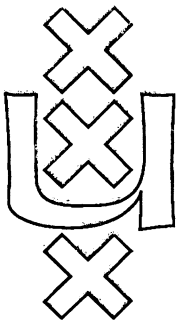


**Institute for Language, Logic and Information**

**ELEMENTARY INDUCTIVE DEFINITIONS IN HA:  
FROM STRICTLY POSITIVE TOWARDS MONOTONE**

**Dirk Roorda**

ITLI Prepublication Series  
for Mathematical Logic and Foundations ML-89-07



**University of Amsterdam**



**Instituut voor Taal, Logica en Informatie**  
**Institute for Language, Logic and Information**

Faculteit der Wiskunde en Informatica  
(Department of Mathematics and Computer Science)  
Plantage Muidergracht 24  
1018TV Amsterdam

Faculteit der Wijsbegeerte  
(Department of Philosophy)  
Nieuwe Doelenstraat 15  
1012CP Amsterdam

# **ELEMENTARY INDUCTIVE DEFINITIONS IN HA:**

## **FROM STRICTLY POSITIVE TOWARDS MONOTONE**

Dirk Roorda  
Department of Mathematics and Computer Science  
University of Amsterdam

Received October 1989

# Elementary Inductive Definitions in HA: from Strictly Positive towards Monotone

Dirk Roorda

August 8, 1989

## Abstract

A study of elementary inductive definitions (e.i.d. ) in HA. Strictly positive e.i.d. have closure ordinals  $\leq \omega$ , and define predicates that are already definable in HA. We enlarge this class by adding so-called J-operators, for example  $\neg\neg$ . E.i.d. in this larger class have closure ordinals up to  $\omega + \omega$ , but they are conservative over HA w.r.t. definability.

## 1 Introduction

We shall consider as inductive definitions formulæ in the language of HA expanded with a single one place predicate variable  $P$ , containing at most one numerical variable free. The meaning of such an inductive definition  $A(P, x)$  is the least fixed-point of  $A(P, x)$ , i.e. a predicate  $P^A$  satisfying

- (i):  $\forall x(A(P^A, x) \leftrightarrow P^A x)$
- (ii):  $\forall x(A(Q, x) \rightarrow Qx) \rightarrow \forall x(P^A x \rightarrow Qx)$ .

So the inductive definition specifies the closure conditions of the predicate it defines. The question is: for which  $A(P, x)$  can we justify the existence of such a  $P^A$ ? If  $A(P, x)$  is *monotone*, i.e.

$$\forall x(Qx \rightarrow Rx) \rightarrow \forall x(A(Q, x) \rightarrow A(R, x)),$$

then we can approximate  $P^A$  from below; define

$$\begin{aligned} P_0^A x & : \iff A(\lambda x. \perp, x) \\ P_{\beta+1}^A x & : \iff A(P_\beta^A, x) \\ P_\lambda^A x & : \iff \exists \mu < \lambda P_\mu^A x, \text{ lim } \lambda \\ P_\infty^A x & : \iff \exists \mu P_\mu^A x \end{aligned}$$

Note that for monotone  $A(P, x)$  (i) $\leftarrow$  is redundant: we have  $A(P^A, x) \rightarrow P^A x$  by (i) $\rightarrow$ , then by monotonicity we get  $A(A(P^A, \cdot), x) \rightarrow A(P^A, x)$ , and finally by (ii)  $P^A x \rightarrow A(P^A, x)$ .

Classically  $P^A$  exists and is equal to the least fixed-point of  $A(P, x)$ . An *elementary* inductive definition (e.i.d.) is an inductive definition without an unbounded universal quantifier occurring in front of a positive subformula containing  $P$ , and without an unbounded existential quantifier in front of a negative subformula containing  $P$ ; the inductive definition must be monotone. Classically we know that for e.i.d. the approximation closes up at or before stage  $\omega$ , so  $P_\infty^A = P_\omega^A$ . Intuitionistically, this is only true (in general) for strictly positive inductive definitions, i.e. formulae  $A(P, x)$  built up from atomic formulae  $Pt$ , from **HA**-formulae  $\varphi$  (these do not contain  $P$ ), by means of  $\exists, \forall y < s, \wedge, \vee$ . Now we want to solve the following problems

- (i): give neat ordinal bounds for arbitrary e.i.d., not only for the strictly positive ones
- (ii): prove or refute: e.i.d. enhance the expressive power of **HA**.

I have no complete answer to these questions. I will describe special extensions of the class of strictly positive e.i.d., which do not enhance the expressive power of **HA**, while those e.i.d. may have a closure ordinal up to  $\omega + \omega$ . Those extensions are made by closing the strictly positive formulae under new operations, like  $\neg\neg$ . When we allow arbitrary monotone formulae, these problems look rather intractable. In particular, implication (with negative antecedent and positive consequent) seems rather tough.

### Acknowledgement

This article is a partial answer to a question, posed by Kreisel in [Kre63, p.3.25]. I am indebted to prof. A.S. Troelstra for remembering it, and pointing it out to me.

### Convention

Throughout this article the symbols  $\leftrightarrow$  resp.  $\rightarrow$  and  $\iff$  resp.  $\implies$  stand for *provable* equivalence resp. consequence in a formal system. But only  $\leftrightarrow$  and  $\rightarrow$  are used as connectives in a formal language, while  $\iff$  and  $\implies$  denote equivalence resp. consequence relations between formulae.

## 2 Examples

### 2.1 Closure at $\omega + 1$

An e.i.d. that closes up at stage  $\omega + 1$  (exactly). Let  $C$  be a nonrecursive RE - set, say

$$x \in C \leftrightarrow \exists z T e x z ; \text{ assume } T e x z \rightarrow x \leq z.$$

Define, assuming that pairing is surjective :

$$A(P, \langle x, z \rangle) : \iff \exists m \leq z T e x m \vee P \langle x, z + 1 \rangle.$$

Then

$$\begin{aligned}
P_0^A \langle x, z \rangle &\iff \exists m \leq z \text{ Texm} \\
P_1^A \langle x, z \rangle &\iff \exists m \leq z \text{ Texm} \vee P_0^A \langle x, z+1 \rangle \iff \exists m \leq z+1 \text{ Texm} \\
&\vdots \\
P_k^A \langle x, z \rangle &\iff \exists m \leq z+k \text{ Texm} \\
&\vdots \\
P_\omega^A \langle x, z \rangle &\iff \exists m \text{ Texm} \iff x \in C.
\end{aligned}$$

We see quickly that  $P_\omega^A = P_{\omega+1}^A$  and  $P_k^A \neq P_\omega^A$ . The last inequality follows from the fact that  $C$  is infinite and  $\text{Tex}z \rightarrow x \leq z$ . Now we define, following [Kre63, pp. 3.6 and 3.24]:

$$B(P, x) \iff A(P, x) \vee \neg\neg Px.$$

Then, for all  $n < \omega$ ,  $P_n^B x \leftrightarrow P_n^A x$ , and  $P_n^A$  is recursive.

PROOF:

$$\begin{aligned}
P_0^B x &\iff P_0^A x \vee \neg\neg \perp \iff P_0^A x \text{ and clearly } P_0^A \text{ is recursive.} \\
P_{n+1}^B x &\iff A(P_n^B, x) \vee \neg\neg P_n^B x \iff \text{ind hyp} \\
&\iff A(P_n^A, x) \vee \neg\neg P_n^A x \iff \text{def, ind hyp} \\
&\iff P_{n+1}^A x \vee P_n^A x \iff P_{n+1}^A x, \text{ and } P_{n+1}^A \text{ is recursive.}
\end{aligned}$$

□

Consider now  $P_\omega^B$ ,  $P_{\omega+1}^B$  and  $P_{\omega+2}^B$ :

$$\begin{aligned}
P_\omega^B x &\iff \exists n P_n^B x \iff \exists n P_n^A x \iff P_\omega^A x. \\
P_{\omega+1}^B x &\iff B(P_\omega^B, x) \iff A(P_\omega^A, x) \vee \neg\neg P_\omega^A x \\
&\iff P_\omega^A x \vee \neg\neg P_\omega^A x \iff \neg\neg P_\omega^A x \iff P_\omega^A x, \text{ for } P_\omega^A \text{ is nonrecu-} \\
&\hspace{10em} \text{sive.} \\
P_{\omega+2}^B x &\iff A(P_{\omega+1}^B, x) \vee \neg\neg P_{\omega+1}^B x \iff A(\neg\neg P_\omega^A, x) \iff \neg\neg\neg\neg P_\omega^A x \\
&\iff \neg\neg P_\omega^A x \text{ because } A(\neg\neg P_\omega^A, x) \implies \neg\neg A(P_\omega^A, x) \implies \neg\neg P_\omega^A x.
\end{aligned}$$

It is possible to construe e.i.d.  $C(P, x)$  that close up at stage  $\omega + \omega$ , by exploiting this trick.

□ (first example)

## 2.2 Closure at $\omega + \omega$

We give an e.i.d. with closure ordinal  $\omega + \omega$ . Let  $\langle \dots \rangle$  be a coding of sequences of natural numbers. Let  $A(P, x)$  be an e.i.d. that defines a nonrecursive  $P^A = P_\omega^A$ , while the  $P_k^A$  are recursive (cf. the first example); in addition, let  $P^A \subseteq \{\langle x \rangle \mid x \in \mathbb{N}\}$ , and let  $A(P, x)$  be insensitive to numbers outside this set, i.e.

$$A(P, x) \leftrightarrow A(\lambda y. Py \wedge \exists z (\langle z \rangle = y), x)$$

Define

$$B(P, x) := (A(P, x) \wedge \text{lh } x = 1) \vee \exists y \exists z (Py \wedge \neg A(P, z) \wedge \text{lh } z = 1 \wedge x = y \star z)$$

Then  $P^B = P_{\omega+\omega}^B$ , by the following lemmas, whose proofs are not particularly interesting and not too difficult. Sometimes I use set-theoretic notation like  $x \in P_\omega^A$  for  $P_\omega^A x$ .

**Lemma 2.1**  $P_\omega^B = \{\langle x_1, \dots, x_k \rangle \mid k \in \mathbb{N}, \langle x_i \rangle \in P_\omega^A, i = 1, \dots, k\}$

**Lemma 2.2**

$$P_{\omega+n}^B = \{\langle x_1, \dots, x_k \rangle \mid k > 0 \wedge \langle x_1 \rangle \in P_\omega^A \\ \wedge \forall i \in \{1, \dots, k \dot{-} n\} \langle x_i \rangle \in P_\omega^A \\ \wedge \forall i \in \{k \dot{-} (n+1), \dots, k\} \langle x_i \rangle \in \neg\neg P_\omega^A\}$$

**Lemma 2.3**  $x \in P_{\omega+n+1}^B \leftrightarrow x \in P_{\omega+n}^B$

**Lemma 2.4**

$$P_{\omega+\omega}^B = \bigcup_{n \in \omega} P_{\omega+n}^B = \{\langle x_1, \dots, x_k \rangle \mid k > 0 \wedge \langle x_1 \rangle \in P_\omega^A \wedge \langle x_2 \rangle, \dots, \langle x_k \rangle \in \neg\neg P_\omega^A\}$$

It is clear from this construction, that the closure ordinal of  $B$  cannot be proved to be less than  $\omega + \omega$ .

## 3 J-operators

The following definition is meant as a generalization of the  $\neg\neg$ -operator (cf. [FS73, pp.324–334]):

**Definition 3.1** A J-operator is an operator  $J(\cdot)$ , on HA-formulae, that is HA-definable, and that satisfies:

- |   |                           |
|---|---------------------------|
| (i): $Q \rightarrow J(Q)$                                     | (increasing)              |
| (ii): $J(Q \wedge R) \leftrightarrow J(Q) \wedge J(R)$        | ( $\wedge$ -distributive) |
| (iii): $J(J(Q)) \rightarrow J(Q)$                             | (idempotent)              |
| Note that from (ii)( $\rightarrow$ ) follows:                 |                           |
| (iv): $(Q \rightarrow R) \rightarrow (J(Q) \rightarrow J(R))$ | (monotone).               |

We do not allow  $J$  to have free variables.

**Definition 3.2** :  $P[P]$  is the class of strictly positive formulae, i.e.:

- $Pt, t$  a term, is a formula of  $P[P]$
- a formula  $\varphi$  of the language of **HA** is a formula of  $P[P]$
- $P[P]$  is closed under  $\exists, \forall^<, \wedge, \vee$ .

$P(J)[P]$ ,  $J$  a  $J$ -operator, is defined analogously, except that  $P(J)[P]$  is also closed under  $J$ .

**Fact 3.1** For  $A(P, x) \in \mathcal{P}[P, x]$ ,  $P^A = P_\omega^A$  is **HA**-definable. See [TvD88, Vol I, pp.145-152].

**Theorem 3.2** For  $A(P, x) \in \mathcal{P}(J)[P, x]$ ,  $P^A = P_{\omega+\omega}^A$  is **HA**-definable.

Before giving the proof, I will supply some technical lemmas and hint at the idea behind the proof.

**Lemma 3.3 (Shifting  $J$  to the outside)**

- (i):  $J(P) \vee J(Q) \rightarrow J(P \vee Q)$
- (ii):  $J(P) \wedge J(Q) \rightarrow J(P \wedge Q)$
- (iii):  $\exists x J(A(x)) \rightarrow J(\exists x A(x))$
- (iv):  $\forall x < t J(A(x)) \rightarrow J(\forall x < t A(x))$

PROOF:

$$(i): \left. \begin{array}{l} P \rightarrow P \vee Q \\ Q \rightarrow P \vee Q \end{array} \right\} \xrightarrow{\text{monotonicity}} \left. \begin{array}{l} J(P) \rightarrow J(P \vee Q) \\ J(Q) \rightarrow J(P \vee Q) \end{array} \right\} \implies J(P) \vee J(Q) \rightarrow J(P \vee Q)$$

(ii): by  $\wedge$ -distributivity( $\leftarrow$ )

(iii):  $A(x) \rightarrow \exists x A(x) \implies J(A(x)) \rightarrow J(\exists x A(x)) \implies \exists x J(A(x)) \rightarrow J(\exists x A(x))$

(iv): let  $J$ -SHIFT( $y$ ) denote the following schema:

$$\forall x(x < y \rightarrow J(A(x))) \rightarrow J(\forall x(x < y \rightarrow A(x))), y \notin FV(A).$$

We prove  $\forall y J$ -SHIFT( $y$ ) by induction:

$$\forall x(x < 0 \rightarrow A(x)), \text{ so by increase: } J(\forall x(x < 0 \rightarrow A(x))).$$

$$\forall x(x < Sy \rightarrow J(A(x))) \implies \text{“HA”}$$

$$\forall x(x < y \rightarrow J(A(x))) \wedge J(A(y)) \implies \text{ind hyp}$$

$$J(\forall x(x < y \rightarrow A(x))) \wedge J(A(y)) \implies \wedge\text{-distributivity}$$

$$J(\forall x(x < y \rightarrow A(x)) \wedge J(A(y))) \implies \text{“HA under } J\text{”}$$

$$J(\forall x(x < Sy \rightarrow A(x))).$$

We conclude: for any term  $t$ :

$$\forall x(x < t \rightarrow J(A(x))) \rightarrow J(\forall x(x < t \rightarrow A(x))).$$

□ (lemma 3.3)

The comment “**HA**” means: by reasoning in **HA**; “**HA** under  $J$ ” means: by reasoning in **HA** in the scope of  $J$ ; this is justified by the fact that  $J$  is increasing and monotone.

**Definition 3.3** Let  $A(P)$  be a  $P(J)[P]$ -formula. Occurrences of subformulae, used in the construction of  $A(P)$ , according to the definition of  $P(J)[P]$ , are called components.

Remark that a  $P(J)[P]$ -formula is monotone in its components, because  $\exists, \forall^<, \wedge, \vee, J$  are all monotone connectives.

**Lemma 3.4** Let  $A(P)$  be a  $P(J)[P]$ -formula. Let  $C$  be a component of  $A(P)$  of the form  $J(B(P))$ , with at least one occurrence of  $P$ . Let  $A'(P)$  be obtained from  $A$  by replacing that component  $J(B(P))$  by  $B(P)$ . Then  $A(P) \rightarrow J(A'(P))$ . I.e.

$$\begin{aligned} A(P) &\equiv \dots J(B(P)) \dots \\ J(A'(P)) &\equiv J(\dots B(P) \dots) \end{aligned}$$

PROOF: Easy, by induction on the structure of  $A(P)$ . In fact, this is nothing else than repeatedly shifting  $J$  outwards, using the fact that a component occurs only in scopes of  $\wedge, \vee, \exists, \forall^<, J$ , and applying lemma 3.3.

□

## 4 Decomposition of the approximation process

**Definition 4.1** Let  $A(P, x)$  be a  $P(J)[P]$ -formula.

$$\begin{aligned} \bar{A} &:\equiv A \text{ where every } J \text{ with } P \text{ in its scope has been deleted;} \\ A^* &:\equiv A \text{ where every occurrence of } P \text{ in the scope of } J \text{ has been replaced} \\ &\quad \text{by } P_\omega^{\bar{A}}, \text{ so:} \\ A(P) &\equiv \dots P s_i \dots J(\dots P t_j \dots) \\ \bar{A}(P) &\equiv \dots P s_i \dots \dots P t_j \dots \\ A^*(P) &\equiv \dots P s_i \dots J(\dots P_\omega^{\bar{A}} t_j \dots) \end{aligned}$$

**Remark**

$\bar{A} \in \mathcal{P}[P, x]$ , so  $P^{\bar{A}} = P_\omega^{\bar{A}}$  is **HA**-definable by the fact above; it follows that  $A^*$  is a  $\mathcal{P}[P, x]$ -formula, so  $P^{A^*} = P_\omega^{A^*}$  is **HA**-definable too.

The idea of the proof is emerging: instead of iterating  $A(P, x)$  indefinitely, we split the process in iterations that continue at most till stage  $\omega$ . In the first iteration we neglect the  $J$ -operator completely, then we administer its effect one time; the second iteration also goes on without  $J$ -operator. The reason that this suffices, is mainly the idempotency of the  $J$ -operator.



**Lemma 4.1** *Let  $A(P, x) \in \mathcal{P}(J)[P, x]$ . Then*

$$(i): P_{\alpha}^{\bar{A}}x \rightarrow P_{\alpha}^A x$$

$$(ii): J(P_{\alpha}^A x) \rightarrow J(P_{\alpha}^{\bar{A}}x).$$

PROOF: (i) follows from  $\bar{A} \rightarrow A$ , (ii) from  $J(A) \rightarrow J(\bar{A})$ , both by induction on  $\alpha$ .  
 Ad (i):  $A$  is obtained from  $\bar{A}$  by replacing components  $B$  by  $J(B)$ . Use increase ( $B \rightarrow J(B)$ ) and monotonicity in components. Ad (ii): this is seen as follows: by repeatedly applying lemma 3.4 we have  $A \rightarrow J(\bar{A})$ ; then, by monotonicity  $J(A) \rightarrow J(J(\bar{A}))$  and by idempotency  $J(A) \rightarrow J(\bar{A})$ . Let us now carry out the induction for (ii):

$$\begin{array}{llll} \alpha = 0 & : & J(P_0^A x) \stackrel{\text{by def}}{\equiv} J(A(\lambda x. \perp, x)) & \implies \text{for } J(A) \rightarrow J(\bar{A}), \text{ see above} \\ & & J(\bar{A}(\lambda x. \perp, x)) \stackrel{\text{by def}}{\equiv} J(P_0^{\bar{A}} x). & \\ \alpha = \beta + 1 & : & J(P_{\beta+1}^A x) \stackrel{\text{by def}}{\equiv} J(A(P_{\beta}^A, x)) & \implies \text{for } J(A) \rightarrow J(\bar{A}), \text{ see above} \\ & & J(\bar{A}(P_{\beta}^A, x)) & \implies \bar{A} \text{ monotone, } J \text{ increasing} \\ & & J(\bar{A}(J(P_{\beta}^A), x)) & \implies \text{ind hyp} \\ & & J(\bar{A}(J(P_{\beta}^{\bar{A}}), x)) & \implies \text{lemma 3.4} \\ & & J(J(\bar{A}(P_{\beta}^{\bar{A}}), x)) & \implies \text{idempotency} \\ & & J(P_{\beta+1}^{\bar{A}} x). & \\ \lim \alpha & : & J(P_{\alpha}^A x) \stackrel{\text{by def}}{\equiv} J(\exists \beta < \alpha P_{\beta}^A x) & \implies J \text{ increasing} \\ & & J(\exists \beta < \alpha J(P_{\beta}^A x)) & \implies \text{ind hyp, monotonicity of } J \\ & & J(\exists \beta < \alpha J(P_{\beta}^{\bar{A}} x)) & \implies \text{lemma 3.4} \\ & & J(J(\exists \beta < \alpha P_{\beta}^{\bar{A}} x)) & \implies \text{idempotency} \\ & & J(P_{\alpha}^{\bar{A}} x). & \end{array}$$

□

**Lemma 4.2** *Let  $A(P, x) \in \mathcal{P}(J)[P, x]$ . Then*

$$(i): P_{\infty}^A x \leftrightarrow P_{\omega}^{A^*} x$$

$$(ii): P_{\omega}^{A^*} x \leftrightarrow P_{\omega+\omega}^A x$$

PROOF:

(i)( $\rightarrow$ ): by induction on  $\alpha$  we prove  $P_{\alpha}^A x \rightarrow P_{\omega}^{A^*} x$ .

$$\begin{aligned}
\alpha = 0 & : P_0^A x \iff A(\lambda x. \perp, x) \implies P_0^{A^*} x \text{ (since } \perp \rightarrow P_\omega^{\bar{A}} x) \implies P_\omega^{A^*} x. \\
\lim \alpha & : P_\alpha^A x \iff \exists \beta < \alpha P_\beta^A x \xrightarrow{\text{indhyp}} \exists \beta < \alpha P_\omega^{A^*} x \implies P_\omega^{A^*} x.
\end{aligned}$$

For the successor case we note first that  $P_\beta^{\bar{A}} t_j \rightarrow P_\omega^{\bar{A}} t_j$ ; this is seen as follows: for  $\beta < \omega$  it follows by the fact that  $\alpha < \beta \implies (P_\alpha^{\bar{A}} x \rightarrow P_\beta^{\bar{A}} x)$  (routine induction, using monotonicity of  $\bar{A}$ ); for  $\beta > \omega$  we recollect the fact that at stage  $\omega$  the iteration of  $\bar{A}$  has reached its fixed-point.

$$\begin{aligned}
\alpha = \beta + 1 & : P_{\beta+1}^A x \implies A(P_\beta^A, x) \equiv \\
& \dots P_\beta^A s_i \dots J(\dots P_\beta^A t_j \dots) \implies \text{ind hyp} \\
& \dots P_\omega^{A^*} s_i \dots J(\dots P_\beta^A t_j \dots) \implies \text{increase} \\
& \dots P_\omega^{A^*} s_i \dots J(\dots J(P_\beta^A t_j) \dots) \implies \text{lemma 4.1(ii)} \\
& \dots P_\omega^{A^*} s_i \dots J(\dots J(P_\beta^{\bar{A}} t_j) \dots) \implies \text{lemma 3.4} \\
& \dots P_\omega^{A^*} s_i \dots J(J(\dots P_\beta^{\bar{A}} t_j \dots)) \implies \text{idempotency} \\
& \dots P_\omega^{A^*} s_i \dots J(\dots P_\beta^{\bar{A}} t_j \dots) \implies \text{since } P_\beta^{\bar{A}} t_j \rightarrow P_\omega^{\bar{A}} t_j \\
& \dots P_\omega^{A^*} s_i \dots J(\dots P_\omega^{\bar{A}} t_j \dots) \iff \text{by definition} \\
& A^*(P_\omega^{A^*}, x) \iff P_{\omega+1}^{A^*} x \iff P_\omega^{A^*} x \text{ for } A^* \in \mathcal{P}[P, x].
\end{aligned}$$

(i)( $\leftarrow$ ): by induction on  $n$  we prove:  $P_n^{A^*} x \rightarrow P_{\omega+n+1}^A x$ .

$$\begin{aligned}
n = 0 & : P_0^{A^*} x \iff A^*(\lambda x. \perp, x) \iff \\
& \dots (\lambda x. \perp) s_i \dots J(\dots P_\omega^{\bar{A}} t_j \dots) \implies \text{lemma 4.1(i)} \\
& \dots (\lambda x. \perp) s_i \dots J(\dots P_\omega^A t_j \dots) \implies \\
& \dots P_\omega^A s_i \dots J(\dots P_\omega^A t_j \dots) \iff \text{by definition} \\
& A(P_\omega^A, x) \iff P_{\omega+1}^A x. \\
n + 1 & : P_{n+1}^{A^*} x \iff A^*(P_n^{A^*}, x) \iff \\
& \dots P_n^{A^*} s_i \dots J(\dots P_\omega^{\bar{A}} t_j \dots) \implies \text{ind hyp} \\
& \dots P_{\omega+n+1}^A s_i \dots J(\dots P_\omega^{\bar{A}} t_j \dots) \implies \text{lemma 4.1(i)} \\
& \dots P_{\omega+n+1}^A s_i \dots J(\dots P_\omega^A t_j \dots) \implies \text{monotonicity} \\
& \dots P_{\omega+n+1}^A s_i \dots J(\dots P_{\omega+n+1}^A t_j \dots) \iff \text{by definition}
\end{aligned}$$

$$A(P_{\omega+n+1}^A, x) \iff P_{\omega+n+2}^A x.$$

Then  $P_{\omega}^{A^*} x \iff \exists n P_n^{A^*} x \implies \exists n P_{\omega+n+1}^A x \iff P_{\omega+\omega}^A x \implies P_{\infty}^A x.$

(ii): see the preceding line.

□ (lemma 4.2)

Now theorem 3.2 follows:

- closure at  $\omega + \omega$ :

$$\begin{array}{l} A(P_{\omega+\omega}^A, x) \iff P_{\omega+\omega+1}^A x \implies P_{\infty}^A x \implies \text{lemma 4.2(i)} \\ P_{\omega}^{A^*} x \xrightarrow{\text{lemma 4.2(ii)}} P_{\omega+\omega}^A x. \end{array}$$

- definability:

$$P_{\infty}^A x \iff P_{\omega+\omega}^A x \iff P_{\omega}^{A^*} x \text{ and } P_{\omega}^{A^*} \text{ is HA-definable.}$$

□ (theorem 3.2)

## 5 Extensions

One of the limitations of our theorem is, that there figures at most one J-operator in an e.i.d. . When we try to admit more, and proceed by repeatedly treating the J-operators in the same way as we did our single J-operators, we encounter the following difficulty: one J-operator need to be shifted outward over another, while it is not generally true that  $J_1(J_2(Q)) \rightarrow J_2(J_1(Q))$ . Define

$$J_2 \leq J_1 \quad :\iff J_1(J_2(Q)) \rightarrow J_2(J_1(Q)) \quad \text{read } J_2 \text{ precedes } J_1.$$

**Theorem 5.1** *For  $A(P, x)$  containing two J-operators  $J_1$  and  $J_2$ , where  $J_1 \leq J_2$  or  $J_2 \leq J_1$ , the following holds:*

$$P^A = P_{\omega+\omega+\omega+\omega}^A \text{ is HA-definable.}$$

PROOF:

Define  $\bar{A} \quad \equiv \quad A$  where every  $J_2$  with  $P$  in its scope has been deleted;

$A^* \quad \equiv \quad A$  where every occurrence of  $P$  in the scope of  $J_2$  has been replaced by  $P_{\omega+\omega}^{\bar{A}}$ .

Then proceed in the same way as before.

□

I conclude with some examples of J-operators and a few easy relationships between them. The following are all J-operators:

$$\begin{aligned}
I &= \lambda Q.Q \\
N &= \lambda Q.\neg\neg Q \\
D_R &= \lambda Q.Q \vee R \\
H_R &= \lambda Q.R \rightarrow Q \\
N_R &= \lambda Q.(Q \rightarrow R) \rightarrow R \\
N_R^{J_1} &= \lambda Q.N_R(J_1(Q)) \\
M_R^{J_1 J_2} &= \lambda Q.(J_1(Q) \rightarrow R) \rightarrow J_2(Q) \text{ where } J_2(Q) \rightarrow J_1(Q) \text{ for all } Q.
\end{aligned}$$

It is not hard to establish that

$$N \leq J, I \leq J, H_{R_1} \leq H_{R_2}, D_{R_1} \leq D_{R_2}.$$

**Fact 5.2**

$$J_1 \leq J_2 \iff J_1 \circ J_2 \text{ is a } J\text{-operator.}$$

PROOF:

(only if) straightforward; the condition  $J_1 \leq J_2$  is used to get idempotency for  $J_1 \circ J_2$ .

(if)  $J_2 J_1 Q \implies$  increase, monotonicity

$J_2 J_1(J_2 Q) \implies$  increase

$J_1(J_2 J_1(J_2 Q)) \equiv (J_1 \circ J_2)(J_1 \circ J_2)Q \implies (J_1 \circ J_2)Q$  by the idempotency of  $(J_1 \circ J_2)$ .

□

**References**

- [FS73] M.P. Fourman and D.S. Scott. Sheaves and logic. In M.P. Fourman, C.J. Mulvey, and D.S. Scott, editors, *Applications of Sheaves*, pages 302–401, Springer Verlag, Berlin, 1973.
- [Kre63] Georg Kreisel. *Reports of the Seminar on the Foundations of Analysis, part III*. Technical Report, Stanford University, 1963. Mimeographed.
- [TvD88] A.S. Troelstra and D. van Dalen. *Constructivism in Mathematics*. North-Holland Publishing Company, Amsterdam, 1988.

# The ITLI Prepublication Series

1986

- 86-01 The Institute of Language, Logic and Information  
86-02 Peter van Emde Boas A Semantical Model for Integration and Modularization of Rules  
86-03 Johan van Benthem Categorical Grammar and Lambda Calculus  
86-04 Reinhard Muskens A Relational Formulation of the Theory of Types  
86-05 Kenneth A. Bowen, Dick de Jongh Some Complete Logics for Branched Time, Part I  
Well-founded Time, Forward looking Operators  
86-06 Johan van Benthem Logical Syntax

1987

- 87-01 Jeroen Groenendijk, Martin Stokhof Type shifting Rules and the Semantics of Interrogatives  
87-02 Renate Bartsch Frame Representations and Discourse Representations  
87-03 Jan Willem Klop, Roel de Vrijer Unique Normal Forms for Lambda Calculus with Surjective Pairing  
87-04 Johan van Benthem Polyadic quantifiers  
87-05 Víctor Sánchez Valencia Traditional Logicians and de Morgan's Example  
87-06 Eleonore Oversteegen Temporal Adverbials in the Two Track Theory of Time  
87-07 Johan van Benthem Categorical Grammar and Type Theory  
87-08 Renate Bartsch The Construction of Properties under Perspectives  
87-09 Herman Hendriks Type Change in Semantics: The Scope of Quantification and  
Coordination

1988

- Logic, Semantics and Philosophy of Language:*  
LP-88-01 Michiel van Lambalgen Algorithmic Information Theory  
LP-88-02 Yde Venema Expressiveness and Completeness of an Interval Tense Logic  
LP-88-03 Year Report 1987  
LP-88-04 Reinhard Muskens Going partial in Montague Grammar  
LP-88-05 Johan van Benthem Logical Constants across Varying Types  
LP-88-06 Johan van Benthem Semantic Parallels in Natural Language and Computation  
LP-88-07 Renate Bartsch Tenses, Aspects, and their Scopes in Discourse  
LP-88-08 Jeroen Groenendijk, Martin Stokhof Context and Information in Dynamic Semantics  
LP-88-09 Theo M.V. Janssen A mathematical model for the CAT framework of Eurotra  
LP-88-10 Anneke Kleppe A Blissymbolics Translation Program
- Mathematical Logic and Foundations:*  
ML-88-01 Jaap van Oosten Lifschitz' Realizability  
ML-88-02 M.D.G. Swaen The Arithmetical Fragment of Martin Löf's Type Theories with weak  $\Sigma$ -elimination  
ML-88-03 Dick de Jongh, Frank Veltman Provability Logics for Relative Interpretability  
ML-88-04 A.S. Troelstra On the Early History of Intuitionistic Logic  
ML-88-05 A.S. Troelstra Remarks on Intuitionism and the Philosophy of Mathematics

- Computation and Complexity Theory:*  
CT-88-01 Ming Li, Paul M.B. Vitanyi Two Decades of Applied Kolmogorov Complexity  
CT-88-02 Michiel H.M. Smid General Lower Bounds for the Partitioning of Range Trees  
CT-88-03 Michiel H.M. Smid, Mark H. Overmars, Leen Torenvliet, Peter van Emde Boas Maintaining Multiple Representations of Dynamic Data Structures  
CT-88-04 Dick de Jongh, Lex Hendriks, Gerard R. Renardel de Lavalette Computations in Fragments of Intuitionistic Propositional Logic  
CT-88-05 Peter van Emde Boas Machine Models and Simulations (revised version)  
CT-88-06 Michiel H.M. Smid A Data Structure for the Union-find Problem having good Single-Operation Complexity  
CT-88-07 Johan van Benthem Time, Logic and Computation  
CT-88-08 Michiel H.M. Smid, Mark H. Overmars, Leen Torenvliet, Peter van Emde Boas Multiple Representations of Dynamic Data Structures  
CT-88-09 Theo M.V. Janssen Towards a Universal Parsing Algorithm for Functional Grammar  
CT-88-10 Edith Spaan, Leen Torenvliet, Peter van Emde Boas Nondeterminism, Fairness and a Fundamental Analogy  
CT-88-11 Sieger van Denneheuvel, Peter van Emde Boas Towards implementing RL

- Other prepublications:*  
X-88-01 Marc Jumelet On Solovay's Completeness Theorem

1989

- Logic, Semantics and Philosophy of Language:*  
LP-89-01 Johan van Benthem The Fine-Structure of Categorical Semantics  
LP-89-02 Jeroen Groenendijk, Martin Stokhof Dynamic Predicate Logic, towards a compositional, non-representational semantics of discourse  
LP-89-03 Yde Venema Two-dimensional Modal Logics for Relation Algebras and Temporal Logic of Intervals  
LP-89-04 Johan van Benthem Language in Action  
LP-89-05 Johan van Benthem Modal Logic as a Theory of Information  
LP-89-06 Andreja Prijatelj Intensional Lambek Calculi: Theory and Application  
LP-89-07 Heinrich Wansing The Adequacy Problem for Sequential Propositional Logic
- Mathematical Logic and Foundations:*  
ML-89-01 Dick de Jongh, Albert Visser Explicit Fixed Points for Interpretability Logic  
ML-89-02 Roel de Vrijer Extending the Lambda Calculus with Surjective Pairing is conservative  
ML-89-03 Dick de Jongh, Franco Montagna Rosser Orderings and Free Variables  
ML-89-04 Dick de Jongh, Marc Jumelet, Franco Montagna On the Proof of Solovay's Theorem  
ML-89-05 Rineke Verbrugge  $\Sigma$ -completeness and Bounded Arithmetic  
ML-89-06 Michiel van Lambalgen The Axiomatization of Randomness  
ML-89-07 Dirk Roorda Elementary Inductive Definitions in HA: from Strictly Positive towards Monotone  
ML-89-08 Dirk Roorda Investigations into Classical Linear Logic
- Computation and Complexity Theory:*  
CT-89-01 Michiel H.M. Smid Dynamic Deferred Data Structures  
CT-89-02 Peter van Emde Boas Machine Models and Simulations  
CT-89-03 Ming Li, Herman Neuféglise, Leen Torenvliet, Peter van Emde Boas On Space efficient Simulations  
CT-89-04 Harry Buhman, Leen Torenvliet A Comparison of Reductions on Nondeterministic Space  
CT-89-05 Pieter H. Hartel, Michiel H.M. Smid, Leen Torenvliet, Willem G. Vree A Parallel Functional Implementation of Range Queries  
CT-89-06 H.W. Lenstra, Jr. Finding Isomorphisms between Finite Fields  
CT-89-07 Ming Li, Paul M.B. Vitanyi A Theory of Learning Simple Concepts under Simple Distributions and Average Case Complexity for the Universal Distribution (Prel. Version)
- Other prepublications:*  
X-89-01 Marianne Kalsbeek An Orey Sentence for Predicative Arithmetic  
X-89-02 G. Wagemakers New Foundations: a Survey of Quine's Set Theory  
X-89-03 A.S. Troelstra Index of the Heyting Nachlass  
X-89-04 Jeroen Groenendijk, Martin Stokhof Dynamic Montague Grammar, a first sketch  
X-89-05 Maarten de Rijke The Modal Theory of Inequality