-07 V.Yu. Shavrukov                             | Provability Logics for Natural Turing Progressions of Arithmetical Theories                      |
| X-90-08 L.D. Beklemishev                            | On Rosser's Provability Predicate  |
| X-90-09 V.Yu. Shavrukov                             | An Overview of the Rule Language RL/1  |
| X-90-10 Sieger van Denneheuvel, Peter van Emde Boas | Provable Fixed points in $\text{IA}_0 + \Omega_1$ , revised version                              |
| X-90-11 Alessandra Carbone                          | Bi-Unary Interpretability Logic  |
| X-90-12 Maarten de Rijke                            | Dzhaparidze's Polymodal Logic: Arithmetical Completeness, Fixed Point Property, Craig's Property |
| X-90-13 K.N. Ignatiev                               | Undecidable Problems in Correspondence Theory  |
| X-90-14 L.A. Chagrova                               | Lectures on Linear Logic   |
| X-90-15 A.S. Troelstra                              |  |

1991

## *Mathematical Logic and Foundations*

- |  |  |
|--|--|
| ML-91-01 Yde Venema                              | Cylindric Modal Logic  |
| ML-91-02 Alessandro Berarducci, Rineke Verbrugge | On the Metamathematics of Weak Theories  |
| ML-91-03 Domenico Zambella                       | On the Proofs of Arithmetical Completeness for Interpretability Logic                  |
| ML-91-04 Raymond Hoofman, Harold Schellinx       | Collapsing Graph Models by Preorders   |
| ML-91-05 A.S. Troelstra                          | History of Constructivism in the Twentieth Century                                     |
| CT-91-01 Ming Li, Paul M.B. Vitanyi              | Kolmogorov Complexity Arguments in Combinatorics                                       |
| CT-91-02 Ming Li, John Tromp, Paul M.B. Vitanyi  | How to Share Concurrent Wait-Free Variables  |
| CT-91-03 Ming Li, Paul M.B. Vitanyi              | Average Case Complexity under the Universal Distribution Equals Worst Case Complexity  |
| CT-91-04 Sieger van Denneheuvel, Karen Kwast     | Weak Equivalence   |
| CT-91-05 Sieger van Denneheuvel, Karen Kwast     | Weak Equivalence for Constraint Sets   |
| CT-91-06 Edith Spaan                             | Census Techniques on Relativized Space Classes   |
| Other Prepublications                            |  |
| X-91-01 Alexander Chagrov, Michael Zakharyashev  | The Disjunction Property of Intermediate Propositional Logics                          |
| X-91-02 Alexander Chagrov                        | On the Undecidability of the Disjunction Property of Intermediate Propositional Logics |
| Michael Zakharyashev                             | Subalgebras of Diagonalizable Algebras of Theories containing Arithmetic               |
| X-91-03 V. Yu. Shavrukov                         | Partial Conservativity and Modal Logics  |
| X-91-04 K.N. Ignatiev                            | Temporal Logic   |
| X-91-05 Johan van Benthem                        | Annual Report 1990   |
| X-91-06  | Lectures on Linear Logic, Errata and Supplement  |
| X-91-07 A.S. Troelstra                           |  |