

# CHARACTERIZING EXISTENCE OF A MEASURABLE CARDINAL VIA MODAL LOGIC

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ABSTRACT. We prove that the existence of a measurable cardinal is equivalent to the existence of a normal space whose modal logic coincides with the modal logic of the Kripke frame isomorphic to the powerset of a two element set.

## 1. INTRODUCTION

Over the years there have been discovered several intriguing connections between set theory and modal logic. To name a few:

- (1) There is an interesting connection between non-well-founded set theory and infinitary modal logic [1, 3, 2].
- (2) The modal logic **S4.2** turns out to be the logic of forcing extensions of **ZFC** [16].
- (3) The only existing proof that the modal logic **S4.1.2** is the logic of the Čech-Stone compactification  $\beta\omega$  of the discrete space  $\omega$  requires that each MAD family has cardinality  $2^\omega$ , a principle that is not provable in **ZFC**, and it remains an open problem whether this principle is necessary [8].

To these results we add the following. Let the diamond  $\mathfrak{D} = (D, \leq)$  be the partially ordered Kripke frame shown in Figure 1. It is clear that  $\mathfrak{D}$  is isomorphic to the powerset of a two element set. We prove that the existence of a measurable cardinal is equivalent to the existence of a normal space whose modal logic is the modal logic of  $\mathfrak{D}$ .

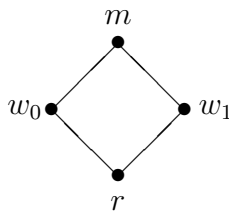


FIGURE 1. The Kripke frame  $\mathfrak{D} = (D, \leq)$  where  $D = \{r, w_0, w_1, m\}$ .

We recall that topological semantics generalizes Kripke semantics for the well-known modal logic **S4**. Thus, Kripke completeness implies topological completeness for logics above **S4**. However, topological spaces arising from Kripke frames are usually not even  $T_1$ . Therefore, it is nontrivial to prove topological completeness results above **S4** with respect to spaces satisfying higher separation axioms. One such class is the class of Tychonoff spaces. By a celebrated theorem of Tychonoff, these are exactly subspaces of compact Hausdorff spaces. In [5] we initiated the study of modal logics arising from Tychonoff spaces. On the one hand, this yielded a new notion of dimension in topology, called modal Krull dimension. On the

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other hand, it provided a new concept of zemanian logics which generalize the well-known modal logic of Zeman.

It is known that extremally disconnected spaces are topological models of the modal logic **S4.2**, and hereditarily extremally disconnected spaces are topological models of the modal logic **S4.3**. In [6] we showed that a modal logic above **S4.3** is a zemanian logic iff it is the logic of an hereditarily extremally disconnected Tychonoff space. The simplest modal logic above **S4.2** that is not above **S4.3** is the logic of  $\mathfrak{D}$ . In this paper we show that topological completeness of the logic of  $\mathfrak{D}$  with respect to a normal space is equivalent to the existence of a measurable cardinal. Whether normal can be weakened to Tychonoff remains an open problem.

We conclude the introduction by briefly describing the key ingredients of the proof. If there exists a measurable cardinal  $\kappa$ , using a countably complete ultrafilter on  $\kappa$ , we first build a normal  $P$ -space  $Y$ . Combining the results of [12] and [13] then allows us to embed  $Y$  into the remainder of the Čech-Stone compactification  $\beta\mu$  of a cardinal  $\mu$  viewed as a discrete space. Letting  $Z = Y \cup \mu$  yields a normal space whose logic we prove is the logic of the diamond  $\mathfrak{D}$ . This we do by showing that a finite rooted Kripke frame  $\mathfrak{F}$  is an interior image of  $Z$  iff  $\mathfrak{F}$  is an interior image of  $\mathfrak{D}$ .

Conversely, suppose there exists a normal space  $Z$  whose logic is the logic of the diamond  $\mathfrak{D}$ . We first show that  $\mathfrak{D}$  is an interior image of  $Z$ . We then prove that without loss of generality the inverse image of the root  $r$  of  $\mathfrak{D}$  is a singleton  $\{a\}$ . We next prove that  $a$  is a  $P$ -point of an appropriately chosen subspace of  $Z$ . This allows us to define a family of subsets of  $Z$  whose cardinal is Ulam-measurable. Finally, it is well known that this implies the existence of a measurable cardinal.

## 2. PRELIMINARIES

In this section we recall the necessary background from modal logic, its topological semantics, and measurable cardinals.

**2.1. Modal logic.** We use [10] as the main reference for modal logic. Modal formulas are built in the usual way using countably many propositional letters, the classical connectives  $\neg$  (negation) and  $\rightarrow$  (implication), the modal connective  $\Box$  (necessity), and parentheses. We employ the standard abbreviations:  $\wedge$  (conjunction),  $\vee$  (disjunction), and  $\Diamond$  (possibility).

The well-known modal system **S4** of Lewis is the least set of formulas containing the classical tautologies, the axioms

$$\begin{aligned} \Box(p \rightarrow q) &\rightarrow (\Box p \rightarrow \Box q), \\ \Box p &\rightarrow p, \\ \Box p &\rightarrow \Box \Box p, \end{aligned}$$

and closed under the inference rules of

$$\begin{aligned} \text{Modus Ponens} & \frac{\varphi, \varphi \rightarrow \psi}{\psi}, \\ \text{substitution} & \frac{\varphi(p_1, \dots, p_n)}{\varphi(\psi_1, \dots, \psi_n)}, \\ \text{necessitation} & \frac{\varphi}{\Box \varphi}. \end{aligned}$$

A *Kripke frame* is a pair  $\mathfrak{F} = (W, R)$  where  $W$  is a nonempty set and  $R$  is a binary relation on  $W$ . As usual, for  $w \in W$  we let

$$R(w) = \{v \in W \mid wRv\} \quad \text{and} \quad R^{-1}(w) = \{v \in W \mid vRw\};$$

and for  $A \subseteq W$  we let

$$R(A) = \bigcup \{R(w) \mid w \in A\} \quad \text{and} \quad R^{-1}(A) = \bigcup \{R^{-1}(w) \mid w \in A\}.$$

Kripke semantics of modal logic recursively assigns to each formula a subset of a Kripke frame  $\mathfrak{F}$  by interpreting each propositional letter as a subset of  $W$ , the classical connectives as Boolean operations in the powerset  $\wp(W)$ , and  $\Box$  as the operation  $\Box_R$  on  $\wp(W)$  defined by

$$\Box_R(A) = \{w \in W \mid R(w) \subseteq A\}.$$

Consequently,  $\Diamond$  is interpreted as the operation  $\Diamond_R$  on  $\wp(W)$  defined by

$$\Diamond_R(A) = R^{-1}(A).$$

Let  $\varphi$  be a modal formula and  $\mathfrak{F} = (W, R)$  a Kripke frame. Call  $\varphi$  *valid* in  $\mathfrak{F}$ , written  $\mathfrak{F} \models \varphi$ , provided  $\varphi$  evaluates to  $W$  for every assignment of the propositional letters. If  $\varphi$  is not valid in  $\mathfrak{F}$ , then we say that  $\varphi$  is *refuted* in  $\mathfrak{F}$ , and write  $\mathfrak{F} \not\models \varphi$ . The *logic* of  $\mathfrak{F}$  is the set of modal formulas valid in  $\mathfrak{F}$ ; in symbols  $L(\mathfrak{F}) = \{\varphi \mid \mathfrak{F} \models \varphi\}$ .

A Kripke frame  $\mathfrak{F}$  is called an **S4**-frame if  $R$  is reflexive and transitive. The name is justified by the well-known fact that **S4** is sound and complete with respect to **S4**-frames. In this paper we are mainly interested in the following logic.

**Definition 2.1.** Let  $L := L(\mathfrak{D})$  be the logic of the diamond  $\mathfrak{D}$  shown in Figure 1.

**2.2. Topological semantics.** Topological semantics interprets  $\Box$  as topological interior (and consequently  $\Diamond$  as topological closure). Specifically, for a topological space  $X$ , the propositional letters are assigned to subsets of  $X$ , the classical connectives are computed as the Boolean operations in  $\wp(X)$ , and  $\Box$  is interpreted as the interior operator  $i : \wp(X) \rightarrow \wp(X)$ , where  $iA$  is the greatest open subset of  $X$  contained in  $A$ . Consequently,  $\Diamond$  is interpreted as the closure operator  $c : \wp(X) \rightarrow \wp(X)$ , where  $cA$  is the least closed subset of  $X$  containing  $A$ .

Let  $\varphi$  be a modal formula and  $X$  a space. Call  $\varphi$  *valid* in  $X$ , denoted  $X \models \varphi$ , provided  $\varphi$  evaluates to  $X$  for every assignment of the propositional letters. If  $\varphi$  is not valid in  $X$ , then we say that  $\varphi$  is *refuted* in  $X$ , and write  $X \not\models \varphi$ . The *logic* of  $X$  is the set of formulas valid in  $X$ ; symbolically,  $L(X) = \{\varphi \mid X \models \varphi\}$ . It is well known that **S4** is sound and complete with respect to topological spaces.

There is a close connection between topological semantics and Kripke semantics for **S4**. Let  $\mathfrak{F} = (W, R)$  be an **S4**-frame. Call  $U \subseteq W$  an *R-upset* of  $\mathfrak{F}$  if  $w \in U$  and  $wRv$  imply  $v \in U$ . The set of *R*-upsets of  $\mathfrak{F}$  is a topology  $\tau_R$  on  $W$  in which every point  $w$  has a least neighborhood, namely  $R(w)$ . Such spaces are called *Alexandroff spaces*. We call  $(W, \tau_R)$  the *Alexandroff space* of  $\mathfrak{F}$ . For a modal formula  $\varphi$ , we have

$$\mathfrak{F} \models \varphi \text{ iff } (W, \tau_R) \models \varphi.$$

Thus, topological semantics generalizes Kripke semantics for **S4**, and hence Kripke completeness for logics above **S4** implies topological completeness. However, since Alexandroff spaces are usually not even  $T_1$ -spaces, such topological completeness is not guaranteed with respect to, for example, normal spaces.

We recall that a topological space  $X$  is

- *extremally disconnected* (ED) if the closure of each open set is open;
- *resolvable* if  $X$  is the union of two disjoint dense subsets of  $X$ ;
- *irresolvable* if  $X$  is not resolvable;
- *hereditarily irresolvable* (HI) if every subspace of  $X$  is irresolvable.

Let

$$\text{grz} = \Box(\Box(p \rightarrow \Box p) \rightarrow p) \rightarrow p$$

be the *Grzegorzczuk axiom* and

$$\text{ga} = \Diamond\Box p \rightarrow \Box\Diamond p$$

the *Geach axiom* (see, e.g., [10]). It is well known that

$$\begin{aligned} X \text{ is ED} & \text{ iff } X \models \text{grz}; \\ X \text{ is HI} & \text{ iff } X \models \text{ga}. \end{aligned}$$

We next recall the definition of modal Krull dimension. For this we recall that a subset  $N$  of a space  $X$  is *nowhere dense* if  $\text{ic}N = \emptyset$ .

**Definition 2.2.** ([5, Sec. 3]) Define the *modal Krull dimension*  $\text{mdim}(X)$  of a topological space  $X$  recursively as follows:

$$\begin{aligned} \text{mdim}(X) = -1 & \text{ if } X = \emptyset, \\ \text{mdim}(X) \leq n & \text{ if } \text{mdim}(N) \leq n - 1 \text{ for each } N \text{ nowhere dense in } X, \\ \text{mdim}(X) = n & \text{ if } \text{mdim}(X) \leq n \text{ but } \text{mdim}(X) \not\leq n - 1, \\ \text{mdim}(X) = \infty & \text{ if } \text{mdim}(X) \not\leq n \text{ for all } n = -1, 0, 1, 2, \dots \end{aligned}$$

Let

$$\begin{aligned} \text{bd}_1 & = \Diamond \Box p_1 \rightarrow p_1 \\ \text{bd}_{n+1} & = \Diamond (\Box p_{n+1} \wedge \neg \text{bd}_n) \rightarrow p_{n+1} \text{ for } n \geq 1. \end{aligned}$$

**Theorem 2.3.** ([5, Thm. 3.6]) *Let  $X$  be a nonempty space and  $n \geq 1$ . Then*

$$\text{mdim}(X) \leq n - 1 \text{ iff } X \models \text{bd}_n.$$

For nonempty scattered Hausdorff spaces, there is a close connection between finite modal Krull dimension and Cantor-Bendixson rank. For  $Y \subseteq X$ , let  $\mathbf{d}Y$  be the set of limit points of  $Y$  and for an ordinal  $\alpha$ , let  $\mathbf{d}^\alpha Y$  be defined recursively as follows:

$$\begin{aligned} \mathbf{d}^0 Y & = Y, \\ \mathbf{d}^{\alpha+1} Y & = \mathbf{d}(\mathbf{d}^\alpha Y), \\ \mathbf{d}^\alpha Y & = \bigcap \{ \mathbf{d}^\beta Y \mid \beta < \alpha \} \text{ if } \alpha \text{ is a limit ordinal.} \end{aligned}$$

The *Cantor-Bendixson rank* of  $X$  is the least ordinal  $\gamma$  satisfying  $\mathbf{d}^\gamma X = \mathbf{d}^{\gamma+1} X$ . It is well known that a space  $X$  is scattered iff there is an ordinal  $\alpha$  such that  $\mathbf{d}^\alpha X = \emptyset$ . Thus, the Cantor-Bendixson rank of a scattered space  $X$  is the least ordinal  $\gamma$  such that  $\mathbf{d}^\gamma X = \emptyset$ .

Let  $X$  be a nonempty scattered Hausdorff space and  $n \in \omega$ . Then the Cantor-Bendixson rank of  $X$  is  $n + 1$  iff  $\mathbf{d}^n X \neq \emptyset$  and  $\mathbf{d}^{n+1} X = \emptyset$ , which by [7, Thm. 4.9] happens iff  $\text{mdim}(X) = n$ .

**2.3. Measurable cardinals.** We use [17, 18] as standard references for set theory, and also rely on [11] as the main reference for measurable cardinals. Let  $S$  be a set and  $\mathfrak{p}$  a free ultrafilter on  $S$ . We denote infinite cardinals by  $\kappa$ , the first uncountable cardinal by  $\omega_1$ , and recall that  $\mathfrak{p}$  is

- $\kappa$ -complete if  $\bigcap \mathcal{K} \in \mathfrak{p}$  for any family  $\mathcal{K} \subseteq \mathfrak{p}$  of cardinality  $< \kappa$ ;
- countably complete if  $\mathfrak{p}$  is  $\omega_1$ -complete (that is,  $\mathfrak{p}$  is closed under countable intersections).

**Definition 2.4.** ([11, Ch. 8]) An uncountable cardinal  $\kappa$  is

- measurable if there exists a  $\kappa$ -complete free ultrafilter on  $\kappa$ ;
- Ulam-measurable if there exists a countably complete free ultrafilter on  $\kappa$ .

**Remark 2.5.** While in [11] it is not assumed that measurable cardinals are uncountable, it is common to make such an assumption.

It is clear that every measurable cardinal is Ulam-measurable, and it is well known (see, e.g., [11, Thm. 8.31]) that the existence of an Ulam-measurable cardinal implies the existence of a measurable cardinal.

## 3. EXISTENCE OF A MEASURABLE CARDINAL IS SUFFICIENT

In this section we prove that the existence of a measurable cardinal implies that there is a normal space  $Z$  such that  $L(Z) = L$ . We build  $Z$  in stages. Let  $\kappa$  be a measurable cardinal. Then  $\kappa$  is Ulam-measurable, and so there is a countably complete free ultrafilter  $\mathfrak{p}$  on  $\kappa$ . Let  $Y = (\kappa \times \{0, 1\}) \cup \{\mathfrak{p}\}$ . Consider the following family of subsets of  $Y$ :

$$\tau = \{U \subseteq Y \mid U \subseteq Y \setminus \{\mathfrak{p}\} \text{ or } \exists V, W \in \mathfrak{p} : U = (V \times \{0\}) \cup \{\mathfrak{p}\} \cup (W \times \{1\})\}.$$

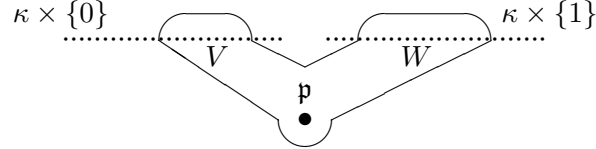


FIGURE 2. The space  $Y$  and an open neighborhood of  $\mathfrak{p}$ .

**Lemma 3.1.** *The family  $\tau$  is a topology on  $Y$  that is closed under countable intersections.*

*Proof.* Clearly  $\emptyset, Y \in \tau$ . Let  $\{U_i \mid i \in I\} \subseteq \tau$  and let  $U = \bigcup\{U_i \mid i \in I\}$ . If  $\mathfrak{p} \notin U$ , then  $U \in \tau$ . Suppose  $\mathfrak{p} \in U$ . Then  $\mathfrak{p} \in U_i$  for some  $i \in I$ . Since  $U_i \in \tau$  and  $\mathfrak{p} \in U_i$ , there are  $V_0, V_1 \in \mathfrak{p}$  such that  $U_i = (V_0 \times \{0\}) \cup \{\mathfrak{p}\} \cup (V_1 \times \{1\})$ . For  $n \in \{0, 1\}$ , set  $W_n = \{\alpha \in \kappa \mid (\alpha, n) \in U\}$ . Let  $n \in \{0, 1\}$  and  $\alpha \in V_n$ . Then  $(\alpha, n) \in V_n \times \{n\} \subseteq U_i \subseteq U$ , giving that  $\alpha \in W_n$ . Therefore,  $V_n \subseteq W_n$ . Since  $V_n \in \mathfrak{p}$  and  $\mathfrak{p}$  is an ultrafilter,  $W_n \in \mathfrak{p}$ . It follows from the definition of  $W_n$  that  $W_n \times \{n\} = U \cap (\kappa \times \{n\})$ . Thus,

$$\begin{aligned} U &= U \cap Y = U \cap ((\kappa \times \{0\}) \cup \{\mathfrak{p}\} \cup (\kappa \times \{1\})) \\ &= (U \cap (\kappa \times \{0\})) \cup (U \cap \{\mathfrak{p}\}) \cup (U \cap (\kappa \times \{1\})) \\ &= (W_0 \times \{0\}) \cup \{\mathfrak{p}\} \cup (W_1 \times \{1\}) \in \tau. \end{aligned}$$

Consequently,  $\tau$  is closed under union.

Let  $\{U_i \mid i \in \omega\} \subseteq \tau$  and let  $U = \bigcap\{U_i \mid i \in \omega\}$ . If  $\mathfrak{p} \notin U$ , then  $U \in \tau$ . Suppose  $\mathfrak{p} \in U$ . Let  $i \in \omega$ . Since  $\mathfrak{p} \in U_i$  and  $U_i \in \tau$ , there are  $V_i, W_i \in \mathfrak{p}$  such that  $U_i = (V_i \times \{0\}) \cup \{\mathfrak{p}\} \cup (W_i \times \{1\})$ . Put  $V = \bigcap\{V_i \mid i \in \omega\}$  and  $W = \bigcap\{W_i \mid i \in \omega\}$ . As  $\mathfrak{p}$  is countably complete, we have that  $V, W \in \mathfrak{p}$ .

**Claim 3.2.**  $U = (V \times \{0\}) \cup \{\mathfrak{p}\} \cup (W \times \{1\})$ .

*Proof.* Let  $\alpha \in \kappa$ . We have

$$\begin{aligned} (\alpha, 0) \in U &\text{ iff } (\alpha, 0) \in U_i \text{ for all } i \in \omega \\ &\text{ iff } \alpha \in V_i \text{ for all } i \in \omega \\ &\text{ iff } \alpha \in V \\ &\text{ iff } (\alpha, 0) \in V \times \{0\} \\ &\text{ iff } (\alpha, 0) \in (V \times \{0\}) \cup \{\mathfrak{p}\} \cup (W \times \{1\}). \end{aligned}$$

Similarly,  $(\alpha, 1) \in U$  iff  $(\alpha, 1) \in (V \times \{0\}) \cup \{\mathfrak{p}\} \cup (W \times \{1\})$ . The claim follows.  $\square$

We conclude that  $\tau$  is a topology on  $Y$  that is closed under countable intersections.  $\square$

**Remark 3.3.** That  $\kappa$  is a measurable cardinal is used to see that  $\tau$  is closed under countable intersections. In fact, this is the only place where we use that  $\kappa$  is a measurable cardinal.

**Definition 3.4.** (See, e.g., [20, p. 37]) A Tychonoff space is a  $P$ -space if every  $G_\delta$ -set in  $X$  is open.

**Lemma 3.5.** *The space  $Y$  is a normal  $P$ -space.*

*Proof.* It is easy to see that each singleton in  $Y$  is closed, so  $Y$  is a  $T_1$ -space. Let  $A, B$  be disjoint closed subsets of  $Y$ . Either  $\mathfrak{p} \notin A$  or  $\mathfrak{p} \notin B$ , and we may assume without loss of generality that  $\mathfrak{p} \notin A$ . Then  $A \subseteq Y \setminus \{\mathfrak{p}\}$ , hence  $A$  is open. Therefore,  $U := A$  and  $V := Y \setminus A$  are disjoint open subsets of  $Y$  separating  $A$  and  $B$ . Thus,  $Y$  is normal, and hence it follows from Lemma 3.1 that  $Y$  is a  $P$ -space.  $\square$

Since  $Y$  is a  $P$ -space, it follows from [12, Sec. 2] that the Čech-Stone compactification  $\beta Y$  of  $Y$  can be embedded into a compact Hausdorff ED-space, say  $E$ . By Efimov's Theorem [13, Sec. 1], there is a cardinal  $\mu$ , equipped with the discrete topology, such that the space  $E$  can be embedded into  $\beta\mu$ . It is well known (see, e.g., [14, Exercise 3.6.B.b]) that  $\beta\mu$  can be embedded in the remainder  $\beta\mu \setminus \mu$ . Combining these results yields a sequence of embeddings

$$(1) \quad Y \hookrightarrow \beta Y \hookrightarrow E \hookrightarrow \beta\mu \hookrightarrow \beta\mu \setminus \mu$$

that gives an embedding of  $Y$  into  $\beta\mu \setminus \mu$ . We identify  $Y$  with its image in  $\beta\mu$ ; see Figure 3.

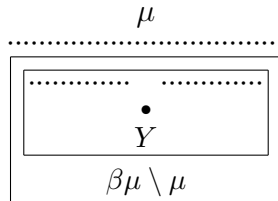


FIGURE 3.  $Y$  as a subspace of  $\beta\mu$ .

**Definition 3.6.** Let  $Z$  be the subspace  $\mu \cup Y$  of  $\beta\mu$ .

Our goal is to show that  $Z$  is a normal space such that  $L(Z) = L$ .

**Lemma 3.7.** *The space  $Z$  is a scattered ED-space of Cantor-Bendixson rank 3.*

*Proof.* Since  $Z \supseteq \mu$  and  $\mu$  is dense in  $\beta\mu$ , we have that  $Z$  is dense in  $\beta\mu$ . As  $\beta\mu$  is an ED-space (see, e.g., [14, Cor. 6.2.28]) and a dense subspace of an ED-space is an ED-space (see, e.g., [14, Exercise 6.2.G.c]), it follows that  $Z$  is an ED-space.

We have  $d^3 Z = d^2 Y = d\{\mathfrak{p}\} = \emptyset$  and  $d^2 Z = dY = \{\mathfrak{p}\} \neq \emptyset$ . Therefore,  $Z$  is scattered and of Cantor-Bendixson rank 3.  $\square$

**Lemma 3.8.** *The space  $Z$  is normal.*

*Proof.* Clearly  $Z$  is  $T_1$  since it is a subspace of a  $T_1$ -space. Let  $A$  and  $B$  be disjoint closed subsets of  $Z$ . Since  $\mu$  is the set of isolated points of  $Z$ , we have that  $A \cap \mu$  and  $B \cap \mu$  are disjoint open subsets of  $Z$ . Let  $A_0 = c(A \cap \mu)$  and  $B_0 = c(B \cap \mu)$ . Because  $Z$  is ED,  $A_0$  and  $B_0$  are disjoint clopen subsets of  $Z$ . Let  $A_1 = A \setminus A_0$  and  $B_1 = B \setminus B_0$ . Then  $A_1$  and  $B_1$  are disjoint closed subsets of  $Y$ . Since  $Y$  is normal, it follows from [14, Cor. 3.6.4] that  $c_{\beta Y}(A_1)$  and  $c_{\beta Y}(B_1)$  are disjoint, where  $c_{\beta Y}$  is the closure in  $\beta Y$ . Because  $\beta Y$  is (up to homeomorphism) a closed subspace of  $\beta\mu$ , we have

$$c_{\beta\mu}(A_1) \cap c_{\beta\mu}(B_1) = c_{\beta Y}(A_1) \cap c_{\beta Y}(B_1) = \emptyset.$$

Since  $\beta\mu$  is normal, there are disjoint open subsets  $U_1$  and  $V_1$  of  $\beta\mu$  such that  $c_{\beta\mu}(A_1) \subseteq U_1$  and  $c_{\beta\mu}(B_1) \subseteq V_1$ .

Clearly  $U := U_1 \cap Z$  and  $V := V_1 \cap Z$  are disjoint open subsets of  $Z$ . As both  $A_0$  and  $B_0$  are clopen in  $Z$ , it follows that both  $U \setminus B_0$  and  $V \setminus A_0$  are open in  $Z$ , and hence  $U_0 := A_0 \cup (U \setminus B_0)$  and  $V_0 := B_0 \cup (V \setminus A_0)$  are disjoint open subsets of  $Z$ . It is clear that  $A_1 \subseteq U_1 \cap Z = U$ .

Because  $A_1$  and  $B_0$  are disjoint,  $A_1 \subseteq U \setminus B_0$ , so  $A = A_0 \cup A_1 \subseteq A_0 \cup (U \setminus B_0) = U_0$ . Similarly,  $B \subseteq V_0$ . Thus,  $Z$  is normal.  $\square$

We recall that a map  $f : X \rightarrow X'$  between spaces is *interior* if  $f$  is both continuous and open. If in addition  $f$  is onto, then we call  $X'$  an *interior image* of  $X$ . If  $X'$  is the Alexandroff space of an **S4**-frame  $\mathfrak{F}$ , then we say that  $\mathfrak{F}$  is an *interior image* of  $X$ . Finally, if  $X$  is the Alexandroff space of an **S4**-frame  $\mathfrak{G}$ , then we say that  $\mathfrak{F}$  is an *interior image* of  $\mathfrak{G}$ .

**Remark 3.9.** It is well known that  $\mathfrak{F} = (W, R)$  is an interior image of  $\mathfrak{G} = (V, S)$  iff  $\mathfrak{F}$  is a  $p$ -morphic image of  $\mathfrak{G}$ , where we recall that a  $p$ -morphism is a map  $f : V \rightarrow W$  such that  $f^{-1}R^{-1}(w) = S^{-1}f^{-1}(w)$  for each  $w \in W$ .

**Convention 3.10.** Since the diamond  $\mathfrak{D} = (D, \leq)$  is a poset (partially ordered set), for  $w \in D$  we write  $\uparrow w$  and  $\downarrow w$  instead of  $R(w)$  and  $R^{-1}(w)$ , respectively.

**Lemma 3.11.** *The diamond  $\mathfrak{D}$  is an interior image of  $Z$ .*

*Proof.* Define  $f : Z \rightarrow D$  by

$$f(z) = \begin{cases} m & \text{if } z \in \mu \\ w_0 & \text{if } z \in \kappa \times \{0\} \\ w_1 & \text{if } z \in \kappa \times \{1\} \\ r & \text{if } z = \mathfrak{p} \end{cases}$$

It is clear that  $f$  is a well-defined onto mapping. To prove that  $f$  is interior, it is sufficient to show that  $f^{-1}\downarrow w = \mathfrak{c}f^{-1}(w)$  for each  $w \in D$ . Since  $\mu$  is dense in  $Z$ , we have

$$f^{-1}\downarrow m = f^{-1}(D) = Z = \mathfrak{c}\mu = \mathfrak{c}f^{-1}(m).$$

Because  $Z$  is  $T_1$ , we have

$$f^{-1}\downarrow r = f^{-1}(r) = \{\mathfrak{p}\} = \mathfrak{c}\{\mathfrak{p}\} = \mathfrak{c}f^{-1}(r).$$

Since  $Y$  is closed in  $Z$ , we have that  $\mathfrak{c}_Y A = \mathfrak{c}A$  for any  $A \subseteq Y$ , where  $\mathfrak{c}_Y A$  is closure in  $Y$ . Let  $n \in \{0, 1\}$ . Then  $(\kappa \times \{n\}) \cup \{\mathfrak{p}\}$  is closed in  $Y$ . Therefore,  $\mathfrak{p} \in \mathfrak{c}_Y(\kappa \times \{n\})$ . Thus,  $\mathfrak{c}(\kappa \times \{n\}) = \mathfrak{c}_Y(\kappa \times \{n\}) = (\kappa \times \{n\}) \cup \{\mathfrak{p}\}$ . This yields

$$f^{-1}\downarrow w_n = f^{-1}(\{w_n, r\}) = (\kappa \times \{n\}) \cup \{\mathfrak{p}\} = \mathfrak{c}(\kappa \times \{n\}) = \mathfrak{c}f^{-1}(w_n).$$

Consequently,  $f$  is interior.  $\square$

We are ready for the main lemma of this section. For this we recall that an **S4**-frame  $\mathfrak{F} = (W, R)$  is *rooted* if there is  $w \in W$  (a *root* of  $\mathfrak{F}$ ) such that  $W = R(w)$ .

**Lemma 3.12.** *Let  $\mathfrak{F} = (W, R)$  be a finite rooted **S4**-frame. If  $\mathfrak{F}$  is an interior image of  $Z$ , then  $\mathfrak{F}$  is an interior image of  $\mathfrak{D}$ .*

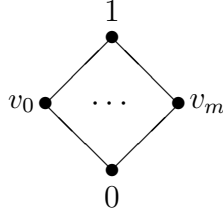
*Proof.* We start by observing some properties of  $\mathfrak{F}$ . Since  $Z$  is scattered, it is HI. Because  $Z$  is also of Cantor-Bendixson rank 3, it follows from Section 2.2 that the formulas  $\mathbf{grz}$  and  $\mathbf{bd}_3$  are valid in  $Z$ . As  $\mathfrak{F}$  is an interior image of  $Z$ , these formulas are also valid in  $\mathfrak{F}$  (see, e.g., [4, Prop. 2.9(2)]). Therefore,  $R$  is a partial order and the  $R$ -depth of  $\mathfrak{F}$  is  $\leq 3$  (see, e.g., [10, Props. 3.48 & 3.44]). In addition, since  $Z$  is ED, so is  $\mathfrak{F}$ . Thus, as  $\mathfrak{F}$  is rooted,  $\mathfrak{F}$  has a maximum (see, e.g., [10, Cor. 3.38]).

We consider three cases based on the depth of  $\mathfrak{F}$ . First, suppose that the depth of  $\mathfrak{F}$  is 1. Then  $W$  is a singleton and it is clear that  $\mathfrak{F}$  is an interior image of  $\mathfrak{D}$ . Next suppose that the depth of  $\mathfrak{F}$  is 2. Since  $\mathfrak{F}$  is a rooted poset with a maximum,  $\mathfrak{F}$  is isomorphic to the two element chain (see Figure 4). It is easy to see that mapping the root of  $\mathfrak{D}$  to the root of  $\mathfrak{F}$  and all the other points of  $\mathfrak{D}$  to the maximum of  $\mathfrak{F}$  is an onto interior map.



FIGURE 4. The two element chain.

Finally, suppose that the depth of  $\mathfrak{F}$  is 3. Then  $\mathfrak{F}$  is isomorphic to the frame depicted in Figure 5 where  $W = \{0, v_0, \dots, v_m, 1\}$  and  $m \in \omega$ .

FIGURE 5. The poset  $\mathfrak{F}$  of depth 3.

If  $m = 0$ , then it is easy to see that mapping the root of  $\mathfrak{D}$  to the root of  $\mathfrak{F}$ , the maximum of  $\mathfrak{D}$  to the maximum of  $\mathfrak{F}$ , and  $w_0, w_1$  to  $v_0$  is an onto interior map. If  $m = 1$ , then  $\mathfrak{D}$  is isomorphic to  $\mathfrak{F}$ , so it is obvious that  $\mathfrak{F}$  is an interior image of  $\mathfrak{D}$ . Thus, to complete the proof, it suffices to show that  $m \not\geq 2$ .

Suppose that  $m \geq 2$  and let  $f : Z \rightarrow W$  be an interior mapping onto  $\mathfrak{F}$ .

**Claim 3.13.**

- (1)  $\mu \subseteq f^{-1}(1)$ .
- (2)  $\{\mathfrak{p}\} = f^{-1}(0)$ .
- (3)  $f^{-1}(\{v_0, \dots, v_m\}) \subseteq Y \setminus \{\mathfrak{p}\}$ .
- (4)  $\mathfrak{p} \in \mathfrak{c}(f^{-1}(v_i) \cap (\kappa \times \{0\})) \cup \mathfrak{c}(f^{-1}(v_i) \cap (\kappa \times \{1\}))$  for each  $i \in \{0, \dots, m\}$ .

*Proof.* (1) Since each  $z \in \mu$  is isolated and  $f$  is interior, we have that  $f(z)$  is the maximum of  $\mathfrak{F}$ . Thus,  $f(z) = 1$ .

(2) Because  $f$  is onto, there is  $z \in f^{-1}(0)$ . By (1), we have that  $z \in Y$ . If  $z \neq \mathfrak{p}$ , then  $z$  is an isolated point of  $Y$ , so there is an open subset  $U$  of  $Z$  such that  $\{z\} = U \cap Y$ . As  $f$  is interior and  $U$  is open,  $f(U)$  is an  $R$ -upset of  $\mathfrak{F}$ . Therefore,  $f(U) = W$  since  $0 = f(z) \in f(U)$ . On the other hand,

$$f(U) = f((U \cap Y) \cup (U \cap \mu)) \subseteq f(\{z\} \cup \mu) = f(\{z\}) \cup f(\mu) = \{0\} \cup \{1\} \neq W.$$

The obtained contradiction proves that  $z = \mathfrak{p}$ . Thus,  $f^{-1}(0) = \{\mathfrak{p}\}$ .

(3) Follows immediately from (1) and (2) since  $\mu \cup \{\mathfrak{p}\} \subseteq f^{-1}(\{0, 1\})$ .

(4) Let  $i \in \{0, \dots, m\}$ . Because  $f$  is interior, it follows from (2) and (3) that

$$\begin{aligned} \{\mathfrak{p}\} &\subseteq f^{-1}(\{0, v_i\}) = f^{-1}R^{-1}(v_i) \\ &= \mathfrak{c}f^{-1}(v_i) = \mathfrak{c}(f^{-1}(v_i) \cap (Y \setminus \{\mathfrak{p}\})) \\ &= \mathfrak{c}(f^{-1}(v_i) \cap [(\kappa \times \{0\}) \cup (\kappa \times \{1\})]) \\ &= \mathfrak{c}([f^{-1}(v_i) \cap (\kappa \times \{0\})] \cup [f^{-1}(v_i) \cap (\kappa \times \{1\})]) \\ &= \mathfrak{c}(f^{-1}(v_i) \cap (\kappa \times \{0\})) \cup \mathfrak{c}(f^{-1}(v_i) \cap (\kappa \times \{1\})). \end{aligned}$$

□



Let

$$\mathcal{F}_0 = \{f^{-1}(1) \cap (\kappa \times \{0\}), f^{-1}(v_0) \cap (\kappa \times \{0\}), \dots, f^{-1}(v_m) \cap (\kappa \times \{0\})\}$$

and

$$\mathcal{F}_1 = \{f^{-1}(1) \cap (\kappa \times \{1\}), f^{-1}(v_0) \cap (\kappa \times \{1\}), \dots, f^{-1}(v_m) \cap (\kappa \times \{1\})\}.$$

Then both  $\mathcal{F}_0$  and  $\mathcal{F}_1$  are pairwise disjoint families of sets,  $\bigcup \mathcal{F}_0 = \kappa \times \{0\}$ , and  $\bigcup \mathcal{F}_1 = \kappa \times \{1\}$ . We prove that there is a unique  $A_0 \in \mathcal{F}_0$  such that  $\mathfrak{p} \in \mathfrak{c}A_0$ . A similar proof yields a unique  $A_1 \in \mathcal{F}_1$  such that  $\mathfrak{p} \in \mathfrak{c}A_1$ .

Because  $\mathcal{F}_0$  is finite, we have

$$\mathfrak{p} \in \mathfrak{c}(\kappa \times \{0\}) = \mathfrak{c}\left(\bigcup \mathcal{F}_0\right) = \bigcup_{A \in \mathcal{F}_0} \mathfrak{c}A.$$

Therefore, there is  $A_0 \in \mathcal{F}_0$  such that  $\mathfrak{p} \in \mathfrak{c}A_0$ . Since  $\mathfrak{p}$  is an ultrafilter,

$$\mathfrak{p} \notin \mathfrak{c}((\kappa \times \{0\}) \setminus A_0) = \mathfrak{c}\left(\bigcup (\mathcal{F}_0 \setminus \{A_0\})\right) = \bigcup_{A \in \mathcal{F}_0 \setminus \{A_0\}} \mathfrak{c}A.$$

Thus,  $A_0$  is the unique member  $A$  of  $\mathcal{F}_0$  satisfying the property that  $\mathfrak{p} \in \mathfrak{c}A$ .

Since  $m \geq 2$ , by the Pigeonhole Principle, there is  $i \in \{0, 1, 2, \dots, m\}$  such that  $A_0 \neq f^{-1}(v_i) \cap (\kappa \times \{0\})$  and  $A_1 \neq f^{-1}(v_i) \cap (\kappa \times \{1\})$ . Thus,  $\mathfrak{p} \notin \mathfrak{c}(f^{-1}(v_i) \cap (\kappa \times \{0\}))$  and  $\mathfrak{p} \notin \mathfrak{c}(f^{-1}(v_i) \cap (\kappa \times \{1\}))$ , which contradicts Claim 3.13(4). Consequently,  $m \not\geq 2$ , completing the proof.  $\square$

**Lemma 3.14.** *The logic of  $Z$  is  $\mathsf{L}$ .*

*Proof.* By Lemma 3.11,  $\mathfrak{D}$  is an interior image of  $Z$ . Therefore,  $\mathsf{L}(Z) \subseteq \mathsf{L}(\mathfrak{D}) = \mathsf{L}$  (see, e.g., [4, Prop. 2.9(2)]). Conversely, suppose that  $\mathsf{L}(Z) \not\vdash \varphi$ . Since  $Z$  is of Cantor-Bendixson rank 3,  $\mathsf{bd}_3$  is a theorem of  $\mathsf{L}(Z)$ . Therefore, by Segerberg's theorem (see, e.g., [10, Thm. 8.85]),  $\mathsf{L}(Z)$  is complete with respect to finite rooted  $\mathsf{L}(Z)$ -frames. Thus, there is a finite rooted  $\mathsf{L}(Z)$ -frame  $\mathfrak{F}$  such that  $\mathfrak{F} \not\models \varphi$ . As  $\mathfrak{F}$  is an  $\mathsf{L}(Z)$ -frame, by [6, Lem 6.2],  $\mathfrak{F}$  is an interior image of an open subspace  $U$  of  $Z$ . Let  $f : U \rightarrow \mathfrak{F}$  be an interior map, and let  $z \in U$  map to the root of  $\mathfrak{F}$ . Since  $Z$  is zero-dimensional, there is a clopen subset  $V$  of  $Z$  such that  $z \in V$  and  $V \subseteq U$ . Then the restriction of  $f$  to  $V$  is an interior mapping of  $V$  onto  $\mathfrak{F}$ . Because  $\mathfrak{F}$  has a maximum, we have that  $\mathfrak{F}$  is an interior image of  $Z$  by [7, Lem. 5.4]. By Lemma 3.12,  $\mathfrak{F}$  is an interior image of  $\mathfrak{D}$ . Therefore,  $\mathfrak{D} \not\models \varphi$ , and hence  $\mathsf{L}(\mathfrak{D}) \not\vdash \varphi$ . Thus,  $\mathsf{L}(Z) = \mathsf{L}(\mathfrak{D}) = \mathsf{L}$ .  $\square$

As a consequence of Lemmas 3.8 and 3.14 we arrive at the main result of this section.

**Theorem 3.15.** *If there exists a measurable cardinal, then there exists a normal space  $Z$  such that  $\mathsf{L}(Z) = \mathsf{L}$ .*

#### 4. EXISTENCE OF A MEASURABLE CARDINAL IS NECESSARY

In this section we prove that the existence of a normal space  $Z$  such that  $\mathsf{L}(Z) = \mathsf{L}$  implies the existence of a measurable cardinal. Let  $Z$  be a normal space such that  $\mathsf{L}(Z) = \mathsf{L}$ .

**Lemma 4.1.** *The space  $Z$  is an ED-space of modal Krull dimension 2 such that  $\mathfrak{D}$  is an interior image of  $Z$ .*

*Proof.* As  $\mathsf{L}(Z) = \mathsf{L}$ , for each modal formula  $\varphi$  we have  $Z \models \varphi$  iff  $\mathfrak{D} \models \varphi$ . Since  $\mathfrak{D}$  has a maximum and is of depth 3, we have that

$$\begin{aligned} \mathfrak{D} &\models \mathsf{ga} \\ \mathfrak{D} &\models \mathsf{bd}_3 \\ \mathfrak{D} &\not\models \mathsf{bd}_2 \end{aligned}$$

Therefore,  $Z$  is an ED-space of modal Krull dimension 2 (see Section 2.2).

Because  $\mathfrak{D} \models \mathbf{L}(Z)$ , [6, Lem. 6.2] yields an open subspace  $U$  of  $Z$  and an onto interior map  $g : U \rightarrow D$ . Then there is  $z \in U$  with  $f(z) = r$ . Since  $Z$  is normal and ED, it is zero-dimensional. Hence, there is clopen  $V$  in  $Z$  such that  $z \in V \subseteq U$ . Noting that the restriction of  $g$  to  $V$  is an interior mapping onto  $\mathfrak{D}$ , it follows from [7, Lem. 5.4] that  $\mathfrak{D}$  is an interior image of  $Z$ .  $\square$

**Remark 4.2.**

- (1) Since  $\mathfrak{D}$  is a finite poset,  $\mathfrak{D}$  validates  $\text{grz}$ . Therefore, so does  $Z$ , and hence  $Z$  is HI.
- (2) Observe that  $\mathfrak{D}$  is not hereditarily ED since the subspace  $\{r, w_0, w_1\}$  is not ED. Because  $\mathfrak{D}$  is an interior image of  $Z$ , it follows that  $Z$  is not hereditarily ED.
- (3) Since  $Z$  is a Hausdorff ED-space that is not hereditarily ED,  $Z$  must be uncountable (see, e.g., [9, Cor. 2.1]).

**Definition 4.3.** Let  $f : Z \rightarrow \mathfrak{D}$  be an onto interior mapping. Denote the fibers of  $f$  by

$$\begin{aligned} M &= f^{-1}(m) \\ B_0 &= f^{-1}(w_0) \\ B_1 &= f^{-1}(w_1) \\ A &= f^{-1}(r) \end{aligned}$$

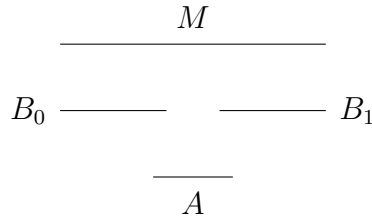


FIGURE 6. Depiction of  $Z$  partitioned by the fibers of  $f$ .

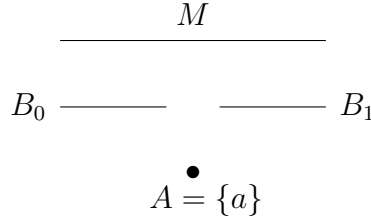
**Remark 4.4.**

- (1) Clearly  $M$  is an open dense subset of  $Z$  (which is infinite as it is a dense subset of an infinite  $T_1$ -space).
- (2) We also have that  $A$  is a closed nowhere dense subset of  $Z \setminus M$ . Therefore,  $A$  is discrete. More generally, any nonempty nowhere dense subset  $N$  of  $Z \setminus M$  is discrete. To see this, since  $\text{mdim}(Z) = 2$ , the definition of modal Krull dimension gives that  $\text{mdim}(Z \setminus M) \leq 1$  and  $\text{mdim}(N) \leq 0$ . As  $N \neq \emptyset$ , we have that  $\text{mdim}(N) = 0$ . Thus,  $N$  is discrete by [5, Rem. 4.8 & Thm. 4.9].

**Lemma 4.5.** *There is a normal subspace  $U$  of  $Z$  such that  $U \cap A$  is a singleton and  $\mathbf{L}(U) = \mathbf{L}$ .*

*Proof.* Let  $a \in A$ . Since  $A$  is discrete and  $Z$  is zero-dimensional, there is a clopen subset  $U$  of  $Z$  such that  $\{a\} = U \cap A$ . As  $U$  is closed in  $Z$ , the subspace  $U$  is normal. Because  $U$  is open in  $Z$ , the restriction  $f|_U$  of  $f$  to  $U$  is interior. Since  $U \cap A \neq \emptyset$ , we have that  $r \in f(U)$ . As  $f(U)$  is an upset,  $D = \uparrow r \subseteq f(U) \subseteq D$ . Therefore,  $f|_U$  is onto and  $\mathfrak{D}$  is an interior image of  $U$ . By [4, Prop. 2.9],  $\mathbf{L}(U) \subseteq \mathbf{L} = \mathbf{L}(Z) \subseteq \mathbf{L}(U)$ , so  $\mathbf{L}(U) = \mathbf{L}$ , completing the proof.  $\square$

By Lemma 4.5, we may assume without loss of generality that  $A$  is a singleton, say  $\{a\}$ , yielding that  $Z = B_0 \cup \{a\} \cup B_1 \cup M$  (see Figure 7).

FIGURE 7. Reducing  $A$  to a singleton.

**Lemma 4.6.** *We have that  $a \notin \text{c}N$  for any nowhere dense subset  $N$  of the subspace  $B_0 \cup B_1$ .*

*Proof.* We first show that  $N \cup A$  is nowhere dense in  $Z \setminus M$ . Let  $U$  be open in  $Z \setminus M$  with  $U \subseteq \text{c}(N \cup A)$ . Since  $A$  is closed,  $U \subseteq \text{c}(N) \cup A$ . Therefore,  $U \setminus A \subseteq \text{c}(N) \setminus A = \text{c}(N) \cap (B_0 \cup B_1)$ , which is the closure of  $N$  relative to  $B_0 \cup B_1$ . Because  $U \setminus A$  is open and  $N$  is nowhere dense in  $B_0 \cup B_1$ , we have that  $U \setminus A = \emptyset$ , so  $U \subseteq A$ . By Remark 4.4(2),  $A$  is a closed nowhere dense subset of  $Z \setminus M$ , hence  $U = \emptyset$ . Thus,  $N \cup A$  is nowhere dense in  $Z \setminus M$ . Applying Remark 4.4(2) again yields that  $N \cup A$  is discrete. Consequently, there is an open set  $V$  in  $Z$  such that  $\{a\} = V \cap (N \cup A)$ . As

$$V \cap N \subseteq V \cap (N \cup A) = \{a\} \subseteq Z \setminus (B_0 \cup B_1) \subseteq Z \setminus N,$$

it must be the case that  $V \cap N = \emptyset$ , so  $a \notin \text{c}N$ .  $\square$

We recall that a normal space  $X$  is an  $F$ -space if any two disjoint open  $F_\sigma$ -sets in  $X$  have disjoint closures in  $X$  (see, e.g., [19, Lem. 1.2.2(b)]). Being a normal ED-space, it follows from [15, Exercise 14N.4] that  $Z$  is an  $F$ -space.

**Definition 4.7.** Let  $Y$  denote the subspace  $B_0 \cup \{a\} \cup B_1$  of  $Z$ .

Because  $Y = Z \setminus M$  is closed in  $Z$ , we have that  $Y$  is a normal  $F$ -space by [19, Lem. 1.2.2(d)]. We require the following definition.

**Definition 4.8.** (See, e.g., [20, p. 37]) A point  $x$  of a space  $X$  is called a  $P$ -point provided for any  $G_\delta$ -set  $S$  in  $X$  we have that  $x \in S$  implies  $x \in \text{i}S$ .

**Remark 4.9.** By taking complements we obtain that  $x \in X$  is a  $P$ -point iff for each  $F_\sigma$ -set  $S$  in  $X$  we have that  $x \notin S$  implies  $x \notin \text{c}S$ . This will be utilized in Lemma 4.16(5).

**Lemma 4.10.** *Either  $a$  is a  $P$ -point in the subspace  $B_0 \cup \{a\}$  or a  $P$ -point in the subspace  $B_1 \cup \{a\}$ .*

*Proof.* Suppose not. Then we show that there are disjoint open  $F_\sigma$ -sets  $U_0$  and  $U_1$  of  $Y$  whose closures have nonempty intersection, which is a contradiction since  $Y$  is a normal  $F$ -space. We only show how to construct  $U_0$  because  $U_1$  is constructed similarly. Since  $a$  is not a  $P$ -point in  $B_0 \cup \{a\}$ , for each  $n \in \omega$ , there is  $W_n$  open in  $B_0 \cup \{a\}$  such that  $a \in \bigcap_{n \in \omega} W_n$  but  $a \notin \text{i}(\bigcap_{n \in \omega} W_n)$ , where  $\text{i}$  is taken in  $B_0 \cup \{a\}$ . As  $Z$  is zero-dimensional,  $B_0 \cup \{a\}$  is zero-dimensional. Thus, for each  $n \in \omega$ , there is  $V_n$  clopen in  $B_n \cup \{a\}$  such that  $a \in V_n \subseteq W_n$ . Clearly,  $a \in V := \bigcap_{n \in \omega} V_n$  and  $V$  is a closed  $G_\delta$ -set in  $B_0 \cup \{a\}$ . Moreover,  $a \notin \text{i}V$  since  $V \subseteq \bigcap_{n \in \omega} W_n$  and  $a \notin \text{i}(\bigcap_{n \in \omega} W_n)$ . Put  $U_0 = (B_0 \cup \{a\}) \setminus V$ . Then  $U_0$  is an open  $F_\sigma$ -set in  $B_0 \cup \{a\}$  such that  $a \notin U_0$  and  $a \in \text{c}U_0$ . Clearly  $U_0 \subseteq B_0$ , and so  $U_0$  is open in  $B_0$ . As  $B_0 = Y \cap f^{-1}\uparrow w_0$  is open in  $Y$ , it follows that  $U_0$  is open in  $Y$ . Because  $B_0 \cup \{a\}$  is closed in  $Y$  and  $U_0$  is an  $F_\sigma$ -set in  $B_0 \cup \{a\}$ , we have that  $U_0$  is an  $F_\sigma$ -set in  $Y$ . Thus,  $U_0$  is an open  $F_\sigma$ -set in  $Y$  such that  $a \in \text{c}U_0$ . Analogously, there is an open  $F_\sigma$ -set  $U_1$  in  $Y$  such that  $a \in \text{c}U_1$ . By construction,  $U_0 \subseteq B_0$  and  $U_1 \subseteq B_1$ , so  $U_0$  and  $U_1$  are disjoint. On the other hand,  $a \in \text{c}U_0 \cap \text{c}U_1$ , yielding the desired contradiction.  $\square$

**Convention 4.11.** Without loss of generality we assume that  $a$  is a  $P$ -point in  $X := B_0 \cup \{a\}$ .

**Remark 4.12.** Since  $X$  is closed in  $Z$ , the closure in  $X$  of any subset  $S$  of  $X$  coincides with the closure of  $S$  in  $Z$ . Therefore, there is no ambiguity in writing  $cS$  whenever  $S \subseteq X$ .

The following lemma is an easy consequence of Zorn's lemma, and we skip its proof.

**Lemma 4.13.** *There is a family  $\mathcal{F}$  of subsets of  $X$  that is maximal with respect to the following two properties:*

- (1) *Each  $F \in \mathcal{F}$  is a nonempty clopen in  $X$  such that  $a \notin F$ ;*
- (2) *The family  $\mathcal{F}$  is pairwise disjoint.*

**Lemma 4.14.** *Let  $N = B_0 \setminus \bigcup \mathcal{F}$ . Then we have:*

- (1)  $\bigcup \mathcal{F}$  *is open in both  $X$  and  $B_0$ .*
- (2)  $\bigcup \mathcal{F}$  *is dense in both  $B_0$  and  $X$ .*
- (3)  $N$  *is closed in  $Z$ .*
- (4) *There is a clopen subspace  $U$  of  $Z$  such that  $U \cap N = \emptyset$  and  $L(U) = L$ .*

*Proof.* (1) Since  $\bigcup \mathcal{F}$  is a union of clopen subsets of  $X$ , it is open in  $X$ . Also, since  $a \notin F$  for each  $F \in \mathcal{F}$ , we have that  $\bigcup \mathcal{F} \subseteq B_0$ , and hence it is also open in  $B_0$ .

(2) Let  $z \in B_0$ . If  $z \notin c(\bigcup \mathcal{F})$ , then as  $X$  is zero-dimensional, there is clopen  $V$  in  $X$  such that  $z \in V$  and  $V \cap \bigcup \mathcal{F} = \emptyset$ . Since  $z \neq a$ , we may assume that  $a \notin V$  (by shrinking  $V$  further if necessary). But this contradicts the maximality of  $\mathcal{F}$  because the family  $\{V\} \cup \mathcal{F}$  satisfies the conditions of Lemma 4.13. Thus,  $z \in c(\bigcup \mathcal{F})$ , and so  $\bigcup \mathcal{F}$  is dense in  $B_0$ . Finally, since  $a \in cB_0$ , we conclude that  $\bigcup \mathcal{F}$  is dense in  $X$ .

(3) It suffices to show that  $N$  is closed in  $X$ . For any  $z \in B_0 \setminus N$ , we have that  $\bigcup \mathcal{F}$  is open in  $X$  and  $z \in \bigcup \mathcal{F}$ . Since  $N \cap \bigcup \mathcal{F} = \emptyset$ , it follows that  $z \notin cN$ . Because  $\{B_0 \setminus N, N, \{a\}\}$  is a partition of  $X$ , it remains to show that  $a \notin cN$ . But (1) and (2) imply that  $N$  is nowhere dense in  $B_0$ , hence nowhere dense in  $B_0 \cup B_1$ . This yields that  $a \notin cN$  by Lemma 4.6.

(4) Since  $\{a\}$  and  $N$  are closed in the zero-dimensional normal space  $Z$ , there is  $U$  clopen in  $Z$  such that  $a \in U$  and  $U \cap N = \emptyset$ . Because  $U$  is open, the restriction of  $f$  as defined in Definition 4.3 is an interior map from  $U$  to  $\mathfrak{D}$ . To see that it is onto, observe that  $U \cap M \neq \emptyset$  since  $M$  is dense in  $Z$ , and both  $U \cap B_0$  and  $U \cap B_1$  are nonempty because  $a \in cB_0, cB_1$  and  $a \in U$ . Therefore,  $\mathfrak{D}$  is an interior image of  $Z$ , and so  $L(U) \subseteq L = L(Z) \subseteq L(U)$  by [4, Prop. 2.9]. Thus,  $L(U) = L$ .  $\square$

Let  $U$  be the clopen subspace of  $Z$  constructed in the proof of Lemma 4.14(4). Then  $U$  is normal since it is a closed subspace of a normal space. In addition,  $a$  remains a  $P$ -point of  $X \cap U$  because  $X \cap U$  is an open subspace of  $X$  and  $a$  is a  $P$ -point of  $X$ . Therefore, without loss of generality we may assume that  $Z = U$ . Thus,  $B_0 = \bigcup \mathcal{F}$  and  $N = \emptyset$ .

**Definition 4.15.**

- (1) Let  $\kappa$  be the cardinality of  $\mathcal{F}$ , and let  $\varphi : \kappa \rightarrow \mathcal{F}$  be a bijection. Denoting  $\varphi(\alpha)$  by  $F_\alpha$ , we may write  $\mathcal{F} = \{F_\alpha \mid \alpha \in \kappa\}$ .
- (2) Let

$$\mathcal{G} = \left\{ \Gamma \subseteq \kappa \mid a \in c \left( \bigcup_{\alpha \in \Gamma} F_\alpha \right) \right\}.$$

We are ready to prove the main lemma of this section.

**Lemma 4.16.**

- (1) *If  $\Gamma \in \mathcal{G}$  and  $\Gamma \subseteq \Lambda$ , then  $\Lambda \in \mathcal{G}$ .*
- (2) *For any  $\Gamma \subseteq \kappa$ , exactly one of  $\Gamma, \kappa \setminus \Gamma$  belongs to  $\mathcal{G}$ .*

- (3) If  $\Gamma, \Lambda \in \mathcal{G}$ , then  $\Gamma \cap \Lambda \in \mathcal{G}$ .
- (4)  $\mathcal{G}$  is a free ultrafilter on  $\kappa$ .
- (5)  $\mathcal{G}$  is countably complete.

*Proof.* (1) Let  $\Gamma \in \mathcal{G}$  and  $\Gamma \subseteq \Lambda$ . Then  $\bigcup_{\alpha \in \Gamma} F_\alpha \subseteq \bigcup_{\alpha \in \Lambda} F_\alpha$ , yielding

$$a \in c \left( \bigcup_{\alpha \in \Gamma} F_\alpha \right) \subseteq c \left( \bigcup_{\alpha \in \Lambda} F_\alpha \right).$$

Thus,  $\Lambda \in \mathcal{G}$ .

(2) Let  $\Gamma \subseteq \kappa$ . We have that

$$a \in cB_0 = c \left( \bigcup_{\alpha \in \kappa} F_\alpha \right) = c \left( \bigcup_{\alpha \in \Gamma} F_\alpha \cup \bigcup_{\alpha \in \kappa \setminus \Gamma} F_\alpha \right) = c \left( \bigcup_{\alpha \in \Gamma} F_\alpha \right) \cup c \left( \bigcup_{\alpha \in \kappa \setminus \Gamma} F_\alpha \right).$$

Therefore,  $\Gamma \in \mathcal{G}$  or  $\kappa \setminus \Gamma \in \mathcal{G}$ . Suppose that both  $\Gamma$  and  $\kappa \setminus \Gamma$  belong to  $\mathcal{G}$ . Then the frame  $\mathfrak{F}$  depicted in Figure 5 with  $m = 2$  is an interior image of  $Z$  via the mapping  $g : Z \rightarrow W$  given by

$$g(z) = \begin{cases} 1 & \text{if } z \in M \\ v_0 & \text{if } z \in \bigcup_{\alpha \in \Gamma} F_\alpha \\ v_1 & \text{if } z \in \bigcup_{\alpha \in \kappa \setminus \Gamma} F_\alpha \\ v_2 & \text{if } z \in B_1 \\ 0 & \text{if } z = a \end{cases}$$

The function  $g$  is depicted in Figure 8 where each fiber of  $g$  is labeled to the right by its image in  $W$ .

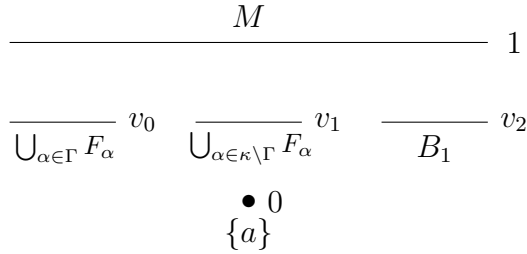


FIGURE 8. The function  $g : Z \rightarrow W$ .

This yields that  $\mathfrak{F} \models \mathbf{L}(Z) = \mathbf{L}$ , which is a contradiction since  $\mathfrak{F} \not\models \mathbf{L}$ . Thus, exactly one of  $\Gamma$  or  $\kappa \setminus \Gamma$  is a member of  $\mathcal{G}$ .

(3) If  $\Gamma \cap \Lambda \notin \mathcal{G}$ , then  $a \notin c \left( \bigcup_{\alpha \in \Gamma \cap \Lambda} F_\alpha \right)$ . On the other hand,

$$a \in c \left( \bigcup_{\alpha \in \Gamma} F_\alpha \right) = c \left( \bigcup_{\alpha \in \Gamma \cap \Lambda} F_\alpha \cup \bigcup_{\alpha \in \Gamma \setminus \Lambda} F_\alpha \right) = c \left( \bigcup_{\alpha \in \Gamma \cap \Lambda} F_\alpha \right) \cup c \left( \bigcup_{\alpha \in \Gamma \setminus \Lambda} F_\alpha \right).$$

Therefore,  $a \in c \left( \bigcup_{\alpha \in \Gamma \setminus \Lambda} F_\alpha \right)$ . Thus,  $\Gamma \setminus \Lambda \in \mathcal{G}$ . Since  $\Gamma \setminus \Lambda \subseteq \kappa \setminus \Lambda$ , (1) implies that  $\kappa \setminus \Lambda \in \mathcal{G}$ . However, as  $\Lambda \in \mathcal{G}$ , (2) implies that  $\kappa \setminus \Lambda \notin \mathcal{G}$ . The obtained contradiction proves that  $\Gamma \cap \Lambda \in \mathcal{G}$ .

(4) That  $\mathcal{G}$  is an ultrafilter follows from (1), (2), and (3). To see that  $\mathfrak{G}$  is free, let  $\alpha \in \kappa$ . Then  $F_\alpha$  is clopen in  $X$  and  $a \notin F_\alpha$ . Therefore,  $a \notin cF_\alpha$ , yielding that  $\{\alpha\} \notin \mathcal{G}$ . Thus,  $\mathcal{G}$  is a free ultrafilter.

(5) Let  $\Lambda_n \in \mathcal{G}$  for each  $n \in \omega$  and let  $\Gamma := \bigcap_{n \in \omega} \Lambda_n \notin \mathcal{G}$ . For  $n \in \omega$  set  $\Gamma_n = \bigcap_{i=0}^n \Lambda_i$ . Then  $\Gamma_n \in \mathcal{G}$  by (3),  $\Gamma_{n+1} \subseteq \Gamma_n$ , and  $\Gamma = \bigcap_{n \in \omega} \Gamma_n$ . For  $n \in \omega$  set  $\Delta_n = \Gamma_n \setminus \Gamma_{n+1}$ . Since  $\mathcal{G}$  is an ultrafilter,  $\Delta_n \notin \mathcal{G}$  for each  $n \in \omega$ .

**Claim 4.17.** *The set  $\bigcup_{\alpha \in \Delta_n} F_\alpha$  is clopen in  $X$ .*

*Proof.* Clearly  $\bigcup_{\alpha \in \Delta_n} F_\alpha$  is open in  $X$  since each  $F \in \mathcal{F}$  is clopen in  $X$ . To see that  $\bigcup_{\alpha \in \Delta_n} F_\alpha$  is closed in  $X$  we show that  $c\left(\bigcup_{\alpha \in \Delta_n} F_\alpha\right) = \bigcup_{\alpha \in \Delta_n} F_\alpha$ . As  $X$  is closed in  $Z$ , we have that  $c\left(\bigcup_{\alpha \in \Delta_n} F_\alpha\right) \subseteq X$ . Let  $z \in X \setminus \bigcup_{\alpha \in \Delta_n} F_\alpha$ . We show that  $z \notin c\left(\bigcup_{\alpha \in \Delta_n} F_\alpha\right)$ . Either  $z = a$  or  $z \in B_0$ . The former case is clear since  $\Delta_n \notin \mathcal{G}$  implies that  $z = a \notin c\left(\bigcup_{\alpha \in \Delta_n} F_\alpha\right)$ . Suppose  $z \in B_0$ . Then there is  $\beta \in \kappa$  such that  $z \in F_\beta$ . Since  $z \notin \bigcup_{\alpha \in \Delta_n} F_\alpha$ , it follows that  $\beta \notin \Delta_n$ . Because  $F_\beta$  is clopen in  $X$ , there is  $U$  open in  $Z$  such that  $F_\beta = U \cap X$ . Clearly  $z \in U$ . As  $\mathcal{F}$  is pairwise disjoint, we have that

$$U \cap \bigcup_{\alpha \in \Delta_n} F_\alpha = U \cap \bigcup_{\alpha \in \Delta_n} (X \cap F_\alpha) = \bigcup_{\alpha \in \Delta_n} (U \cap X \cap F_\alpha) = \bigcup_{\alpha \in \Delta_n} (F_\beta \cap F_\alpha) = \emptyset.$$

Therefore,  $z \notin c\left(\bigcup_{\alpha \in \Delta_n} F_\alpha\right)$ . □

As  $\Gamma_0 \setminus \Gamma = \bigcup_{n \in \omega} \Delta_n$ , it follows from Claim 4.17 that

$$\bigcup_{\alpha \in \Gamma_0 \setminus \Gamma} F_\alpha = \bigcup_{n \in \omega} \left( \bigcup_{\alpha \in \Delta_n} F_\alpha \right)$$

is an open  $F_\sigma$ -set in  $X$ . Moreover,  $a \in c\left(\bigcup_{\alpha \in \Gamma_0 \setminus \Gamma} F_\alpha\right)$  because  $\Gamma_0 \setminus \Gamma \in \mathcal{G}$ . But  $a \notin \bigcup_{\alpha \in \Gamma_0 \setminus \Gamma} F_\alpha$  since  $a \notin F_\alpha$  for each  $\alpha \in \kappa$ . This implies that  $a$  is not a  $P$ -point of  $X$  (see Remark 4.9). The obtained contradiction proves that  $\mathcal{G}$  is countably complete. □

As a consequence of Lemma 4.16 and Section 2.3, we obtain:

**Lemma 4.18.** *The cardinal  $\kappa$  is Ulam-measurable, and hence there exists a measurable cardinal.*

Consequently, we have proved the following result.

**Theorem 4.19.** *If there exists a normal space  $Z$  such that  $\mathsf{L}(Z) = \mathsf{L}$ , then there exists a measurable cardinal.*

Putting Theorems 3.15 and 4.19 together yields the main result of the paper:

**Theorem 4.20.** *There exists a measurable cardinal iff there exists a normal space  $Z$  such that  $\mathsf{L}(Z) = \mathsf{L}$ .*

We conclude the paper by the following open problem:

**Problem 4.21.** In Theorem 4.20 can ‘normal’ be replaced by ‘Tychonoff’?

Clearly the interesting implication is to prove that the existence of a Tychonoff space whose logic is  $\mathsf{L}$  implies the existence of a measurable cardinal.

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