

CANONICAL MEASURE ASSIGNMENTS

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ABSTRACT. We work under the assumption of the Axiom of Determinacy and associate a measure to each cardinal $\kappa < \aleph_{\varepsilon_0}$ in a recursive definition of a *canonical measure assignment*. We give algorithmic applications of the existence of such a canonical measure assignment (computation of cofinalities, computation of the Kleinberg sequences associated to the normal ultrafilters on all projective ordinals).

1. INTRODUCTION

One of the striking features of set theory under the Axiom of Determinacy is the fact that there is a full analysis of the cardinal structure for a fairly large initial segment of $\Theta := \sup\{\alpha : \text{there is a surjection from } \mathbb{R} \text{ onto } \alpha\}$, something which we cannot hope to get in the ZFC context. While almost none of the combinatorial properties of small cardinals (*e.g.*, \aleph_2 , \aleph_3 , \aleph_{ω^2}) are fixed in ZFC, ZF + AD gives us definite combinatorial properties (*e.g.*, measurability, Jónssonness, Rowbottomness) of these cardinals, in particular below \aleph_{ε_0} , the supremum of the projective ordinals (defined in §2).

This structure is closely tied to an analysis of measures on the projective ordinals and the representation of cardinals as ultrapowers via these measures. In [Ja99] this analysis is given below δ_5^1 , and in [Ja88] it is extended to all the δ_n^1 . A key combinatorial ingredient in this analysis is the notion of a description, which give a precise presentation of the cardinal structure (see also [Ja ∞] for an introduction to this theory). In [JaKh ∞] it was shown that a certain fairly simple family of measures on δ_3^1 could be used to directly describe the cardinal structure below δ_5^1 . This presentation of the cardinal structure avoided the notion of description, although the description theory was an integral part of the proofs.

Our goal here is to present a simple combinatorial framework which suffices to describe the cardinal structure below the supremum of the projective ordinals, and which also avoids the description analysis. We introduce the notion of an **ordinal algebra**, and we inductively assign measures to the elements of this algebra through two lifting operations. This gives us a comparatively simple notational framework for describing the cardinal structure below the δ_n^1 which is of independent interest and will also allow those not familiar with the description analysis to use many of the strong consequences of that theory.

We emphasize that we do not prove here the strong partition relation on the δ_{2n+1}^1 (although we use it heavily), nor do we prove that the ultrapowers of the

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δ_{2n+1}^1 by the measures we construct have the correct values. Rather, we abstract these assumptions into a “canonicity assumption” (defined precisely later) from which our analysis proceeds. The proof of this assumption below δ_5^1 is given in [JaKh ∞], and for the general case will appear later (although the description analysis necessary is given in [Ja88]).

In this paper we would like to stress the algorithmic nature of this analysis of the cardinals below \aleph_{ε_0} : we describe a general recursive procedure of measure assignment (§5), and develop algorithms for

- computing all regular cardinals below \aleph_{ε_0} (§6.1),
- computing the cofinalities of all cardinals below \aleph_{ε_0} (§6.2), and
- computing the Kleinberg sequences derived from all normal measures on the projective ordinals (§6.3)

under the assumption that the measure assignment is canonical.

2. MATHEMATICAL BACKGROUND.

In this paper, we shall be working in the theory $\text{ZF} + \text{DC} + \text{AD}$. We shall say that a cardinal κ has the **strong partition property** if the partition relation $\kappa \rightarrow (\kappa)^\kappa$ holds, *i.e.*, if for every partition of $[\kappa]^\kappa$ into two blocks there is a homogeneous set of order type κ . We say that it has the **weak partition property** if for all $\alpha < \kappa$, the partition relation $\kappa \rightarrow (\kappa)^\alpha$ holds. Note that the strong and the weak partition properties cannot hold for any uncountable cardinal if we assume the Axiom of Choice **AC**: by a result of Erdős and Rado (*cf.* [Ka94, Proposition 7.1]) any partition relation with infinite exponents violates **AC**.

In practice, we actually use an equivalent variation of these definitions, which the reader can take as our official definition. We first recall some terminology.

Let α and κ be ordinals. A function $f: \alpha \rightarrow \kappa$ is continuous if and only if for all limit ordinals $\gamma < \alpha$,

$$f(\gamma) = \sup\{f(\xi); \xi < \gamma\}.$$

The function f has **uniform cofinality** ω if there is a function $h: \omega \times \alpha \rightarrow \kappa$, which is increasing in the first argument, such that for $\gamma < \alpha$, we have

$$f(\gamma) = \sup\{h(n, \gamma); n \in \omega\}.$$

We say a function $f: \alpha \rightarrow \kappa$ is of the **correct type** if it is increasing, everywhere discontinuous (*i.e.*, for all $\gamma < \alpha$, $f(\gamma) > \sup_{\beta < \gamma} f(\beta)$), and of uniform cofinality ω . We say $f: \alpha \rightarrow \kappa$ is of **continuous type** if it is increasing, continuous and has uniform cofinality ω at all successor ordinals (with obvious meaning).

We can now write $\kappa \xrightarrow{\text{club}} (\kappa)^\lambda$ for the statement “for every partition \mathcal{P} of the functions from λ to κ of the correct type into two sets there is a club set $C \subseteq \kappa$ such that all functions $f: \lambda \rightarrow C$ of the correct type get the same color by \mathcal{P} ”. It is easy to see that if $\lambda = \omega \cdot \lambda$, then $\kappa \rightarrow (\kappa)^\lambda$ and $\kappa \xrightarrow{\text{club}} (\kappa)^\lambda$ are equivalent (*cf.* [Ja99, p. 5] or [Ja ∞ , Fact 2.28]) and so we can freely switch between the two definitions for the weak and strong partition properties.

For $\lambda < \kappa$, λ regular, let us define the λ -**cofinal filter** $\mathcal{C}_\kappa^\lambda$ as the filter generated by the λ -closed unbounded sets in κ , *i.e.*,

$$A \in \mathcal{C}_\kappa^\lambda : \iff \text{there is a club set } C \subseteq \kappa \text{ such that } \{\alpha \in C : \text{cf}(\alpha) = \lambda\} \subseteq A.$$

Clearly, $\mathcal{C}_{\omega_1}^\omega$ is the ordinary club filter on ω_1 . As usual, we call σ -complete ultrafilters on κ **measures**, we call a measure **normal** if it is closed under diagonal intersection and **semi-normal** if it contains all club subsets of κ . If μ is a measure on ϱ and α is an ordinal, then (because of DC) the ultrapower α^ϱ/μ is wellfounded and thus isomorphic to an ordinal. We identify it with its Mostowski collapse. We call a cardinal κ **closed under ultrapowers** if for all $\varrho < \kappa$ and all measures μ on ϱ , we have that $\varrho^\varrho/\mu < \kappa$. If κ is regular, this is equivalent to the statement “for all $\varrho < \kappa$ and all measures μ on ϱ , we have that $\kappa^\varrho/\mu = \kappa$ ”.

The weak partition property of κ implies the existence of many concrete measures on κ , as the following theorem of Kleinberg shows:

Theorem 1. Let κ be a cardinal with the weak partition property and $\lambda < \kappa$ a regular cardinal. Then $\mathcal{C}_\kappa^\lambda$ is a normal measure. In addition, if κ is not weakly Mahlo, then these are the only normal ultrafilters on κ .

Proof. [Ka94, Theorem 28.10 & Exercise 28.11]. □

In other words, the weak partition property of κ not only gives the existence of measures, but in our case (our cardinals will be below \aleph_{ε_0} and thus not weakly Mahlo) also a structured pattern of all of the normal measures on κ (indexed by the regular cardinals below κ).

In addition, the strong partition property also connects to other combinatorial properties:

Definition 2. Let κ be a strong partition cardinal and μ a normal measure on κ . We then define a sequence $\langle \kappa_n^\mu : n < \omega \rangle$ as follows:

- $\kappa_0^\mu := \kappa$,
- $\kappa_{n+1}^\mu := (\kappa_n^\mu)^\kappa/\mu$, and

This sequence is called the **Kleinberg sequence derived from μ** .

Theorem 3. Let κ be a strong partition cardinal and μ be a measure on κ . Then κ^κ/μ is a cardinal.

If μ is normal, then $\kappa_1^\mu = \kappa^\kappa/\mu$ is a measurable cardinal, and all κ_n^μ are Jónsson cardinals.

Proof. The first claim is a result of Martin’s proved in [Ja99, Theorem 7.1]. The second claim is part of Kleinberg’s analysis of strong partition cardinals from [Kl77]. □

The **projective ordinals** play an important role in the descriptive set theory of the projective sets (*cf.* [Mo80, § 7D], [Ka94, § 30], and [Ke78]). Moreover, the fact that the odd projective ordinals δ_{2n+1}^1 have the strong partition property is central to the analysis of cardinals and measures below their supremum. Recall they are define by:

$$\delta_n^1 := \sup\{\xi : \xi \text{ is the length of a prewellordering of } \omega^\omega \text{ in } \Delta_n^1\}.$$

The Cabal has developed an intricate theory of the combinatorics of the projective ordinals summarized in the following fact:

Theorem 4. Let n be a natural number. Then:

- (1) (Kunen, Martin 1971) $\delta_{2n+2}^1 = (\delta_{2n+1}^1)^+$,

- (2) (Kunen, Martin 1971) all δ_n^1 are measurable,
- (3) (Kunen, Martin, Solovay 1971) $\delta_2^1 = \aleph_2$, $\delta_3^1 = \aleph_{\omega+1}$, and $\delta_4^1 = \aleph_{\omega+2}$,
- (4) (Martin 1971) $\delta_1^1 \rightarrow (\delta_1^1)^{\delta_1^1}$,
- (5) (Kechris 1974) for all n , δ_{2n+1}^1 is a successor of a cardinal of cofinality ω ,
- (6) (Kunen 1971) the ω -cofinal measure $\mathcal{C}_{\delta_{2n+1}^1}^\omega$ is a normal measure on δ_{2n+1}^1
with $\delta_{2n+1}^1 \delta_{2n+1}^1 / \mathcal{C}_{\delta_{2n+1}^1}^\omega = \delta_{2n+2}^1 = (\delta_{2n+1}^1)^+$,
- (7) (Jackson, Martin 1980) $\delta_3^1 \delta_3^1 / \mathcal{C}_{\delta_3^1}^{\omega_1} = \aleph_{\omega \cdot 2+1}$ and $\delta_3^1 \delta_3^1 / \mathcal{C}_{\delta_3^1}^{\omega_2} = \aleph_{\omega^{\omega+1}}$, and these two cardinals are measurable.
- (8) (Jackson 1985) Let $\mathbf{e}_0 := 0$ and $\mathbf{e}_{n+1} := \omega^{(\omega^{\mathbf{e}_n})}$ (i.e., \mathbf{e}_n is a exponential ω -tower of height $2n - 1$). Then for every $n \in \omega$,

$$\delta_{2n+1}^1 = \aleph_{\mathbf{e}_n+1},$$

and all odd projective ordinals have the strong partition property and are closed under ultrapowers.

Proof. A proof of all parts except for the last two can be found in [Ke78]. Item (7) and the $n = 2$ case of (8) can be found in [Ja99, Chapter 7]. The general case of (8) is in [Ja88]. \square

3. ORDINAL ALGEBRAS AND MEASURE ASSIGNMENTS

An **ordinal algebra** is a free algebra \mathfrak{A} over a set of generators $\mathfrak{V} = \{\mathbf{V}_\beta\}_{\beta < \alpha}$ using the binary operations \oplus , \otimes . We write \mathfrak{A}_α for the algebra with α generators. For $\alpha < \beta$ we naturally have $\mathfrak{A}_\alpha \subseteq \mathfrak{A}_\beta$. For any ordinal algebra \mathfrak{A} we define a function o from \mathfrak{A} onto an ordinal $\text{ht}(\mathfrak{A})$ which we call the **height** of \mathfrak{A} . We will have for $\alpha < \beta$ that the o function on \mathfrak{A}_β extends the o function on \mathfrak{A}_α . To begin, we define $o(\mathbf{V}_0) = 0$. Suppose we have defined o on \mathfrak{A}_α . Then set $o(\mathbf{V}_\alpha) = \text{ht}(\mathfrak{A}_\alpha) = \sup\{o(t) + 1 : t \in \mathfrak{A}_\alpha\}$. Then extend o to $\mathfrak{A}_{\alpha+1}$ by $o(s \oplus t) = o(s) + o(t)$, $o(s \otimes t) = o(s) \cdot o(t)$ (ordinal addition and multiplication). By construction, o is a homomorphism from the free algebra to the ordinals with ordinal addition and multiplication.

Let us look at the simplest ordinal algebras as an example. For this, we introduce a notation for finitely iterated sums and products:

$$\mathbf{V} \otimes n := \underbrace{\mathbf{V} \oplus \dots \oplus \mathbf{V}}_n, \text{ and}$$

$$\mathbf{V}^{\otimes n} := \underbrace{\mathbf{V} \otimes \dots \otimes \mathbf{V}}_n.$$

- ($\alpha = 1$). If $\mathfrak{V} = \{\mathbf{V}_0\}$, then $o(\mathbf{V}_0) = 0$, so $o(\mathbf{V}_0 \oplus \mathbf{V}_0) = 0$, $o(\mathbf{V}_0 \otimes \mathbf{V}_0) = 0$, etc., so $\text{ht}(\mathfrak{A}) = 1$.
- ($\alpha = 2$). If $\mathfrak{V} = \{\mathbf{V}_0, \mathbf{V}_1\}$, then $o(\mathbf{V}_0) = 0$ and $o(\mathbf{V}_1) = 1$, so $o(\mathbf{V}_1 \otimes n) = n$, and thus $\text{ht}(\mathfrak{A}) = \omega$.
- ($\alpha = \omega$). Here we use ω generators $\mathfrak{V} = \{\mathbf{V}_0, \mathbf{V}_1, \mathbf{V}_2, \dots\}$. So, $o(\mathbf{V}_0) = 0$, $o(\mathbf{V}_1) = 1$, and $o(\mathbf{V}_2) = \omega$. Then $o(\mathbf{V}_2^{\otimes n}) = \omega^n$, and thus $o(\mathbf{V}_3) = \omega^\omega$. Likewise, $o(\mathbf{V}_4) = \omega^{\omega^2}$, $o(\mathbf{V}_5) = \omega^{\omega^3}$, etc. So, $\text{ht}(\mathfrak{A}_\omega) = \omega^{\omega^\omega}$.

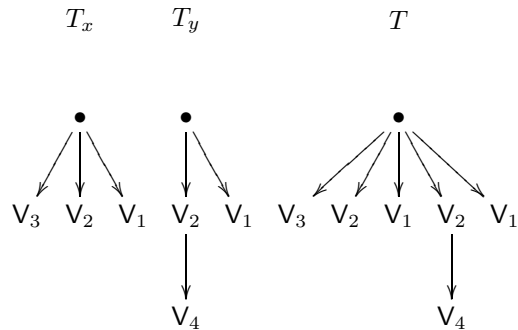


FIGURE 1. Adding the trees for $x = \mathbf{V}_3 \oplus \mathbf{V}_2 \oplus \mathbf{V}_1$ and $y = (\mathbf{V}_4 \otimes \mathbf{V}_2) \oplus \mathbf{V}_1$.

Proposition 5.

$$o(\mathbf{V}_\alpha) = \text{ht}(\mathfrak{A}_\alpha) = \begin{cases} 1 & \alpha = 1 \\ \omega^{\omega^{\alpha-2}} & 1 < \alpha < \omega \\ \omega^{\omega^\alpha} & \alpha \geq \omega. \end{cases}$$

Proof. An easy induction on α . Suppose that $\text{ht}(\mathfrak{A}_\alpha) = \omega^{\omega^\alpha}$. By definition, $o(\mathbf{V}_\alpha) = \omega^{\omega^\alpha}$. Also, $o(\mathbf{V}_\alpha^{\otimes n}) = (\omega^{\omega^\alpha})^n = \omega^{\omega^\alpha \cdot n}$. So,

$$\text{ht}(\mathfrak{A}_{\alpha+1}) = \sup_n \omega^{(\omega^\alpha \cdot n)} = \omega^{\omega^{\alpha+1}}.$$

□

Let us fix an ordinal algebra \mathfrak{A} with set of generators \mathfrak{V} .

In the following, we shall identify terms in an ordinal algebra with finite labelled ordered trees $\langle T, \ell \rangle$. We assume that there is an implicit order on the set of immediate successors of a node that we read from left to right in the pictures. All of our trees have a root \bullet and the labelling function ℓ is a map from $T \setminus \{\bullet\}$ into \mathfrak{V} . When convenient, we may assume without loss of generality that T is a finite subtree of $\omega^{<\omega}$, that is, the nodes of T can be viewed as finite sequences $\langle i_0, \dots, i_k \rangle$ of integers. We recursively associate a tree to each term in \mathfrak{A} :

- (1) We identify the variable \mathbf{v} with the tree consisting of a root \bullet and one immediate successor node v such that $\ell(v) := \mathbf{v}$.
- (2) If $x, y \in \mathfrak{A}$ are represented by $\langle T_x, \ell_x \rangle$ and $\langle T_y, \ell_y \rangle$, respectively, then we represent $x \oplus y$ by defining a tree T as follows: we juxtapose T_x and T_y with a common root and take the union of the labelling functions. An example for $x = \mathbf{V}_3 \oplus \mathbf{V}_2 \oplus \mathbf{V}_1$ and $y = (\mathbf{V}_4 \otimes \mathbf{V}_2) \oplus \mathbf{V}_1$ can be seen in Figure 1.
- (3) If $x, y \in \mathfrak{A}$ are represented by $\langle T_x, \ell_x \rangle$ and $\langle T_y, \ell_y \rangle$, respectively, then we represent $x \otimes y$ by defining a tree T as follows: we start with T_y and glue a copy of T_x to each terminal node of T_y . An example for $x = \mathbf{V}_3 \oplus \mathbf{V}_2 \oplus \mathbf{V}_1$ and $y = (\mathbf{V}_4 \otimes \mathbf{V}_2) \oplus \mathbf{V}_1$ can be seen in Figure 2.

This corresponds directly to the representation of ordinal addition and multiplication by finite trees. Note that the order of the successors of a node in the tree is highly relevant, as ordinal addition and multiplication are not commutative.

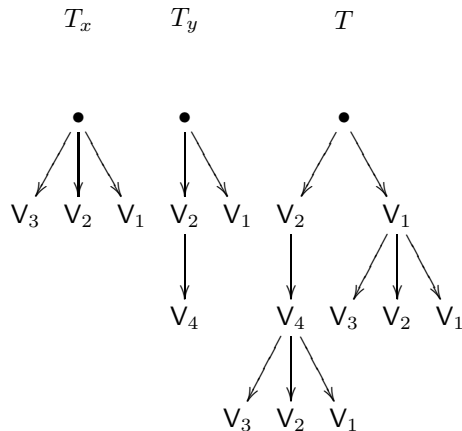


FIGURE 2. Multiplying the trees for $x = V_3 \oplus V_2 \oplus V_1$ and $y = (V_4 \otimes V_2) \oplus V_1$.

We can now define the notion of a measure assignment. An **order type** is a function $\text{ot} : T \setminus \{\bullet\} \rightarrow \text{Ord}$ where T is a finite tree with root \bullet . A **germ** is a function \mathcal{G} defined on $T \setminus \{\bullet\}$ assigning a measure on some ordinal to each non-root node of the tree T . We say that a germ \mathcal{G} **lives on** an order type ot if for each non-root node v , $\mathcal{G}(v)$ is a measure on $\text{ot}(v)$ and on no smaller ordinal. A pair of functions $\langle \text{germ}, \text{ot} \rangle$ is a **measure assignment** on \mathfrak{A} if ot and germ assign order types and germs to elements of \mathfrak{A} , respectively, such that for each $x \in \mathfrak{A}$, $\text{germ}(x)$ lives on $\text{ot}(x)$.

Note that for a generator \mathbf{v} , the order type $\text{ot}(\mathbf{v})$ is essentially one ordinal, and the germ $\text{germ}(\mathbf{v})$ is a measure on this ordinal. In the case of generators, we shall identify the order type and germ with the ordinal and measure, respectively.

It is clear that it is enough to specify the values of $\text{germ}(\mathbf{v})$ and $\text{ot}(\mathbf{v})$ for all $\mathbf{v} \in \mathfrak{V}$ in order to fix the entire measure assignment: for an arbitrary term x with labelled tree $\langle T_x, \ell_x \rangle$, each non-root node v will be assigned the ordinal $\text{ot}(v) := \text{ot}(\ell_x(v))$ and the measure $\mu(v) := \text{germ}(\ell_x(v))$.

As our finite trees correspond to ordinal addition and multiplication, we can see $\text{ot}(x)$ as a single ordinal computed recursively from the values of $\text{ot}(v)$ for the nodes v of T_x . An example can be seen in Figure 3. We can, in fact, identify $\text{ot}(x)$ with this ordinal; to be precise, we shall identify it with the presentation of the ordinal given by the tree. It is sometimes convenient to identify the domain of $\text{ot}(x)$ with the set of tuples $\langle i_0, \alpha_0, i_1, \alpha_1, \dots, i_k, \alpha_k \rangle$ where $\langle i_1, \dots, i_k \rangle$ is a terminal node of T , and each $\alpha_\ell < \text{ot}(v)$ for $v = \langle i_0, \dots, i_\ell \rangle$. The corresponding ordering is lexicographic ordering on these tuples. Clearly this ordering has order type $\text{ot}(x)$.

We say that the **range** of a measure assignment is the supremum of the ordinals $\text{ot}(x)$ for all $x \in \mathfrak{A}$.

In a finite tree T_x , we call the rightmost immediate successor v of the root the **trailing node**. If you consider the tree as an ordinal, then the term $\text{ot}(v)$ corresponds to the trailing term in the ordinal presentation of $\text{ot}(x)$. It will be important that $\text{cf}(\text{ot}(x)) = \text{cf}(\text{ot}(v))$ for the trailing node v .

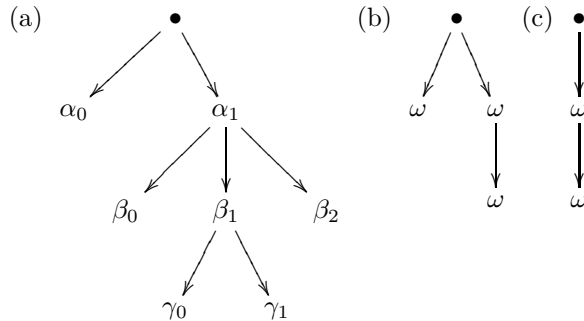


FIGURE 3. (a). The tree representation of $\alpha_0 + (\beta_0 + (\gamma_0 + \gamma_1) \cdot \beta_1 + \beta_2) \cdot \alpha_1$. (b) & (c). The (different) tree representations of $\omega + \omega^2 = \omega^2$.

4. LIFTING AND CANONICITY

It is our goal to analyse cardinals as ultrapowers via our measure assignments. In order to do this, we need to transform our germs into real measures on (odd) projective ordinals. We shall do this via lifting. We use two operations, the weak lift \mathbf{wlift}_κ and the strong lift \mathbf{slift}_κ . The first uses the weak partition relation at κ and the second the strong partition relation.

If κ is an infinite cardinal and T is a finite tree with a (finite) set X of terminal nodes, there is a definable bijection $\ulcorner \cdot \urcorner : \kappa^X \rightarrow \kappa$. We fix these bijections for the rest of the paper and use the same notation for all of these functions. As in the previous section, we fix an ordinal algebra \mathfrak{A} with set of generator \mathfrak{V} . We also fix a measure assignment $\langle \mathbf{germ}, \mathbf{ot} \rangle$ for \mathfrak{A} .

Fix a term $x \in \mathfrak{A}$ with labelled tree $\langle T_x, \ell_x \rangle$. For each terminal node $t = \langle i_0, \dots, i_k \rangle$ of T_x , consider the subset $\mathbf{ot}(x)_t$ of $\mathbf{ot}(x)$ consisting of those tuples $\langle j_0, \alpha_0, \dots, j_k, \alpha_k \rangle$ with $\langle j_0, \dots, j_k \rangle = \langle i_0, \dots, i_k \rangle$. This set is naturally identified with $\mathbf{ot}(v_{i_0}) \times \mathbf{ot}(v_{i_0, i_1}) \times \dots \times \mathbf{ot}(v_{i_0, \dots, i_k})$, where $v_{i_0, \dots, i_\ell} = \ell_x(i_0, \dots, i_\ell)$. We also have the product measure $\mu^*(t) := \mu(i_0) \times \mu(i_0, i_1) \times \dots \times \mu(i_0, \dots, i_k)$ on $\mathbf{ot}_t(x)$, where $\mu(i_0, \dots, i_\ell)$ is the measure assigned to the variable v_{i_0, \dots, i_ℓ} . Every function $f : \mathbf{ot}(x) \rightarrow \text{Ord}$ induces by restriction, for each terminal node t , a function $f^t : \mathbf{ot}(x)_t \rightarrow \text{Ord}$. f is, in a natural sense, the union of these subfunctions.

Notice that for each terminal node t that is a successor of the trailing node of T_x , we have that $\sup(f^t) = \sup(f)$.

The term $x \in \mathfrak{A}$, along with our measure assignment, defines a germ $\mathcal{G} = \mathbf{germ}(x)$. To every germ \mathcal{G} and cardinal κ having the weak partition relation we now associate a measure $\mathbf{wlift}_\kappa(\mathcal{G})$ as follows (we assume in the following definition that $\mathbf{ot}(x) < \kappa$).

Definition 6.

$$\mathbf{wlift}_\kappa(\mathcal{G}) := \{A \subseteq \kappa : \text{there is a club set } C \subseteq \kappa \text{ such that for all } f : \mathbf{ot}(\mathcal{G}) \rightarrow C \\ \text{of continuous type we have } \ulcorner \{[f^t]_{\mu^*(t)} : t \in X\} \urcorner \in A \},$$

where X is the set of terminal nodes of T .

When the measure assignment is understood, we will simply write $\mathbf{wlift}_\kappa(x)$ for this measure.

The weak partition relation on κ gives the following.

Theorem 7 (Weak Lifting Theorem). Let κ be a weak partition cardinal closed under ultrapowers. Let \mathcal{G} be a germ living on an order type $\mathbf{ot}(\mathcal{G}) < \kappa$. Then $\mathbf{wlift}_\kappa(\mathcal{G})$ is a measure on κ .

Proof. Exercise. A full proof of the case of germs on trees of depth 1 can be found in [BoLö ∞ , Theorem 10]. \square

To see a few examples, we ask the reader to check that the lift of a principal ultrafilter on a singleton to any weak partition cardinal κ is equal to $\mathcal{C}_\kappa^\omega$. Similarly, the lift of $\mathcal{C}_\lambda^\omega$ to κ is $\mathcal{C}_\kappa^\lambda$.

The strong partition relation on κ allows us to lift measures on κ to measures on the ultrapower, according to the following definition.

Definition 8. Let κ be a strong partition cardinal, μ a measure on κ , and $\lambda := \kappa^\kappa/\mu$. We define a measure on λ by

$$\mathbf{slift}_\kappa(\mu) := \{A \subseteq \lambda : \text{there is a club set } C \subseteq \kappa \text{ such that for all } f : \kappa \rightarrow C \\ \text{of the correct type we have } [f]_\mu \in A\}.$$

We note that the measure μ determines κ , so we frequently just write $\mathbf{slift}(\mu)$. The strong partition relation at κ gives immediately the following.

Theorem 9 (Strong Lifting Theorem). Let κ be a strong partition cardinal and μ be a measure on κ . Then $\mathbf{slift}(\mu)$ is a measure on κ^κ/μ .

For notational convenience, we can combine the operations \mathbf{wlift} and \mathbf{slift} to a new operation that we call the **high lift of \mathcal{G}** (here the germ \mathcal{G} lives on $\varrho < \kappa$ and κ is a strong partition cardinal closed under ultrapowers):

$$\mathbf{highlift}_\kappa(\mathcal{G}) := \mathbf{slift}(\mathbf{wlift}_\kappa(\mathcal{G})).$$

An important hypothesis for this paper is embodied in the following definition.

Definition 10. A measure assignment is called **canonical** for the strong partition cardinal $\kappa = \aleph_{\xi+1}$ if for all $x \in \mathfrak{A}$ with $\mathbf{ot}(x) < \kappa$ we have

$$\kappa^\kappa/\mathbf{wlift}_\kappa(x) = \aleph_{\xi+o(x)+1}.$$

Note that canonicity implies a lot of non-obvious claims about the behaviour of sums and products of measures: while $o(\mathbf{V}_1 \oplus \mathbf{V}_2) = \omega = o(\mathbf{V}_2)$, there is no *a priori* reason that the measures associated to these two terms should be similar. Canonicity of the measure assignment ensures that they are, in the sense that they give the same ultrapowers. Note that also implicit in canonicity is the claim that the bijection between κ^X and κ used in defining $\mathbf{wlift}_\kappa(x)$ does not effect the value of $\kappa^\kappa/\mathbf{wlift}_\kappa(x)$. To illustrate this last point let \mathbf{W}_1^3 be the three-fold product of the normal measure on ω_1 (in the canonical measure assignment of the next section this will be $\mathbf{wlift}_{\omega_1}(\mathbf{V}_1 \oplus \mathbf{V}_1 \oplus \mathbf{V}_1)$). Let μ_1 be the measure on ω_1 obtained from \mathbf{W}_1^3 by identifying $(\omega_1)^3$ with ω_1 using the ordering $\langle \alpha_1, \alpha_2, \alpha_3 \rangle <_1 \langle \beta_1, \beta_2, \beta_3 \rangle$ iff $\langle \alpha_3, \alpha_1, \alpha_2 \rangle <_{\text{lex}} \langle \beta_3, \beta_1, \beta_2 \rangle$, where $<_{\text{lex}}$ denotes lexicographic ordering. Let μ_2 be defined similarly but using $\langle \alpha_1, \alpha_2, \alpha_3 \rangle <_2 \langle \beta_1, \beta_2, \beta_3 \rangle$

iff $\langle \alpha_3, \alpha_2, \alpha_1 \rangle <_{\text{lex}} \langle \beta_3, \beta_2, \beta_1 \rangle$. Let $\nu_1 = \mathbf{slift}(\mu_1)$ and $\nu_2 = \mathbf{slift}(\mu_2)$. Then ν_1, ν_2 are both measures on ω_4 , but are quite different and definitely non-equivalent measures. Nevertheless, $\delta_3^1 \delta_3^1 / \nu_1 = \delta_3^1 \delta_3^1 / \nu_2$. In fact, the description analysis readily shows that both ultrapowers are equal to \aleph_{ω^3+1} , which will also follow from our canonicity assumption (the measure assignment of the next section will assign to \mathbf{V}_5 the measure $\mathbf{highlift}_{\omega_1}(\mathbf{V}_1 \oplus \mathbf{V}_1 \oplus \mathbf{V}_1)$, which is ν_1 or ν_2 depending on the bijection used, and $o(\mathbf{V}_5) = \omega^3$).

5. A RECURSIVE DEFINITION OF A MEASURE ASSIGNMENT

In this section, we shall define a measure assignment for the algebra $\mathfrak{A}_{\varepsilon_0}$. Recall that $\mathbf{e}_0 := 0$ and $\mathbf{e}_{n+1} := \omega^{(\omega^{e_n})}$; with this notation, we have that $\mathfrak{A}_{\varepsilon_0} = \bigcup_{n \in \omega} \mathfrak{A}_{\mathbf{e}_n}$. The assignment will be defined recursively along this union of algebras.¹ The basic idea is that the variables at a given level correspond to the high lifts of the terms (not just the variables) at the previous levels.

A standing assumption for the rest of this paper is that all the odd projective ordinals δ_{2n+1}^1 have the strong partition property and are closed under ultrapowers. The reader may, if desired, add this to our canonicity assumption.

We start with \mathfrak{A}_2 generated by \mathbf{V}_0 and \mathbf{V}_1 . We have to deal with an anomaly at the beginning: we want to set $\mathbf{ot}(\mathbf{V}_0) := 0$, but of course there is no measure on the empty set. For the purpose of this definition, we declare \emptyset to be a measure on 0, and we define $\mathbf{wlift}_{\kappa}(\emptyset)$ to be any principal ultrafilter on $\kappa = \aleph_{\xi+1}$. Clearly, this fits well, as $o(\mathbf{V}_0) = 0$ and thus

$$\kappa^{\kappa} / \mathbf{wlift}_{\kappa}(\mathbf{V}_0) = \aleph_{\xi+o(\mathbf{V}_0)+1} = \aleph_{\xi+1} = \kappa.$$

We continue our definition by setting $\mathbf{ot}(\mathbf{V}_1) := 1$ and $\mathbf{germ}(\mathbf{V}_1)$ to be the principal ultrafilter on 1. Lifting $\mathbf{germ}(\mathbf{V}_1)$ to $\delta_1^1 = \aleph_1$, we get that $\mathbf{wlift}_{\delta_1^1}(\mathbf{V}_1)$ is the club filter on ω_1 . Again, this conforms with the canonicity requirement, as

$$\aleph_2 = \aleph_1^{\aleph_1} / \mathcal{C}_{\omega_1} = \aleph_1^{\aleph_1} / \mathbf{wlift}_{\delta_1^1}(\mathbf{V}_1) = \aleph_{0+o(\mathbf{V}_1)+1}.$$

We shall now lift the measure assignment from \mathfrak{A}_2 to \mathfrak{A}_{ω} , or more generally, from $\mathfrak{A}_{2+\mathbf{e}_n}$ to $\mathfrak{A}_{\text{ht}(\mathfrak{A}_{2+\mathbf{e}_n})} = \mathfrak{A}_{\mathbf{e}_{n+1}}$.

Suppose that we have a measure assignment for $\mathfrak{A}_{2+\mathbf{e}_n}$ with range $< \delta_{2n+1}^1$. For $\xi < 2 + \mathbf{e}_n$, we leave $\mathbf{ot}(\mathbf{V}_{\xi})$ and $\mathbf{germ}(\mathbf{V}_{\xi})$ unchanged. If $\xi = 2 + \mathbf{e}_n + \eta$, where $\eta < \mathbf{e}_{n+1}$, then there is some term $y \in \mathfrak{A}_{2+\mathbf{e}_n}$ such that $\eta = o(y)$. We use the Cantor normal form of η to get a canonical representative y . We now define

$$\begin{aligned} \mathbf{ot}(\mathbf{V}_{\xi}) &:= \delta_{2n+1}^1 \delta_{2n+1}^1 / \mathbf{wlift}_{\delta_{2n+1}^1}(y), \text{ and} \\ \mathbf{germ}(\mathbf{V}_{\xi}) &:= \mathbf{highlift}_{\delta_{2n+1}^1}(\mathbf{germ}(y)). \end{aligned}$$

Note that in order to define $\mathbf{germ}(\mathbf{V}_{\xi})$ for $\mathbf{e}_n \leq \xi < \mathbf{e}_{n+1}$ we need to know that $\mathbf{wlift}_{\delta_{2n+1}^1}(y)$ is a measure on δ_{2n+1}^1 , which follows from the closure of δ_{2n+1}^1 under ultrapowers. From the closure of δ_{2n+3}^1 under ultrapowers it then follows that $\mathbf{ot}(\mathbf{V}_{\xi}) < \delta_{2n+3}^1$.

This finishes the definition of a measure assignment for $\mathfrak{A}_{\varepsilon_0}$. Again, we invite the reader to compare the recursive definition with the table in Figure 5: the first

¹It may be convenient for the reader to accompany the reading of the recursive definition with the table in Figure 5 which gives all of the relevant values for terms in $\mathfrak{A}_{\omega^{\omega}}$.

system of the table gives the values in \mathfrak{A}_2 (before the vertical line) and \mathfrak{A}_ω (after the vertical line) and the second system gives the values in $\mathfrak{A}_{\mathbf{e}_2}$ with the columns in the second system corresponding to the columns in the first system via the high lift.

To get acquainted with this definition, let us compute the values of $\mathbf{germ}(V_n)$ for $n < \omega$.

- We have $V_2 = V_{2+\mathbf{e}_0+0}$, so the canonical representative y of $\eta = 0$ will be just V_0 . Then $\mathbf{wlift}_{\delta_1^1}(V_0)$ is just the principal ultrafilter by convention. Thus, $\mathbf{germ}(V_2)$ is the strong lift of the principal ultrafilter which is the normal measure W_1^1 on ω_1 .
- Now, we have $V_3 = V_{2+\mathbf{e}_0+1}$, so $\eta = 1$ and thus our canonical y is V_1 . Lifting the principal filter on 1 to ω_1 yields the normal measure W_1^1 on ω_1 as $W_1^1 = \mathbf{wlift}_{\delta_1^1}(V_1)$. As we know, $\aleph_1^{\aleph_1}/W_1^1 = \aleph_2$, so $\mathbf{ot}(V_3) = \omega_2$. So, $\mathbf{germ}(V_3) = \mathbf{sift}(W_1^1) =$ the ω -club filter on ω_2 (this is denoted S_1^1 in [Ja99, Definition 1.3]).
- For $n \geq 3$, $V_n = V_{2+\mathbf{e}_0+(n-2)}$, so $\eta = n-2$, and our term is $y = V_1 \otimes (n-2)$. Also, $\mathbf{wlift}_{\delta_1^1}(y) = W_1^{n-2}$, the $(n-2)$ -fold product of the normal measure on ω_1 . If we identify $(\omega_1)^{n-2}$ with ω_1 via the ordering $(\alpha_1, \dots, \alpha_{n-2}) < (\beta_1, \dots, \beta_{n-2})$ iff $(\alpha_{n-2}, \alpha_1, \dots, \alpha_{n-3}) <_{\text{lex}} (\beta_{n-2}, \beta_1, \dots, \beta_{n-3})$, then the resulting measure on ω_{n-1} is denoted S_1^{n-2} in [Ja99, Definition 1.3]. So, using this bijection, $\mathbf{germ}(V_n) = S_1^{n-2}$.

Computing the ultrapowers of δ_3^1 with the measures associated to V_2 and V_3 gives exactly the right answers:

$$\delta_3^1 / \mathbf{wlift}_{\delta_3^1}(V_2) = \delta_3^1 / \mathcal{C}_{\delta_3^1}^{\omega_1} = \aleph_{\omega \cdot 2 + 1} = \aleph_{\omega + o(V_2) + 1}, \text{ and}$$

$$\delta_3^1 / \mathbf{wlift}_{\delta_3^1}(V_3) = \delta_3^1 / \mathcal{C}_{\delta_3^1}^{\omega_2} = \aleph_{\omega^2 + 1} = \aleph_{\omega + o(V_3) + 1}.$$

Rephrased in the language of measure assignments, we can interpret Kleinberg's Theorem 3 and the results from [JaKh ∞] as follows:

Theorem 11 (Kleinberg). The given measure assignment on \mathfrak{A}_2 is canonical for δ_1^1 .

Theorem 12 (Jackson-Khafizov). The given measure assignment on $\mathfrak{A}_\omega = \mathfrak{A}_{\mathbf{e}_1}$ is canonical for $\delta_3^1 = \delta_{2 \cdot 1 + 1}^1$.

The upper and lower bound computations underlying [Ja88, Ja99, JaKh ∞] indicate strongly that canonicity will hold everywhere. We call the assumption that the measure assignment defined above on $\mathfrak{A}_{\mathbf{e}_n}$ is canonical for δ_{2n+1}^1 the **canonicity assumption**.

6. APPLICATIONS OF THE CANONICITY ASSUMPTION

In this section we shall work under the canonicity assumption. Based on that assumption, we shall be able to give algorithms to compute the cofinalities of all cardinals below \aleph_{ε_0} and the Kleinberg sequences derived from the normal ultrafilters on the odd projective ordinals.

6.1. Computation of regular cardinals. As a first step in the computation of the regular cardinals, we shall give an algorithm that identifies special variables in the set \mathfrak{V} of generators. We call these variables **normal**, as they will be the ones that are assigned normal measures by our recursive assignment.

We say that V_0 and V_1 are normal. In each of the iteration steps from \mathfrak{A}_{2+e_n} to $\mathfrak{A}_{e_{n+1}}$, we identify the following new variables as normal: for $\xi = 2 + e_n + \eta$ for some $\eta < e_{n+1}$, the variable V_ξ is normal if and only if $\eta = o(v)$ for some normal $v \in \mathfrak{A}_{2+e_n}$.

By Proposition 5, for infinite ordinals ξ , the function o is just $\xi \mapsto \omega^{\omega^\xi}$, therefore, we can easily compute the indices of the normal variables by the following algorithm: write down the 2^{n+1} normal variables for \mathfrak{A}_{e_n} , write down the values of o for these variables underneath the variables, then compute the indices of the 2^{n+1} new normal variables as $e_{n+1} + o(v)$ for the values of o in your list. You can see the first three steps of the algorithm in the following table:

\mathfrak{A}_2	0	1		
o	0	1		
\mathfrak{A}_ω	$2 = 2 + 0$	$3 = 2 + 1$		
o	ω	ω^ω		
\mathfrak{A}_{e_2}	$\omega = \omega + 0$	$\omega + 1$	$\omega \cdot 2 = \omega + \omega$	$\omega^\omega = \omega + \omega^\omega$
o	$e_2 = \omega^{\omega^\omega}$	$\omega^{\omega^{\omega+1}}$	$\omega^{\omega^\omega \cdot 2}$	$\omega^{\omega^{\omega^\omega}}$

We shall prove inductively in a series of lemmas that the normal variables give rise to normal measures, first lifting a normal measure on $\varrho < \kappa$ to $\mathcal{C}_\kappa^\varrho$ by the operation **wlift** (Lemma 13) and then lifting the (semi-)normal measure on κ to a normal measure by the operation **slift** (Lemma 15):

Lemma 13. Let κ be a strong partition cardinal closed under ultrapowers. If μ is a normal measure on $\varrho < \kappa$, then **wlift** $_\kappa(\mu)$ is a normal measure.

Proof. Recall that **wlift** $_\kappa(\mu)$ is the measure on κ defined by: A has measure one if and only if there is a club set $C \subseteq \kappa$ such that for all $f: \varrho \rightarrow C$ of continuous type, we have that $[f]_\mu \in A$.

For any f of this type, if $\text{sup}(f)$ is closed under ultrapowers then $[f]_\mu = \text{sup}(f)$ by normality of μ . Also, for any club set $C \subseteq \kappa$ and any limit point α of C of cofinality ϱ , there is an $f: \varrho \rightarrow C$ of this type with $\text{sup}(f) = \alpha$.

Thus, A has measure one if and only if there is a club set $C \subseteq \kappa$ such that all $\alpha \in C$ of cofinality ϱ are in A . It is well-known that the weak partition relation on κ implies that this describes a normal measure, that is, **wlift** $_\kappa(\mu)$ is the ϱ -cofinal normal measure on κ . \square

Lemma 14. Let ν be any semi-normal measure on the strong partition cardinal κ (i.e., one that contains all club subsets of κ). If $f, g: \kappa \rightarrow \kappa$ are of the correct type with $[f]_\nu < [g]_\nu$, then there are f', g' of the correct type with $[f']_\nu = [f]_\nu$, $[g']_\nu = [g]_\nu$, and $f'(\alpha) < g'(\alpha) < f'(\alpha + 1)$ for all $\alpha < \kappa$. Furthermore, $\text{ran}(f') \subseteq \text{ran}(f)$ and $\text{ran}(g') \subseteq \text{ran}(g)$.

Proof. Define f', g' recursively by letting $f'(\alpha)$ be the least element in the range of f greater than $\text{sup}_{\beta < \alpha} g'(\beta)$. Let $g'(\alpha)$ be the least element in the range of g which is greater than $f'(\alpha)$. Clearly there is a club set $C \subseteq \kappa$ (the points closed under g') on which $f' = f$. Since ν is semi-normal, $[f']_\nu = [f]_\nu$. If A is the ν measure

one set on which $g(\alpha) > f(\alpha)$, then for $\alpha \in C \cap A$ we have $g'(\alpha) = g(\alpha)$. Thus, $[g]_\nu = [g']_\nu$ by semi-normality. \square

Lemma 15. Let ν be any semi-normal measure on the strong partition cardinal κ . Then $\mu := \mathbf{slift}(\nu)$ is a normal measure.

Proof. Let $\vartheta = \kappa^\kappa/\nu$, so μ is a measure on ϑ . Fix $F: \vartheta \rightarrow \vartheta$ which is pressing down.

Consider first the partition \mathcal{P}_1 where we partition pairs of functions $\langle f, g \rangle$ where $f, g: \kappa \rightarrow \kappa$ are of the correct type and $f(\alpha) < g(\alpha) < f(\alpha + 1)$ for all $\alpha < \kappa$ according to whether $[f]_\nu > F([g]_\nu)$. We claim that on the homogeneous side the stated property holds. Towards a contradiction, suppose C is club and homogeneous for the contrary side.

Fix $g: \kappa \rightarrow C'$ of the correct type, where C' is the set of closure points of C (i.e., the $\alpha \in C$ such that α is the α th element of C). Since $F([g]_\nu) < [g]_\nu$, we may get $f: \kappa \rightarrow C$ with $F([g]_\nu) < [f]_\nu < [g]_\nu$. Let f', g' be obtained from Lemma 14. Then f', g' are of the correct type, ordered as in \mathcal{P}_1 , and have range in C , but $[f']_\nu = [f]_\nu > F([g]_\nu) = F([g']_\nu)$, a contradiction to the definition of C . Let now C_1 be club and homogeneous for the stated side of the partition. Fix $f: \kappa \rightarrow C_1$ of the correct type and let $\delta = [f]_\nu$.

Then for any $g: \kappa \rightarrow C_1$ of the correct type with $[g]_\nu > \delta$ we have $F([g]_\nu) < \delta$. This follows from the definition of C_1 and Lemma 14. This shows μ is weakly normal, that is, any pressing down function is bounded on a measure one set.

Consider next the partition \mathcal{P}_2 where we partition pairs $\langle f, g \rangle$ of the same type as in \mathcal{P}_1 but now partitioned according to whether $F([f]_\nu) \leq F([g]_\nu)$. We claim that on the homogeneous side the stated property holds. Suppose not and let C be homogeneous for the contrary side.

We can easily construct functions $f_i: \kappa \rightarrow C$ of the correct type such that $f_i(\alpha) < f_{i+1}(\alpha)$ and $f_i(\alpha) < f_0(\alpha + 1)$ for all $i \in \omega$ and $\alpha < \kappa$. But then $F([f_0]_\nu) > F([f_1]_\nu) > \dots$, a contradiction. Fix a club set $C_2 \subseteq \kappa$ homogeneous for the stated side of \mathcal{P}_2 .

Consider a third partition \mathcal{P}_3 where we partition pairs $\langle f, g \rangle$ of the same type again according to whether $F([f]_\nu) = F([g]_\nu)$. If there is a club set $C \subseteq \kappa$ homogeneous for the stated side of \mathcal{P}_3 , then we are done since Lemma 14 implies that for any $f, g: \kappa \rightarrow C$ of the correct type we have $F([f]_\nu) = F([g]_\nu)$.

Suppose C_3 is homogeneous for the contrary side of \mathcal{P}_3 . Let $C = C_1 \cap C_2 \cap C_3$. Fix $f: \kappa \rightarrow \kappa$ with $[f]_\nu > \delta$. Let $h: \{(\alpha, \beta): \alpha < f(\beta)\} \rightarrow C$ be of uniform cofinality ω , discontinuous, and order-preserving with respect to reverse lexicographic ordering. Define a map $\pi: [f]_\nu \rightarrow \delta$ as follows. Let $\gamma = [g]_\nu < [f]_\nu$. Let $\pi(\gamma) = [g']_\nu$, where $g'(\beta) = h(g(\beta), \beta)$ if $g(\beta) < f(\beta)$, and $= h(0, \beta)$ otherwise. It is now easy to check that π is a well-defined, order-preserving map from $[f]_\nu$ into δ , a contradiction since $[f]_\nu > \delta$. \square

Theorem 16. The measure assignment from § 5 assigns normal measures to all normal variables. Consequently, $\delta_{2n+1}^1 \delta_{2n+1}^1 / \mathbf{wlift}_{\delta_{2n+1}^1}(\nu)$ is a regular cardinal for all normal variables ν .

Proof. The first part of the claim follows immediately by induction from Lemmas 13 and 15. The second part follows from the fact that if there is a normal measure on λ , then λ must be regular. \square

\aleph_0	$\delta_1^1 = \aleph_1$	$\delta_2^1 = \aleph_2$	$\delta_3^1 = \aleph_{\omega+1}$
$\delta_4^1 = \aleph_{\omega+2}$	$\aleph_{\omega \cdot 2 + 1}$	$\aleph_{\omega^\omega + 1}$	$\delta_5^1 = \aleph_{\omega^{\omega^\omega} + 1}$
$\delta_6^1 = \aleph_{\omega^{\omega^\omega} + 2}$	$\aleph_{\omega^{\omega^\omega} + \omega + 1}$	$\aleph_{\omega^{\omega^\omega} + \omega^\omega + 1}$	$\aleph_{\omega^{\omega^\omega} \cdot 2 + 1}$
$\aleph_{\omega^{\omega^\omega + 1} + 1}$	$\aleph_{\omega^{\omega^\omega \cdot 2} + 1}$	$\aleph_{\omega^{\omega^\omega} + 1}$	$\delta_7^1 = \aleph_{\omega^{\omega^{\omega^\omega} + 1}}$
$\delta_8^1 = \aleph_{\omega^{\omega^{\omega^\omega} + 2}}$	$\aleph_{\omega^{\omega^{\omega^\omega} + \omega + 1}}$	$\aleph_{\omega^{\omega^{\omega^\omega} + \omega^\omega + 1}}$	$\aleph_{\omega^{\omega^{\omega^\omega} + \omega^\omega + 1}}$
$\aleph_{\omega^{\omega^{\omega^\omega} + \omega^{\omega^\omega} + 1} + 1}$	$\aleph_{\omega^{\omega^{\omega^\omega} + \omega^{\omega^\omega \cdot 2} + 1}}$	$\aleph_{\omega^{\omega^{\omega^\omega} + \omega^{\omega^\omega} + 1}}$	$\aleph_{\omega^{\omega^{\omega^\omega} \cdot 2 + 1}}$
$\aleph_{\omega^{\omega^{\omega^{\omega^\omega} + 1} + 1}}$	$\aleph_{\omega^{\omega^{\omega^{\omega^\omega} + \omega} + 1}}$	$\aleph_{\omega^{\omega^{\omega^{\omega^\omega} + \omega^\omega} + 1}}$	$\aleph_{\omega^{\omega^{\omega^{\omega^\omega} \cdot 2} + 1}}$
$\aleph_{\omega^{\omega^{\omega^{\omega^\omega} + 1} + 1}}$	$\aleph_{\omega^{\omega^{\omega^{\omega^\omega \cdot 2} + 1}}$	$\aleph_{\omega^{\omega^{\omega^{\omega^\omega} + 1}}$	$\delta_9^1 = \aleph_{\omega^{\omega^{\omega^{\omega^\omega} + 1}}$

FIGURE 4. The first 32 regular cardinals.

Assuming that the measure assignment is canonical, we can now compute these regular cardinals easily from the table given above by looking at the row containing the δ -values.

In the table in Figure 4, we list the first 32 of such cardinals (up to δ_9^1). Note that at the point we have not yet proved that these are all the regular cardinals. This will follow from the next algorithm.

6.2. Computation of the Cofinalities. In §6.1, we singled out special variables in our algebra and proved in Theorem 16 that each of these gives rise to a regular ultrapower. In this section, we shall now reduce the computation of all cofinalities to the cofinalities associated to the normal variables. This argument will be done inductively based on the following elementary yet powerful result:

Lemma 17. Let μ be a measure on ϱ with $\text{cf}(\varrho) = \delta$. Let κ be a weak partition cardinal closed under ultrapowers such that $\varrho < \kappa$. Then there is a cofinal embedding from $\kappa^\kappa / \mathcal{C}_\kappa^\delta$ into $\kappa^\kappa / \mathbf{wlift}_\kappa(\mu)$.

Proof. For $F: \kappa \rightarrow \kappa$, let $\pi(F) = G$ be defined as follows: for $\alpha < \kappa$ represented by $g: \varrho \rightarrow \kappa$ of continuous type, let $G([g]_\mu) = F(\text{sup}(g))$. This is well-defined since if $[g_1]_\mu = [g_2]_\mu$ and g_1, g_2 are both increasing, then $\text{sup}(g_1) = \text{sup}(g_2)$ (since any μ measure one set is cofinal in ϱ).

Suppose $[F_1]_{\mathcal{C}_\kappa^\delta} = [F_2]_{\mathcal{C}_\kappa^\delta}$. Let $C \subseteq \kappa$ be a club set such that for all $\alpha \in C$ of cofinality δ we have $F_1(\alpha) = F_2(\alpha)$. Then for any $\beta < \kappa$ represented by a $g: \varrho \rightarrow C$ of continuous type we have $G_1(\beta) = F_1(\text{sup}(g)) = F_2(\text{sup}(g)) = G_2(\beta)$ whence $[G_1]_{\mathbf{wlift}_\kappa(\mu)} = [G_2]_{\mathbf{wlift}_\kappa(\mu)}$. Thus, π gives a well-defined map from $\kappa^\kappa / \mathcal{C}_\kappa^\delta$ into $\kappa^\kappa / \mathbf{wlift}_\kappa(\mu)$.

To see this is cofinal, let $G: \kappa \rightarrow \kappa$. For $\alpha < \kappa$ of cofinality δ , define $F(\alpha) = \text{sup}\{G([g]_\mu); \text{sup}(g) = \alpha\}$, where the supremum ranges over g of continuous type. This is well-defined as κ is regular and closed under ultrapowers.

Then $\pi([F]_{\mathcal{C}_\kappa^\delta}) > [G]_{\mathbf{wlift}_\kappa(\mu)}$ since for all $g: \varrho \rightarrow \kappa$ of continuous type we have $\pi(F)([g]_\mu) = F(\text{sup}(g)) = \text{sup}\{G([g']_\mu); \text{sup}(g') = \text{sup}(g)\} \geq G([g]_\mu)$. \square

As an immediate consequence, we can reduce the computation of the cofinality of $\kappa^\kappa / \mathbf{wlift}_\kappa(x)$ for an arbitrary term $x \in \mathfrak{A}_{\varepsilon_0}$ to the cofinalities of the basic variables:

Corollary 18. Let $x \in \mathfrak{A}_{\varepsilon_0}$ be a term with trailing node v such that $\ell_x(v) = \mathbf{v}$. If $o(x) < \mathbf{e}_{n+1}$, write $\kappa := \delta_{2n+1}^1$ and $\lambda := \kappa^\kappa / \mathbf{wlift}_\kappa(\mathbf{v})$. Then

$$\text{cf}(\kappa^\kappa / \mathbf{wlift}_{\delta_{2n+1}^1}(x)) = \text{cf}(\lambda).$$

Proof. This is immediate from Lemma 17 keeping in mind that $\text{cf}(\mathbf{ot}(x)) = \text{cf}(\mathbf{ot}(v))$. \square

We shall now recursively define a function $\text{nor}: \mathfrak{A} \rightarrow \mathfrak{A}$ assigning normal variables to arbitrary generators in the algebra. Our recursion will go along the tower of algebras $\mathfrak{A}_{2+\mathbf{e}_n}$ as the definition of the measure assignment in § 5.

In \mathfrak{A}_2 , all basic variables are normal, so the function nor can just be the identity. Suppose that we have defined the function nor on $\mathfrak{A}_{2+\mathbf{e}_n}$ and want to extend it to $\mathfrak{A}_{\mathbf{e}_{n+1}}$. Each of the generators V_α of $\mathfrak{A}_{\mathbf{e}_{n+1}}$ was either already in $\mathfrak{A}_{2+\mathbf{e}_n}$ or is of the form $V_{2+\mathbf{e}_n+\xi}$ for some $\xi < \text{ht}(\mathfrak{A}_{2+\mathbf{e}_n})$. By the recursive measure assignment from § 5, this variable $V_\alpha = V_{2+\mathbf{e}_n+\xi}$ is linked to terms $x \in \mathfrak{A}_{2+\mathbf{e}_n}$ such that $o(x) = \xi$. Let x be such a term with representing tree $\langle T_x, \ell_x \rangle$ and trailing node $v \in T_x$. Then $\ell_x(v)$ is a generator of $\mathfrak{A}_{2+\mathbf{e}_n}$.

We can now define

$$\text{nor}(V_\alpha) := V_{2+\mathbf{e}_n+o(\text{nor}(\ell_x(v)))}.$$

Theorem 19. For each generator v of $\mathfrak{A}_{\varepsilon_0}$ and every odd projective ordinal $\kappa = \delta_{2n+1}^1$ such that $\mathbf{ot}(v) < \kappa$, we have that

$$\text{cf}(\kappa^\kappa/\mathbf{wlift}_\kappa(v)) = \text{cf}(\kappa^\kappa/\mathbf{wlift}_\kappa(\text{nor}(v))).$$

Proof. The claim is proved by induction on n . Recall that the generators v with $\mathbf{ot}(v) < \delta_{2n+1}^1$ are precisely those in $\mathfrak{A}_{2+\mathbf{e}_n}$. The case $n = 0$ is trivial as nor is the identity on the generators in \mathfrak{A}_2 (*i.e.*, V_0, V_1). Assume the theorem holds for n , that is for δ_{2n+1}^1 and $\mathfrak{A}_{2+\mathbf{e}_n}$, and we show it holds for $n + 1$, that is, for δ_{2n+3}^1 and $\mathfrak{A}_{\mathbf{e}_{n+1}}$.

Let v be a generator in $\mathfrak{A}_{\mathbf{e}_{n+1}}$, so $v = V_{2+\mathbf{e}_n+\xi}$ for some $\xi < \mathbf{e}_{n+1} = \text{ht}(\mathfrak{A}_{2+\mathbf{e}_n})$. Fix $x \in \mathfrak{A}_{2+\mathbf{e}_n}$ such that $o(x) = \xi$, let v be the trailing term of $\langle T_x, \ell_x \rangle$, and $v^* := \ell_x(v)$. By definition of nor , we have $\text{nor}(v) = V_{2+\mathbf{e}_n+o(\text{nor}(v^*))}$. Let $\lambda := \delta_{2n+1}^1$. By Corollary 18 and the induction hypothesis, we have that

$$\text{cf}(\lambda^\lambda/\mathbf{wlift}_\lambda(x)) = \text{cf}(\lambda^\lambda/\mathbf{wlift}_\lambda(v^*)).$$

But $\lambda^\lambda/\mathbf{wlift}_\lambda(x) = \mathbf{ot}(v)$ and $\lambda^\lambda/\mathbf{wlift}_\lambda(v^*) = \mathbf{ot}(\text{nor}(v))$. Now we can apply Lemma 17 (with the κ there being δ_{2n+3}^1) to finish the claim. \square

Using Corollary 18 and Theorem 19, we can now describe the algorithm to compute the value of $\text{cof}_\kappa(x) := \text{cf}(\kappa^\kappa/\mathbf{wlift}_\kappa(x))$ recursively for arbitrary x . Suppose that we have already computed $\text{cof}_\kappa \upharpoonright \mathfrak{A}_{2+\mathbf{e}_n}$ for all odd projective ordinals $\kappa \geq \delta_{2n+1}^1$. We shall give an algorithm to compute $\text{cof}_\kappa \upharpoonright \mathfrak{A}_{\mathbf{e}_{n+1}}$ for all $\kappa \geq \delta_{2n+3}^1$.

Algorithm.

Given a term $x \in \mathfrak{A}_{\mathbf{e}_{n+1}}$, ask whether $x \in \mathfrak{A}_{2+\mathbf{e}_n}$ or not.

Case 1. $x \in \mathfrak{A}_{2+\mathbf{e}_n}$.

Then $\text{cof}_\kappa(x)$ has already been determined.

Case 2. $x \notin \mathfrak{A}_{2+\mathbf{e}_n}$.

Find the trailing term v of $\langle T_x, \ell_x \rangle$.

Set $v := \ell_x(v)$.

Compute $\text{nor}(v)$.

Then $\text{cof}_\kappa(x) = \kappa^\kappa/\text{nor}(v)$.

Corollary 20. The algorithm described above correctly computes the cofinality of $\kappa^\kappa/\mathbf{wlift}_\kappa(x)$.

Proof. Obvious from Corollary 18 and Theorem 19. \square

Let us apply the algorithm to the examples of non-normal variables given in Figure 5: $V_4, V_{\omega+2}, V_{\omega^2}$ and $V_{\omega^{\omega^2}}$.

- The variable $V_4 = V_{2+2}$ is highlifted from $V_1 \oplus V_1$ (using δ_1^1). Obviously, V_1 is the trailing term of $V_1 \oplus V_1$, and hence, $\text{nor}(V_4) := V_{2+o(V_1)} = V_3$. Therefore,

$$\text{cof}_\kappa(V_4) := \kappa^\kappa/\mathbf{wlift}_\kappa(V_3).$$

- The variable $V_{\omega+2}$ is highlifted from $V_1 \oplus V_1$ (to δ_3^1). By the same argument, $\text{nor}(V_{\omega+2}) = V_{\omega+1}$. Thus,

$$\text{cof}_\kappa(V_{\omega+2}) := \kappa^\kappa/\mathbf{wlift}_\kappa(V_{\omega+1}).$$

- The variable V_{ω^2} is highlifted from $V_2 \otimes V_2$ (to δ_3^1) whose trailing term is V_2 . Therefore, $\text{nor}(V_{\omega^2})$ is the high lift of V_2 which is $V_{\omega \cdot 2}$. Thus,

$$\text{cof}_\kappa(V_{\omega^2}) := \kappa^\kappa/\mathbf{wlift}_\kappa(V_{\omega \cdot 2}).$$

- Finally, the variable $V_{\omega^{\omega^2}}$ is highlifted from V_4 . We already computed $\text{nor}(V_4)$ earlier to be V_3 , so $\text{nor}(V_{\omega^{\omega^2}})$ is the high lift of V_3 which is V_{ω^ω} , and hence

$$\text{cof}_\kappa(V_{\omega^{\omega^2}}) := \kappa^\kappa/\mathbf{wlift}_\kappa(V_{\omega^\omega}).$$

Corollary 20 and the canonicity assumption give an algorithm for computing the cofinality of any successor cardinal $\aleph_{\alpha+1}$ for $\alpha < \varepsilon_0$. Namely, first find the n such that $\mathbf{e}_n \leq \alpha < \mathbf{e}_{n+1}$. Let α' be such that $\alpha = \mathbf{e}_n + \alpha'$. Let $x \in \mathfrak{A}_{\mathbf{e}_n}$ be a term with $o(x) = \alpha'$. Let $\mathbf{v} = \text{nor}(x)$. Then $\text{cf}(\aleph_{\alpha+1}) = (\delta_{2n-1}^1)^{\delta_{2n-1}^1}/\mathbf{wlift}_{\delta_{2n-1}^1}(\mathbf{v}) = \aleph_{\mathbf{e}_n+o(\mathbf{v})+1}$.

To illustrate the algorithm, let us compute the cofinality of $\kappa = \aleph_{\alpha+1}$ where $\alpha = \omega^{\omega(\omega^{\omega^2+\omega^{\omega \cdot 2+3}})}$ (so $\delta_5^1 < \kappa < \delta_7^1$). Clearly, $\mathbf{e}_2 < \alpha < \mathbf{e}_3$, and $\alpha' = \alpha$ in the notation of the previous paragraph. The term $x \in \mathfrak{A}_{\mathbf{e}_2}$ with $o(x) = \alpha'$ is the generator V_β , with $\beta = \omega^{\omega^2} + \omega^{\omega \cdot 2+3}$. We next compute $\text{nor}(V_\beta)$. The variable V_β corresponds to the high lift of the term $y = V_4 \oplus (V_3 \otimes V_3 \otimes V_2 \otimes V_2 \otimes V_2) \in \mathfrak{A}_{\mathbf{e}_1}$. The trailing variable is V_2 , which is normal. Thus, $\text{nor}(V_\beta) = \mathbf{highlift}(V_2) = V_{\omega \cdot 2}$. So, $\text{cf}(\kappa) = \delta_5^1/\mathbf{wlift}_{\delta_5^1}(V_{\omega \cdot 2}) = \aleph_{\omega^{\omega^\omega}+o(V_{\omega \cdot 2})+1}$, whence

$$\text{cf}\left(\aleph_{\omega^{\omega(\omega^{\omega^2+\omega^{\omega \cdot 2+3}})}+1}\right) = \aleph_{\omega^{\omega^{\omega \cdot 2}}+1}.$$

6.3. Computation of the Kleinberg sequences. Under the canonicity assumption, the Kleinberg sequences can now be easily read off.

Lemma 21. If $\mathbf{wlift}_\kappa(\mathbf{v}) = \mathcal{C}_\kappa^\lambda$ is a normal ultrafilter on $\kappa := \delta_{2n+1}^1$, then the Kleinberg sequence on κ derived from $\mathcal{C}_\kappa^\lambda$ is given by

$$\kappa_n^{\mathcal{C}_\kappa^\lambda} := \kappa^\kappa/\mathbf{wlift}_\kappa(\mathbf{v} \otimes n).$$

Proof. Taking iterated ultrapowers as in the definition of the Kleinberg sequence corresponds to taking iterated sums: it is true in general that the n -fold sum ultrapower embeds into the n -fold iterated ultrapower (a proof can be found as [BoLö ∞ , Proposition 13]). The upper bound for the iterated ultrapower comes from

the Ultrapower Shifting Lemma [Lö02, Lemma 2.7] and the canonicity conjecture. \square

By Corollary 20, we know that the normal measures are all generated by normal variables, and by §6.1, we have a simple algorithm to compute the o -values of the normal variables. Therefore, we can read off the values of the Kleinberg sequences as $o(v) \cdot n$ for a normal variable v . As an example, we can read off the Kleinberg sequences on δ_5^1 as follows (for $n \geq 1$): $\aleph_{\omega^{\omega^{\omega}} + n + 1}$, $\aleph_{\omega^{\omega^{\omega}} + \omega \cdot n + 1}$, $\aleph_{\omega^{\omega^{\omega}} + \omega^{\omega} \cdot n + 1}$, $\aleph_{\omega^{\omega^{\omega}} \cdot n + 1}$, $\aleph_{\omega^{\omega^{\omega+1}} \cdot n + 1}$, $\aleph_{\omega^{\omega^{\omega \cdot 2}} \cdot n + 1}$, and $\aleph_{\omega^{\omega^{\omega^{\omega}}} \cdot n + 1}$.

7. APPENDIX


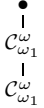
In this appendix, we give a table (Figure 5) of all of the relevant values for our measure assignment described in §5. In this table, we give the terms and their values of o , **ot** and **germ**. In the next row, we list the value of $\mathbf{wlift}_{\kappa}(x)$ for some $\kappa = \delta_{2n+1}^1$ where $o(x) < \mathbf{e}_{n+1}$ (inductively, the order types of terms x with $o(x) < \mathbf{e}_{n+1}$ will be $< \delta_{2n+1}^1$, so we can lift their germs to that cardinal). These first four rows of values can be computed independently of the canonicity conjecture. The following row gives the value of $\kappa^{\kappa} / \mathbf{wlift}_{\kappa}(x)$ for $\kappa = \delta_{2n+1}^1$ where $o(x) < \mathbf{e}_{n+1}$ under the assumption of the canonicity conjecture. The last row lists whether the term is a normal variable or not. For the non-normal measures, we use the S-notation for the families of measures introduced in [Ja88, p.119] (the $\tilde{S}_n^{\ell, m}$ measure are defined as the $S_n^{\ell, m}$ measures of [Ja88] except we use function of continuous type instead of correct type).

The table comes in two systems: the first system lists terms from \mathfrak{A}_{ω} , the second system lists terms from $\mathfrak{A}_{\mathbf{e}_2}$. The two systems are linked by the operation of high lift: the columns in the second system correspond to those variables whose values for **germ** and **ot** are the high lifts of the terms in \mathfrak{A}_{ω} in the same column of the first system.

It is clear from the construction that all terms come with information about their stage of construction. In addition, there is some descriptive set theoretic information hidden in the recursive construction that we would like to point the reader's attention to. In constructing the measure assignment of §5, we assign the germs to the new variables in $\mathfrak{A}_{\mathbf{e}_{n+1}}$ based on the measures on terms in $\mathfrak{A}_{2+\mathbf{e}_n}$. One of these is slightly special: the germ assigned to $V_{2+\mathbf{e}_n}$ itself comes from the special variable V_0 and thus is not really high lifted, but rather lifted only once. We shall say that this variable is of level $2n + 1$. All of the other newly created variables are of level $2n + 2$. This defines a notion of level for all generators of $\mathfrak{A}_{\mathbf{e}_0}$ except for V_0 and V_1 . For example V_2 is of level 1, V_{α} , $2 < \alpha < \omega$ are of level 2, V_{ω} is of level 3, V_{α} , $\omega = \mathbf{e}_1 < \alpha < \mathbf{e}_2 = \omega^{\omega^{\omega}}$ are of level 4, $V_{\omega^{\omega^{\omega}}}$ is of level 5, *etc.* This notion of level is connected to descriptive set theory in the following sense: the germs associated to variables of level n are typical measures occurring in the homogeneous tree construction for the complete $\mathbf{\Pi}_n^1$ set.

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	V_0	V_1	$V_1 \oplus V_1$...	V_2	...	$V_2 \otimes V_2$...	V_3	...	V_4	...
o	0	1	2	...	ω	...	ω^2	...	ω^ω	...	ω^{ω^2}	...
ot	0	1	2	...	ω_1	...	ω_1^2	...	ω_2	...	ω_3	...
germ	\emptyset	p		...	$C_{\omega_1}^\omega$...		...	$C_{\omega_2}^\omega$...	S_1^2	...
wlift$_\kappa$	p	C_κ^ω	$C_\kappa^\omega \times C_\kappa^\omega$...	$C_\kappa^{\omega^1}$...	wlift$_\kappa$ ($C_{\omega_1}^\omega \times C_{\omega_1}^\omega$)	...	$C_\kappa^{\omega^2}$...	wlift$_\kappa$ (S_1^2)	...
ultrapower	\aleph_{e_n+1}	\aleph_{e_n+2}	\aleph_{e_n+3}	...	$\aleph_{e_n+\omega+1}$...	$\aleph_{e_n+\omega^2+1}$...	$\aleph_{e_n+\omega^\omega+1}$...	$\aleph_{e_n+\omega^{\omega^2}+1}$...
normal?	NORMAL	NORMAL	—	...	NORMAL	...	—	...	NORMAL	...	—	...

	V_ω	...	$V_{\omega+1}$...	$V_{\omega+2}$...	$V_{\omega \cdot 2}$...	V_{ω^2}	...	V_{ω^ω}	...	$V_{\omega^{\omega^2}}$...
o	$e_2 = \omega^{\omega^\omega}$...	$\omega^{\omega^{\omega+1}}$...	$\omega^{\omega^{\omega+2}}$...	$\omega^{\omega^{\omega \cdot 2}}$...	$\omega^{\omega^{\omega^2}}$...	$\omega^{\omega^{\omega^\omega}}$...	$\omega^{\omega^{\omega^{\omega^2}}}$...
ot	δ_3^1	...	δ_4^1	...	$\aleph_{\omega+3}$...	$\aleph_{\omega \cdot 2+1}$...	\aleph_{ω^2+1}	...	$\aleph_{\omega^\omega+1}$...	$\aleph_{\omega^{\omega^2}+1}$...
germ	$C_{\delta_3^1}^\omega$...	$C_{\delta_4^1}^\omega$...	$S_3^{1,2}$...	$C_{\aleph_{\omega \cdot 2+1}}^\omega$...	$\tilde{S}_3^{2,2}$...	$C_{\aleph_{\omega^\omega+1}}^\omega$...	$\tilde{S}_3^{3,2}$...
wlift$_\kappa$	$C_\kappa^{\delta_3^1}$...	$C_\kappa^{\delta_4^1}$...	wlift$_\kappa$ ($S_3^{1,2}$)	...	$C_\kappa^{\aleph_{\omega \cdot 2+1}}$...	wlift$_\kappa$ ($\tilde{S}_3^{2,2}$)	...	$C_\kappa^{\aleph_{\omega^\omega+1}}$...	wlift$_\kappa$ ($\tilde{S}_3^{3,2}$)	...
ultrapower	$\aleph_{e_n+e_2+1}$...	$\aleph_{e_n+\omega^{\omega^{\omega+1}}+1}$...	$\aleph_{e_n+\omega^{\omega^{\omega+2}}+1}$...	$\aleph_{e_n+\omega^{\omega \cdot 2}+1}$...	$\aleph_{e_n+\omega^{\omega^2}+1}$...	$\aleph_{e_n+\omega^{\omega^\omega}+1}$...	$\aleph_{e_n+\omega^{\omega^{\omega^2}}+1}$...
normal?	NORMAL	...	NORMAL	...	—	...	NORMAL	...	—	...	NORMAL	...	—	...

FIGURE 5. Table of the values of o , **ot**, **germ**, **wlift $_\kappa$** , the ultra-powers and normality for terms in \mathfrak{M}_{e_2} .

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